

# UNEX User Manual

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# Introduction

UNEX is a **programming environment** for investigation of **molecular structure**. I develop new and existing **experimental methods** and combine them in order to increase the **accuracy and precision** of results. At the current stage the full support of **gas electron diffraction** (GED [1, 2, 3]) method is provided, from the **calibration** of instruments and **data reduction** to the **refinement** of molecular structure. Additionally, **rotational constants** from microwave [4] and high-resolution molecular spectroscopy [5] can be used solely or in combination with GED data for determination of molecular geometry.



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UNEX is not related to any version of KCED or other programs. This is an independent research project. Nevertheless, many implemented in UNEX methods and algorithms are based on investigations of other authors, see respective references for details.



The aim of this manual is not to teach how to investigate molecules but only to describe UNEX functionality. Please remember that incorrect settings and inappropriate usage of different methods can lead to incorrect results!



If you are reading an offline version of this manual, it may well be already outdated. Check the online version, when in doubt!

## General ideas

- Exploration of experimental possibilities for investigation of molecular structure.
- Development of the gas electron diffraction (GED) method and its automation.
- Development of spectroscopic methods for molecular structure investigation.
- Providing the ability to carry out very accurate studies due to extended facilities and flexibility of the program interface.
- Elaboration of joint methods for molecular structure investigations.

## Capabilities

- Investigation of molecular structure by means of GED method.
- Refinement of geometrical parameters from rotational constants.
- Combined refinements on the basis of GED data and rotational constants.
- Flexible restraints and rigid constraints may be applied to model parameters.
- Semi-rigid and one-dimensional dynamic models in GED.

- Numerical and analytical parametric forms of potential functions.
- Support for relaxation of geometrical parameters, amplitudes and corrections.
- Modelling of any mixtures of molecules with semi-rigid and dynamic GED models.
- Definition of molecular geometry in terms of Z-matrix.
- Both internal geometrical parameters and Cartesian coordinates can be used as parameters.
- Support for dummy atoms in geometrical models of molecules.
- Powerful methods for functional minimization.
- Robust minimization with iteratively reweighted experimental data.
- Automatic calculation of uncertainties for dependent parameters.
- Global minima search by grid scanning and Monte-Carlo method (randomization).
- Multidimensional scanning of refined parameters is possible.
- Monte-Carlo calculation of total uncertainties for refined parameters.
- Automatic determination of molecular point group symmetry.
- Methods for model-dependent multiplicative and additive GED backgrounds using splines and polynomials.
- Automatic calculation of scattering factors and atomic intensity.
- Virtually unlimited amount of GED data can be used in refinements.
- Non-equal steps for GED intensity curves are allowed.
- GED data reduction on the basis of 8 or 16 bit grayscale TIFF images of diffraction patterns.
- Calibration of electron wavelengths using gas standards: benzene  $C_6H_6$ ,  $CO_2$ ,  $CS_2$ ,  $CCl_4$ .
- Refinement of sector functions from gas standard data and images of sector.
- Calibration of scanners.
- Refinement of response functions for detectors.
- Statistical thermodynamics with modified scaled models.
- Flexible and convenient input format.
- Efficient usage of SMP (multiprocessor/multicore) systems.
- Versions of the program are available for Linux, FreeBSD, Windows and macOS.

## Conditions of program usage

UNEX is distributed for free. Conditions of its distribution are of "AS IS" type. You use this program on your own risk. Before downloading and using UNEX you must accept the license agreement, see files [license.html](#), [license.pdf](#) or [license.txt](#).

## Malfunction

If you think you have found a bug or some incorrectness in UNEX the first thing to do is to check

everything. Second, make sure you are using the latest version of UNEX. If you still cannot find the source of the problem it is possible to write an e-mail to the main developer of UNEX (see below). It is recommended to isolate the problem and to send a smallest possible input file generating incorrect result(s). Do not forget to provide UNEX version number and your operating system type/version.

## Support

For questions, comments or bug reports you can use one the following E-mail addresses of the main UNEX developer, Dr. Yury V. Vishnevskiy

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# Usage

## General conventions

Reading this manual you can meet numbers expressed using scientific notation and symbol **e**, for example **5.5e13**. This corresponds to base-10 exponentiation, so the number above is equivalent to  $5.5 \times 10^{13}$ . Note, UNEX can read numbers in scientific notation.

In many examples you can see tripple dots **...**. This does not reflect the input format but just indicates that further data may follow. Otherwise the examples would be too long.

## Installation

UNEX program is distributed together with some supplementary programs, testing files, documentation and other parts. For the installation there is no need to do any special actions, simply copy all files from the distribution to any suitable directory. It is recommended to place them to one dedicated directory listed in the environment variable **PATH** so that the executables can be called from any directory in the system. If all UNEX files are in one place then it is also easier to update them by replacing old files in this particular directory. Checking for new versions and updating can be also performed automatically by starting the special script **update.sh** (in Linux and macOS) or **update.cmd** (for Windows). Note, automatic update may not work for different reasons. First, it requires access to internet for checking the availability of new versions. Second, the scripts are used some system utilities, which must be already installed. Finally, the automatic update may possibly not work due to some major changes in the procedure. In this case you need to download the newest version of UNEX and install it manually.

## Invoking UNEX

UNEX is a command line program. To use it an input file should be prepared first (for details see below). Starting UNEX without any input file prints general information about its usage. In the simplest case the only command line parameter is the name of input file. After starting UNEX the input file remains unmodified and an output file is created, which contains all results in text form. If you do not indicate the output file name explicitly in command line, then UNEX automatically creates one named similar to the input file with added underline symbol together with a number and an extension **.log**. The number indicates the version of the output and it increases with each run of UNEX with the same input file. Thus, in this mode output files are never overwritten. Alternatively, you can define in command line the name for the output file explicitly.



All available command line options are listed by running UNEX with the **-h** or **--help** options.

## Input syntax

Input for UNEX are usual text files. They contain control commands and data fields. Each type of command has its own syntax. Data fields are needed for introducing any input information. For arrangement of data fields so-called tags are used, i.e. a logically complete fragment of data is

placed between two certain words which are called tags. In general they may contain any letters. A possible way is to use simple and clear constructions like

```
<my_info>
Here goes my info/data...
</my_info>
```

Here `<my_info>` and `</my_info>` are opening and closing tags, respectively. In spite of considerable number of different commands and field types, all these elements follow similar pattern, which is easy to understand and to use. The sequence of commands is important. It is not recommended to use very long strings in input files. The total length of strings with commands is limited to 500 symbols. Any string in input file can be commented out. For this in the very first position of the line you should type symbol `#`. It is also possible to place a comment in the end of string, for example

```
# Run UNIX command in the next line
COMMAND # This should start some procedure
```



Starting from the version 1.6-1258 UNIX does not accept semicolon `;` as a comment symbol anymore.

## Control flow

### GOTO

**GOTO** command is used for unconditional jumps to commands coming after particular label. Labels are defined using the command **LABEL**. The following example demonstrates the principle.

```
GOTO=MYLABEL
COMMAND1
LABEL=MYLABEL
COMMAND2
```

In the demonstrated code, when the **GOTO** is executed, all subsequent commands (in the example only **COMMAND1**) are skipped until the required label (here is **MYLABEL**) is found, which is defined in the command **LABEL**. After this point the execution is continued, so that **COMMAND2** is started.

### STOP

**STOP** command terminates execution of UNIX.

## Data input

Below are described commands used primarily for introducing and definition of data.



# BASE

In most cases UNEX input files begin with introduction of basic information. For this purpose the **BASE** command is used:

```
BASE=READ,<BASE>,</BASE>
```

The first word **BASE** is the name of the command. After the **=** symbol goes the mode or input field format type. Here the only available mode is **READ**. The other two words are tags pointing to the start and the end lines of the field containing the basic information. Thus, UNEX will try to find the field and read the corresponding information from the input file between the following tags

```
<BASE>
Basic info goes here...
</BASE>
```

BASE field can contain control keywords set to particular values. Depending on the job type different keywords can be used. The keyword **molecules** is used most often whenever models of molecules are created and manipulated, for example in structural analyses. See section [Molecules](#) on how to read in molecule-specific keywords.

Generally lines in a BASE field look like following: keyword name, whitespace(s) and/or **=** character, keyword value. The values can be strings, integers or floating point numbers. Usually keywords accept only one value with some exceptions (for example, **molecules** accepts list of strings).

Sometimes it is useful to apply different settings for different stages of the job. This can be achieved by calling **BASE** command several times, for example

```
BASE=READ,<BASE1>,</BASE1>

#MaxIter is equal here to 20

BASE=READ,<BASE2>,</BASE2>

#MaxIter is equal here to 30

<BASE1>
MaxIter=20
</BASE1>

<BASE2>
MaxIter=30
</BASE2>
```

Below is the list of keywords valid in BASE field:

- [Basic keywords](#)

## molecules

Name(s) of molecule(s) participating in a model. In simple cases only one molecule is defined here. Sometimes several molecules must be defined. For GED this corresponds to a model of a mixture of molecules. Note, UNEX expects a special field for each molecule defined here. The opening and closing tags must correspond to the name of the molecule, for example:

```
<BASE>
molecules=mol1
</BASE>

# Special field for mol1
<mol1>
mol1-related info goes here...
</mol1>
```

## imgfiles

Names of image files to be processed. UNEX can handle uncompressed Intel TIFF 8/16-bit grayscale files. As in the case of **molecules** special fields for each image are expected.

- Keywords related to refinement of parameters

### MaxIter

Maximal allowed number of iterations for least-squares method in **MINIMIZE**. The default value is 20.

### damp

Damping factor in least-squares method for scaling of parameter additions. There are three options:

- **damp=[number]** — constant damping factor (for example, **damp = 0.5**)
- **damp=linear** — damping factor increased linearly up to 1.0 in the last iteration.
- **damp=sigma** — damping factor increased sigmoidally to the value of 1.0 in the last iteration; this is default.

### LsqAddTol

### LsqGrdTol

threshold values for maximal relative addition and gradient used as convergence criteria in least-squares procedure.

### LsqFunTol

threshold value for relative functional change as the convergence criterion in least-squares procedure.

### LsqLamMaxInc

Maximal allowed number of consecutive increments of parameter **Lambda** in Levenberg-Marquardt method for minimization of non-linear least-squares functionals. Default value is 5.

### **LsqLamDecFac**

Decrement factor for parameter **Lambda** in Levenberg-Marquardt method. Default value is 0.1.

### **LsqLamIncFac**

Increment factor for parameter **Lambda** in Levenberg-Marquardt method. Default value is 10.0.

### **MinOrthoParams**

Turns on (**=1**) or off (**=0**, default) refinement of orthogonal linear combinations of parameters.

### **GedVarAmplScale**

Turns on (**=1**) or off (**=0**, default) refinement of scale factors for ED vibrational amplitudes. Ratios of amplitudes within each group remain constant if scales are refined (**GedVarAmplScale=1**), otherwise differences between amplitudes remain constant within one group.

### **MinMethod**

method for minimization of functional value in **MINIMIZE** command. Three options available:

- **lsq** — least-squares method (LSQ).
- **goldsec** — golden section method.
- **lsqgoldsec** — combination of least-squares and golden section (activates automatically when LSQ fails) methods. This is default.

### **MinRobMaxIter**

Maximal allowable number of iterations in Robust-minimization of the **ROBUSTM** command. The default value is 10.

### **MinPrintEllipsoid**

Turns on (**=1**) or off (**=0**, default) printing of functional (hyper)ellipsoid at the end of **MINIMIZE** procedure.

### **PrintSearchResults**

Controls whether full table of results is printed (**=1**, default) or not (**=0**) after **SEARCH** command.

### **ShowSearchInfo**

Turns on (**=1**, default) or off (**=0**) printing of progress status and speed of **SEARCH** procedure.

### **SearchTime**

Total allowed time for **SEARCH=RAND** command in seconds. Default value is 3600.0, i.e. one hour.

### **SearchRngSeed**

Seed (integer number) for random number generator used in **SEARCH=RAND** command. Default value is 0, meaning automatic generation of seed.

**MaxDerTol****MinDerTol**

During least-squares procedures various derivatives may be calculated numerically. The accuracy of the numerical differentiation is adjusted dynamically. These two keywords define the allowed range of tolerances (maximal relative errors) applied to the errors of numerical derivatives. Default values are 1.0e-5 and 1.0e-10, respectively.

**RotCDerStep**

Starting step size for parameters in calculation of numerical derivatives of rotational constants. The default value is 1.0e-6.

**RestrGDerStep**

Starting step size for parameters in calculation of numerical derivatives of restraining geometrical parameters. The default value is 1.0e-6.

**RijDerStep**

Starting step size for parameters in calculation of numerical derivatives of interatomic distances. The default value is 1.0e-6.

**PrintEsdFactor**

Factor for printed standard deviations of parameters. By default it is 1.0.

**RegAlpha**

Factor for the regularization term in least-squares functional. Default value is 1.0.

**RotConstAlpha**

Factor for the term with rotational constants in least-squares functional. Default value is 1.0.

**RestrGeomAlpha**

Factor for the term with restraining geometrical parameters in least-squares functional. Default value is 1.0.

**DepSigmaCovar**

Turns on (**=1**, default) or off (**=0**) the usage of covariation matrix in calculations of standard deviations for dependent parameters.

**MinAbsWeighting**

Turns on (**=1**) or off (**=0**, default) using absolute weights in least-squares method for calculation of standard deviations of refined parameters. The weights are calculated from standard deviations of experimental data as  $\sigma^{-2}$ .

**CalcFuncProportion**

Controls calculation of contributions from different parts of least-squares functional into refined parameters using W1 [6] and W2 [7] methods. This can be done at the end of the **MINIMIZE** procedure. Possible values for this keyword are **0** (calculate nothing, this is default), **1** calculate values using W1 method, **2** use W2 method, **3** calculate values using both methods.

### MinSigmaExcludeFunc

This keyword defines which types of LS functional should be excluded in calculation of experimental errors for refined parameters. The idea and the method are described in [6]. Calculated values can be printed at the end of **MINIMIZE** procedure (requested by keyword **CalcFuncProportion**), by calling **PRINT=GEOMFUNCW1** and **PRINT=GEOMFUNCW2**. Particular types of LS functional are defined as bits at different positions but the keyword requires definition of respective integer values: 1, 2, 4 and 8 for **GEDSMS**, **ROTCONST**, **REGPRM** and **RESTRGEOM**, respectively. Combinations of types are also defined as integer, which must be the result of the respective bitwise inclusive OR operation. For example, the combination of **REGPRM** and **RESTRGEOM** is defined as 12. This is the default value for this keyword.

### MinPrintSensibility

Turns on (=1) or off (=0, default) the first order sensibility analysis in **MINIMIZE** procedure.

- Monte-Carlo simulations

### MCMaxIter

Maximal allowed number of iterations in Monte-Carlo procedure **MCMIN**. The default value is 100000.

### MCsMsSpread

Default standard deviation for sM(s) data used in Monte-Carlo procedure **MCMIN**.

### MCSimulateData

Turns on (=1) or off (=0, default) simulation of experimental data on the basis of model by adding some random noise.

### MCRandData

Turns on (=1) or off (=0, default) randomization of data used for refinement of model.

### MCRandParams

Turns on (=1) or off (=0, default) randomization of parameters of model.

### MCRandDataSeed

### MCRandParamsSeed

Seeds for random number generators used for data and parameters, respectively. By default they are initialized to random values based on current time and process ID. If you want deterministic results you have to define seeds with these keywords.

### MCPrintParams

Print (=1) or not (=0, default) randomized values of parameters to output file.

### MCCalcRotConsts

Calculate (=1) or not (=0, default) rotational constants during simulation.

### MCPrintInterResults

Number of steps to be done for printing intermediate results of simulation and testing for convergence. Default is 1000. Zero means no printing of intermediate results and no testing

for convergence.

### **MCUseExtData**

A keyword to allow (=1, default) or not (=0) using additional precalculated results of Monte-Carlo simulations read in with the **MCREAD** command.

### **RegAlphaMCgroup**

Group number for **RegAlpha** parameter in Monte-Carlo simulations.

### **RegAlphaMCmin**

### **RegAlphaMCmax**

Maximal and minimal allowed values for **RegAlpha** parameter in randomization.

### **RotAlphaMCgroup**

Group number for **RotConstAlpha** parameter in Monte-Carlo simulations.

### **RotAlphaMCmin**

### **RotAlphaMCmax**

Maximal and minimal allowed values for **RotConstAlpha** parameter in randomization.

### **MCSetStdDev**

Turn on (=1, default) or off (=0) assignment of determined in the simulation standard deviations to respective refined parameters.

### **MCApplyBias**

Turn on (=1, default) or off (=0) application of determined in the simulation biases to respective refined parameters.

### **MCAmplTmplExr**

### **MCCorrTmplExr**

To this extent (in percent) the ranges of amplitudes and corrections are increased when printed by **PRINT=AMPLMCTMPL** and **PRINT=CORRMCTMPL** commands, respectively. The default values are 30.0 for both keywords.

### **MCWeightedStats**

Turn on (=1) or off (=0, default) calculation of weighted statistics for parameters in Monte-Carlo procedure.

- ED intensity

### **IModel**

Model for the total ED intensity. At present this controls the type of background used for calculation of the total intensity. The available options are **mbgl**, **a1bgl** and **a2bgl**. The default option is **mbgl**. For details see [Models for ED intensity](#).

### **ImolAnhTermModel**

Model for anharmonic terms of distances in calculation of ED molecular intensity. Available options are **Asym** (asymmetry parameters, default) and **Morse** (Morse parameters). For details

see chapter [Models for ED intensity](#).

### EDElScatFacMethod

Method for calculation of ED elastic scattering factors.

- **PwTab1** — the method of partial waves using old tabulated factors. This option is available only for historical reasons.
- **PwTab2** — the method of partial waves using factors from Table 4.3.3.1 in [8]. This is default.
- **Born1Pot1** — first Born approximation for the scattering amplitude of a screened atomic Coulomb potential (Eq. 11 in [9]).
- **Born1Pot1C1** — similar to **Born1Pot1** plus correction (Eq. 13 in [9]).
- **Born1Tab1** — first Born approximation for the scattering amplitudes using tabulated values from Table 4.3.2.3 [8] (see also the original paper [10]) and corrected for relativistic effects as described in [9].
- **Born1Tab1C1** — similar to **Born1Tab1** plus correction (Eq. 13 in [9]).

### EDInelScatFacMethod

Method for calculation of ED inelastic scattering factors.

- **None** — do not calculate inelastic scattering factors.
- **MorseTab1** — Morse approximation using old tabulated factors. This option is available only for historical reasons.
- **MorseTab2** — Morse approximation using factors from Table 4.3.3.2 in [8]. This is default.

### GFsmin

### GFsmax

Minimal and maximal  $s$ -values (in  $\text{\AA}^{-1}$ ) for precalculated scattering factors. Default values are 0.0 and 60.0.

### GFstep

Step size on the  $s$ -scale (in  $\text{\AA}^{-1}$ ) for precalculated scattering factors. Default value is 0.1.

### BglApproxType

Type of approximating function for background lines. Available options are

- **Spline** — cubic spline, this is default,
- **Polynom** — simple polynomial,
- **ChebPolynom** — orthogonal Chebyshev polynomial.

### BglNinflThr

Global threshold number of inflection points for ED background lines. The default value of this number is 3.

### BglPolPow

Global value of the polynomial power for ED background lines. By default it is 3.

**BglPrintRaw**

Turns on (=1) or off (=0, default) printing of raw (before smoothing) background in the **BGL** procedure.

**RespFuncPolPower**

Degree of polynomial function used in refinement of response function for ED detector in **RESPFUNC=CALCIDS** procedure. Default value is 10.

**BglSmoothReduced**

Turns on (=1) or off (=0, default) smoothing of the reduced (divided by the sector function and atomic scattering) multiplicative background in **BGL** command.

**BglRefScaleMaxIter**

Maximal number of iterations (by default 0) in the refinement of the scale factor for  $sM(s)$  in the **BGL** procedure. For the case of additive backgrounds this keyword just turns on (any positive value) or off (=0) the refinement of the  $t$  factor for the total intensity.

**BglRefScaleTol**

Relative change (0.001 by default) in scale factor as convergence criterion for procedure of refinement of  $sM(s)$  scale factors in the or  $t$ -factors of the total intensity in **BGL** procedure.

**MinDs**

Minimal allowed difference between  $s$ -values. Default value is  $1e-7 \text{ \AA}^{-1}$ .

**BglPSDStrRmin****BglPSDStrRmax**

Minimal and maximal interatomic distances in the molecular model when power spectral density of background line is analysed. By default these parameters are negative, which indicates automatic determination of the corresponding values.

**BglPSDStrRminShift****BglPSDStrRmaxShift**

Shifting factors for the automatically determined minimal and maximal interatomic distances in the molecular model for the analysis of background line PSD. Default values are -0.2 and 1.0, respectively.

**BglPSDNoiseThr**

Default threshold (in dB) for relative power spectral density of noise for background lines. The default value is -20.0.

**BglPSDNoiseThrFac**

Default factor of importance for the noise threshold **BglPSDNoiseThr**. The default value is 0.01.

**BglPrintPSD**

Turns on (=1) or off (=0, default) printing of the power spectral density for background and experimental intensity in the **BGL** procedure.

- ED Sector



## SecModelType

### RegSecModelType

Type of model for sector function and regularization sector function, respectively. Possible values are **rpn**, **sinpn** and **const**. For explanation see below section related to introduction of sector functions. Default is **rpn**.

## SecPrmA

### RegSecPrmA

Parameter  $A$  in the model for (regularization) sector function. Default value is  $2\pi$ .

## SecPrmN

### RegSecPrmN

Parameter  $n$  in the model for (regularization) sector function. Default value is 3.0.

## SecPrmRmax

### RegSecPrmRmax

Parameter  $r_{\max}$  (in mm) in the model for (regularization) sector function, see chapter [ED sector function](#). The default value is 100.0.

- [ED Standards](#)

## StdDefType

Default type of standard if it is not indicated explicitly in the input of ED intensities. Possible values are **CC14**, **C6H6**, **CO2** and **CS2**. The default setting for this keyword is **CC14**.

## StdLsqMaxIter

Maximal number of iterations in least-squares refinement of parameters from ED gas standard data. The default value is 100.

## StdRegSecAlpha

Factor for regularization of refined sector function. By default it is 0.0, which indicates the absence of regularization.

## StdRegBglAlpha

Factor for regularization of refined background functions. Default value is 0.0.

## StdRegBglValue

Regularizing value for background. Default value is 0.0.

## StdVarLambda

### StdVarSector

### StdVarScale

### StdVarBgl

Keys turning on (**=1**) or off (**=0**), refinement of electron wavelength, sector function, scale factors and additive background, respectively. By default, everything is on, except for **StdVarLambda**.

**StdPrintCorrs**

Enables (=1) or disables (=0, default) printing correlations between refined parameters in **STANDARD** procedure.

**StdRegDBglAlpha**

Prefactor for least-squares term calculated as sum of squares of second derivatives of background lines. By default this factor is zero meaning that this term is not included.

**StdScanIter**

Number of iterations in scanning of electron wavelength in **STANDARD**. By default it is zero, i.e. scanning is not performed.

**StdScanLamMin****StdScanLamMax**

Minimal (default value is 0.039 Å) and maximal (default value is 0.120 Å) values of electron wavelength in scanning.

**StdRefLamMaxIter**

Maximal number of iterations in refinement of electron wavelength. Default number is 50.

**StdRefLamTol**

Convergence tolerance in relative change of refined lambda. Default value is 1.0e-4.

**StdInitSecStep**

Step size in mm for automatically initialized reduced sector function in LSQ refinement in **STANDARD**. Default value is 1.0 mm.

**StdInitSecMin****StdInitSecMax**

Minimal and maximal allowed *r*-values of the autogenerated reduced sector function for refinement in **STANDARD**. Default values of these keywords are negative, which means that the corresponding parameters should be determined automatically.

**StdInitRefBgl**

Initialize additive background using **BGL** procedure before LSQ refinement in **STANDARD**. Turned on (=1) by default.

**StdBglRefScaleMaxIter**

Maximal number of iterations for refinement of scale- or t-factors in background procedures used from **STANDARD**. Default number is 30.

**StdCorrNegRefBgl**

Correct refined in **STANDARD=LSQ** background if it gets negative. By default this is turned off (=0).

- ED Radial distribution functions

## RdfType

Method for calculation of radial distribution curves. There are three options: **old**, **classic** (this is default) and **modern**. For details see description of the **RDF** command.

## RdfMultR

The key determines whether the Fourier curve is multiplied (**=1**, default) or not (**=0**) by  $r$ . Multiplication by  $r$  produces a better approximation to  $P(r)$  function, but also increases difference curves.

## RdfRto

Maximal value of  $r$  (in Å), for which radial distribution curves are calculated. By default **RdfRto** is determined automatically depending on the maximal interatomic distance in the model.

## RdfRdr

Step size along  $r$ -scale for calculation of radial distribution functions. Default value is 0.01 Å.

## RdfPruneRlen

Allowed distance between points along the radial distribution function. Default value is 0.02 Å. The value 0.0 turns off the pruning.

## RdfAdaptiveR

Turns on (**=1**) or off (**=0**, default) the usage of an adaptive method for choosing points on the  $r$ -scale for calculation of radial distribution functions.

## RdfDamp

Coefficient in an exponential function used for multiplying  $sM(s)$  curves before Fourier transformation. By default it is calculated according to  $\exp(-\text{RdfDamp} \times s_{\max}^2) = 0.1$ , where  $s_{\max}$  is the maximal  $s$ -value of the transformed  $sM(s)$  function.

## RdfDivGf

This key enables (**=1**, default) or disables (**=0**) the division of  $sM(s)$  curves by a  $g$ -function (by default corresponding to a term with maximum contribution) before Fourier transformation.

## RdfDivGfAtoms

Types of atoms (for example **=C,0**), for which the corresponding  $g$ -function must be calculated and used for modification of  $sM(s)$  before Fourier transformation if **RdfDivGf=1**. By default this is initialized automatically so that the pair of atoms available in molecule(s) of the model have highest atomic numbers.

## RdfTermDif

Influences results of the **PRINT=GRAPHTERMS** command. This parameter defines maximal allowed difference between distances of degenerate terms in calculation of their contributions. By default this key is negative, which turns off the searching for degenerate terms.

## RdfTermDivAmpl

Influences results of the **PRINT=GRAPHTERMS** command. Turns on (**=1**, default) or off (**=0**) division

of calculated term contributions on the respective amplitudes.

### **RdfIntegMethod**

Method of numerical integration: **trapezoidal** (default, fast) or **romberg** (slow but potentially a bit more accurate).

### **RdfCalcStdevs**

Turns on (**=1**) or off (**=0**, default) calculation of standard deviations for experimental radial distribution functions.

### **RdfMCEsdIter**

Number of iterations in Monte-Carlo procedure for calculation of standard deviations for experimental radial distribution functions. Default value is set 0, turning off this procedure.

### **RdfPrintEsdInterval**

Time interval in seconds for printing progress of calculations of RDF standard deviations. The default value is 60 seconds.

### **RdfNconcat**

Number of common points (by default 11) for experimental and model  $sM(s)$  when they are concatenated in RDF procedure. The larger this number the greater is the overlap of the  $sM(s)$  curves.

- ED Data reduction

### **IntScanIter**

Maximal number of iterations in the least-squares procedure of the **IMAGE=INTSCAN** command. The default value is 50.

### **WriteAsymBgImg**

### **WriteCurveImg**

### **WriteWeightsImg**

Turn on (**=1**) or off (**=0**) the creation of image files representing refined asymmetric additive background, intensity curve and weights of original data points. By default only images of intensity curves are created.

### **ImgPrintIntR**

Refined in data reduction intensity curves are printed with corresponding  $r$ -values (**=1**) or  $s$ -values (**=0**, default).

### **ImgPrintBlc**

Print optical density (**=1**) or relative electron scattering intensity (**=0**, default) in the end of the **IMAGE=INTSCAN** command.

### **ImgPrintIntCorrs**

Determines whether correlations between refined intensity values should be printed (**=1**) or not (**=0**, default).

### **ImgPrintAllCorrs**

Determines whether correlations between all refined in data reduction parameters should be printed (**=1**) or not (**=0**, default).

### **ImgPrintDataHistogram**

Determines whether histogram of image should be printed (**=1**) or not (**=0**, default) in **IMAGE=INTSCAN** procedure.

### **IntScanRobNum**

The parameter in the method of Tukey's bisquare weights used for rejection of image data points in the **IMAGE=INTSCAN** command. The default value is 4.685.

- Trajectory processing

### **TrjWeightedStats**

Turn on (**1**) or off (**0**, default) calculation of weighted statistics for internal geometrical parameters in processing of trajectory files.

### **TrjScaleTotalQ**

### **TrjShiftTotalQ**

Parameters for calculation of weighting factors, see [Distributions of geometrical parameters](#). Default values are **1.0** and **0.0**, respectively.

- Thermodynamics

### **Temperature**

Temperature in Kelvins. This parameter affects calculations related to GED with dynamic models and calculations of thermodynamic functions with the **THERMO** command. The default value is 298.15 K.

### **Pressure**

Pressure in standard atmospheres (atm). It is used in calculations of thermodynamic functions. The default value is 0.986923267 atm, which corresponds to 1 bar (14.5038 psi, 100 kPa).

- Testing and debugging

### **PrintEDIntHash**

If greater than zero, print hashes of ED intensities and of other related functions in **PRINT=INT**, etc. This can be used for testing of data.

### **HashEpsDsMs**

### **HashEpsDInt**

Precision for differences (experiment minus model) of **sM(s)** and differences of total intensities in calculation of hashes. By default are equal to 1.0e-6.

### **PrintRefinedPrmHash**

If greater than zero, print hash of refined parameters in **MINIMIZE** procedure. Used for

testing. Disabled by default.

### **HashEpsRefinedPrm**

Precision for parameters when calculating hashes activated by `PrintRefinedPrmHash`. The default value is 1.0e-6.

### **PrintRotCHash**

If greater than zero, print hash of rotational constants. Used for testing. Disabled by default.

### **HashEpsRotC**

Precision for rotational constants when calculating hashes activated by `PrintRotCHash`. The default value is 1.0e-6.

### **PrintMCPrmHash**

If greater than zero, print hashes of parameters determined in Monte-Carlo procedure. Used for testing. Disabled by default.

### **HashEpsMCMean**

### **HashEpsMCStdev**

Precision for mean values and standard deviations in calculation of hashes in Monte-Carlo procedure. By default they are equal to 1.0e-3.

### **PrintRestrGHash**

If greater than zero, print hashes of restraining geometrical parameters. Disabled by default.

### **HashEpsRestrGWRMSD**

Precision for WRMSD values of restraining geometrical parameters when hashes are calculated. Default value is 1.0e-6.

- Other

### **jobname**

Any string, describing the input for UNEX. This is optional.

### **SVDTol**

Factor for calculation of threshold value for minimal singular number in SVD decomposition procedure. The threshold value determined as product of this factor and maximal singular number. Singular numbers less than the threshold value are discarded. Default value is  $10^3$  times machine double precision, which usually corresponds to 2e-13.

### **SVDMaxIter**

Maximal number of iterations in SVD procedure. Default value is 30.

### **MoveWedArea**

Depending on the setting of this parameter shapes and locations of areas in optical wedges are refined (`MoveWedArea=1`, default) or remain fixed (`=0`) in the `WEDGE=AUTO` and `WEDGE=MANUAL` commands.

## PotEUnits

Potential energy units on input, when data introduced in numerical form (`POTENTIAL=mol,PTL1,...`). Possible values are

- `au` — atomic units
- `kcal` — kcal/mol
- `kJ` — kJ/mol, default

## RotConstUnits

Input units for rotational constants. Possible values are

- `cm` —  $\text{cm}^{-1}$
- `MHz` — MegaHertz, default

## Wplot

### Hplot

Width and height (expressed as number of characters) of pseudo-graphics produced by the `PLOT` command.

## CpuNum

Number of threads used for parallel calculations in UNEX whenever possible. By default UNEX uses all available in system processors/cores.

## PrintMainInertXYZ

Cartesian coordinates of molecules are printed in system of principal axes of inertia (`PrintMainInertXYZ=1`, default) or in input/Z-matrix orientation (`=0`).

## MainInertOrient

Definition of the system of principal axes of inertia. Possible values are `xyz`, `xzy`, `yxz`, `yzx`, `zxy`, `zyx` (the last one is default).

## SymTol

Sensitivity factor for determination of symmetry elements in molecules. The default value is 2.0. The less this factor is, the more accurate must be molecular geometry.

## PrintSymUniqAtoms

Defines whether symmetrically unique atoms should be printed (`=1`) or not (`=0`, default) by `PRINT=SYMMETRY` command.

## PrintEsdZMatrix

Defines whether standard deviations of Z-matrix parameters should be printed (`=1`) or not (`=0`, default) by `PRINT=SYMMETRY` command.

## SmoothSplineEdge

Turns on (`=1`) or off (`=0`, default) an alternative method for calculating edge points of smoothing splines.

### F3cBlockCols

Number of columns for cubic force constants printed by `PRINT=F3CBLOCKS` command. The default value is 5.

### GeomBondTol

Parameter to control detection of bonds between atoms. If distance between atoms is less than sum of their covalent radii plus `GeomBondTol*100%` then a bond is recognized. The default value is 0.15.

### GvibSymmInput

Turns on (`=1`, default) or off (`=0`) symmetrization of input ED vibrational parameters for molecules with determined symmetry.

### CzmTmplStartGroup

Starting value for group numbers in `PRINT=CZMTMPL`. The default value is 1.

## Molecules

For each molecule declared in `BASE` a special field must be defined, which contains some general information about the molecule. The starting and ending tags of this field must be constructed as `<name_of_molecule>` and `</name_of_molecule>`, respectively. For example, for a molecule `mymol` the corresponding field is

```
<mymol>
mymol-specific info goes here...
</mymol>
```

Possible keywords in field of molecule:

#### formula

Empirical formula of the molecule, for example `formula=C6H12O6`. This is a mandatory keyword.

#### molx

Mole fraction of the respective molecule in a mixture, if several molecules are defined in `BASE`. The value must be in range 0.0-1.0. For the last molecule listed in `BASE` this keyword is irrelevant because the corresponding mole fraction is calculated automatically.

#### varx

Group number for mole fraction. In order to refine the mole fraction a positive integer group number must be defined using this keyword. Note, this must be unique number, since mole fractions cannot be refined in groups with other parameters.

#### sing

If the molecule is a pseudo-conformer in a GED dynamic model, this keyword means its degeneracy. By default it is equal to 1.



## gedmodel

GED model for the molecule. Possible values are **semi-rigid** (default) and **dynamic**. In the case of a dynamic model, pseudo-conformers must be defined using the **psconfs** keyword.

## psconfs

List of pseudo-conformers in the dynamic model of the molecule. Syntax is the same as for **molecules** in **BASE** field.

## pcnum

Total number of pseudo-conformers in the dynamic model of the molecule. By default this equals to the number of pseudo-conformers defined using **psconfs** keyword. However, additional pseudo-conformers can be automatically generated and populated if **pcnum** has larger value.

## allsing

Default degeneracy for all pseudo-conformers.

## PotType

Type of potential function used in the dynamic model of the molecule. The possible options are

- **Spline** — cubic spline, no fittable parameters. This is default.
- **Cos1** — parametric function  $V_0 + \sum \frac{V_i}{2}[1 - \cos(iF)]$ .
- **Cos2** — parametric function  $V_0 + \sum V_i \cos(iF)$ .
- **Gauss** — parametric function, sum of gaussians  $\sum V_i \exp\left(-\frac{(F - \Delta_i)^2}{2w_i^2}\right)$ .
- **Polynom** — parametric function, polynomial  $\sum V_i F^i$ .

For details on how to introduce potential functions see [Potential functions](#).

## PotCoefNum

Total number of parameters in potential function including free term if applicable. Makes no sense in case of splines.

## DynRelaxPln

Number of coefficients in relaxational polynomials used for generation of additional pseudo-conformers. Default value is 5, which corresponds to polynomials of power 4.

## DynImolModel

Model for molecular intensity when dynamic GED model is used, i.e. **gedmodel=dynamic**. Possible options are **integ** (default) and **sum**. For details see section [Models for ED intensity](#).

## SpinMult

Spin multiplicity of the molecule. The default value is 1.

## ElEnergy

Electronic energy in Hartree. No default value (initialized to zero).

## ThermoModel

Type of model for thermodynamic functions. The available options are

- **sRRHO** — rigid rotator - harmonic oscillator approximation with possibly scaled vibrational frequencies.
- **msRRHO-1** — modified scaled RRHO with correction for entropy as implemented in [11, 12].
- **msRRHO-2** — modified scaled RRHO with corrections for internal thermal energy from [13] and for entropy from [11, 12].

For details see section [Thermodynamics](#).

## ThermoFreqCutoff

Cut-off value (in  $\text{cm}^{-1}$ , by default equals to 0.0) for vibrational frequencies in calculation of ZPVE and thermodynamic functions. Frequencies below or equal to this value are ignored.

## ThermoFreqScale

Scaling factor (1.0 by default) for vibrational frequencies in calculation of ZPVE and thermodynamic functions.

## ThermoMSRRHOWcutoff1

### ThermoMSRRHOWalpha1

Cut-off vibrational frequency value  $\tau$  (in  $\text{cm}^{-1}$ , default is 50.0) and  $\alpha$ -factor (default value is 4.0) in the weighting function for entropy in the msRRHO-1 and msRRHO-2 methods. Note, in the earlier publication [11] the same frequency cut-off parameter was denoted as  $\omega_0$ , see equation 8 therein.

## ThermoMSRRHOWcutoff2

### ThermoMSRRHOWalpha2

Cut-off vibrational frequency value  $\tau$  (in  $\text{cm}^{-1}$ , default is 50.0) and  $\alpha$ -factor (default value is 4.0) in the weighting function for enthalpy in the msRRHO-2 method [13].

## RotConstModel

Type of model for rotational constants. The available options are

- **rrpatm** — Rigid rotor - point atomic masses.
- **rrpatm-vibc** — Rigid rotor - point atomic masses → vibrational correction.
- **rrpatm-elc1-vibc** — Rigid rotor - point atomic masses → electronic correction 1 → vibrational correction. This is default.

For details see section [Models for rotational constants](#).

## isotopologues

List of other molecules related to this molecule as isotopologues. Each isotopologue must have its own field just like a normal molecule. The keyword is generally used in refinements of molecular structures from rotational constants of parent molecule and its isotopologues.

**RotA\_exp\_value**

**RotB\_exp\_value**

**RotC\_exp\_value**

Experimental rotational constants in units defined by **RotConstUnits**.

**RotA\_exp\_stdev**

**RotB\_exp\_stdev**

**RotC\_exp\_stdev**

Standard deviations (in units defined by **RotConstUnits**) of the corresponding experimental rotational constants. These values are used for calculation of weights in least-squares functional. By default they are equal to 1.0, which is equivalent to the unweighted least squares method.

**RotA\_exp\_pdf\_type**

**RotB\_exp\_pdf\_type**

**RotC\_exp\_pdf\_type**

Type of probability density function (PDF) for experimental rotational constants A, B and C. Currently the only available option is **snormal** (set by default), indicating shifted normal distribution. The first parameter of this PDF is the shift to the already defined mean (respective experimental rotational constant), which is zero by default. The second parameter is the standard deviation, also zero (e.g. undefined) by default. Both values are expected in units of **RotConstUnits**.

**RotA\_exp\_pdf\_p1**

**RotA\_exp\_pdf\_p2**

**RotB\_exp\_pdf\_p1**

**RotB\_exp\_pdf\_p2**

**RotC\_exp\_pdf\_p1**

**RotC\_exp\_pdf\_p2**

Parameters of probability density functions (PDF) for experimental rotational constants A, B and C. These are required for Monte-Carlo simulations. The meaning of parameters depend on the type of PDF (see **RotA\_exp\_pdf\_type** and analogous keywords).

**RotA\_vibc\_value**

**RotB\_vibc\_value**

**RotC\_vibc\_value**

Vibrational corrections (in units defined by **RotConstUnits**) for rotational constants. For the definition of the corrections see section [Models for rotational constants](#).

**RotG\_gaa\_value**

**RotG\_gbb\_value**

**RotG\_gcc\_value**

Rotational  $g$  tensor components  $g_{aa}$ ,  $g_{bb}$  and  $g_{cc}$ . Default values are zero.

**RotG\_gaa\_rshift****RotG\_gbb\_rshift****RotG\_gcc\_rshift**

Relative shifts in fractions of unit for the components  $g_{aa}$ ,  $g_{bb}$  and  $g_{cc}$  of the rotational  $g$  tensor. For the definition of the shifts see section [Models for rotational constants](#). By default all shifts are zero.

**MCvarx**

Group number for mole fraction in Monte-Carlo simulations.

**MCxMin****MCxMax**

Minimal and maximal allowed values for mole fraction in Monte-Carlo simulations.

**MCwriteXYZ**

Turn on (**=1**) or off (**=0**, default) writing of molecular Cartesian coordinates on each step of Monte-Carlo simulations to a special file. The file is created in current directory with a name consisting of the name of molecule, data seed and **xyz** extension.

**GenBondsInclude**

Pairs of atoms which must be included as bonds in autogenerated lists of internal parameters, for example in **PRINT=ALLGEOM** (see below).

## Images

Images are defined in UNEX similar to molecules — i.e. image file names are listed using **imgfiles** keyword in **BASE**. Accordingly, for each image file can be defined a field with starting and ending tags constructed from the name of this file. For example

```
<BASE>
imgfiles=img1.tif
</BASE>

# Special field for img1
<img1.tif>
img1-related info goes here...
</img1.tif>
```

Valid keywords in image fields are:

**XResolution****YResolution**

Resolution of image along X- and Y- directions, corresponding to width and height of the image. These keywords are not obligatory since TIFF files contain this information and UNEX can read it. However, the nominal resolution values may not represent real resolution, for example due to imperfections in scanning device. In such cases true resolution can be defined explicitly with

these keywords.

**Xc**

**Yc**

Coordinates of the center of diffraction pattern in pixels. In GED data reduction procedure these values can be further refined.

**Xs**

**Ys**

Coordinates of the center of rotating sector device in pixels. Similar to **Xc** and **Yc** these values play role in GED data reduction and can be refined. By default they are equal to **Xc** and **Yc**, respectively.

**fog**

Optical density corresponding to zero level of measured electron diffraction intensity. By default, 0.02.

**NozToPlate**

Distance (in mm) from nozzle to detector in GED experiment.

**SecToPlate**

Distance (in mm) from sector to detector in GED experiment.

**UseSector**

Turns on (**=1**) or off (**=0**, default) the usage of sector function in data reduction of this image.

**IntRfr**

**IntRto**

Smallest and largest distances (in mm) to the center of the diffraction pattern. The diffraction pattern within this range will be used in data reduction procedure.

**IntStep**

Step size for intensity curves refined from diffraction pattern in data reduction. The units for this keyword depend on the **IntStepType** keyword. If **IntStepType=sconst** step size is in reverse Angstroms, if **IntStepType=rconst** the step size is in mm.

**IntStepType**

Type of step increments for intensity curve in data reduction:

- **sconst** — constant step size on *s*-scale, this is default.
- **rconst** — constant step size on *r*-scale.

**IntLambda**

Electron wavelength (in Angstroms) for the diffraction pattern used in data reduction.

**MinT**

**MaxT**

Minimal and maximal level values. Only pixels with levels within this range are processed. By

default these keywords correspond to the full range of possible levels.

### **IntVarCentre**

### **IntVarSecCentre**

### **IntVarAsymBgl**

This group of keywords is for control of data reduction. They turn on (=1) or off (=0) the refinement of the center of diffraction pattern, center of rotating sector device and asymmetric background, respectively. By default all types of parameters refined. Note, if **IntVarCentre=0** then the center of sector is also fixed!

### **BitsPerPixel**

Number of bits per pixel. Normally this is determined automatically. If not, this can be defined here. Allowed values are 8 or 16.

### **ImgNbglX**

### **ImgNbglY**

Number of anchor points for additive asymmetric background along the X and Y axes.

### **MaxBgl**

Maximal allowed value of additive background in percent of average signal value on the diffraction pattern. The default value is 10.0.

## **Molecular geometry**

### **Z-matrices**

In UNEX geometrical structure of molecules can be defined by means of Z-matrices. For this purpose **ZMATRIX** command is used

```
ZMATRIX=mol,FREEZM,<ZMAT>,</ZMAT>
```

Here **mol** is the name of molecule, **FREEZM** is the format and the rest are the tags of the respective field in input file. The **FREEZM** format is rather flexible so that Z-matrices from many other programs can be transferred without problems. Positions of atoms can be defined by independent internal geometrical parameters (bond lengths, angles and torsional angles), Cartesian coordinates or combination of both. Usually a Z-matrix consists of two sections, body of Z-matrix and a list of its parameters. Elements in each line of Z-matrix can be separated by spaces, commas and/or tabulation characters. Same variable(s) can be used multiple times within one Z-matrix. In the most general case, definition of an atom in body of Z-matrix is as follows:

```
number of atom, symbol of atom, atomic mass, 1st reference atom, 1st parameter, 2nd  
reference atom, 2nd parameter, 3rd reference atom, 3rd parameter, type of definition
```

All items must be in one line. Number of atom, atomic mass and type of definition are optional. First three atoms require less reference atoms (see examples). Parameters can be explicitly defined

as floating point numbers (in this case they cannot be refined) or as names of variables. The list of variables goes after the main body of Z-matrix. In the very end of the line the type of definition can be defined as an integer. Possible types are

- 0, default type, three internal parameters are used for the definition of atom position: bond length, angle and torsional (dihedral) angle.
- 1 or -1, expected parameters are bond length, and two angles. There are two equivalent mirror-symmetric positions of atom corresponding to this set of internal parameters, therefore this type can be positive (+1) and negative (-1). The sign of the type corresponds to the sign of the torsional angle constructed on the defined atom and 1st, 2nd and 3rd reference atoms.
- 2 or -2, similar to the type above, internal parameters are bond length, 2nd bond length and an angle.
- 3 or -3, similar to the types above, internal parameters are three bond length to three reference atoms, respectively.
- 4, expected internal parameters are bond length, 2nd bond length and a torsional angle.

In case of using Cartesian coordinates, positions of atoms are defined as

Number of atom, symbol of atom, atomic mass, first parameter, second parameter, third parameter

Here the parameters are Cartesian coordinates of the atom. As in the case of bond lengths, angles and torsional angles, explicit values of Cartesian coordinates or names of variables can be defined here. If variables are used, a minus sign - can be prepended to a variable, indicating that in calculations of the atom position negated value of the corresponding parameter must be used. Note, it is impossible to use both Cartesian coordinates and internal geometrical parameters for definition of the same atom. However, within the same Z-matrix different atoms can be defined using both internal parameters and Cartesian coordinates.

Atoms can be also defined in centroids. For this the keyword **centroid** should be indicated after the definition of the atom and a list of reference atoms should be given. See below for particular examples.

The second part of Z-matrix is the list of variables, their values and, optionally, group numbers. Values of bond lengths cannot be negative or equal to zero. Values for angles must be between 0 to 180 degrees. Extreme values (0 or 180) are possible only in some special cases. Torsional angles can have any values.

Group number of a parameter is an integer value indicating the group, in which the parameter can be refined. Differences between values of parameters within one group are fixed during refinement. It is impossible to combine in same group

- bond lengths and (torsional) angles,
- Cartesian coordinates and bond lengths,
- Cartesian coordinates and (torsional) angles.

In GED dynamic models, the non-rigid coordinates (for example, torsional angles) must be labeled with negative group numbers. This is a special case, not an indication of a refinable parameter. In dynamic models there is no need to specify groups in Z-matrices for each pseudo-conformer; it is enough to specify group numbers only for parameters of the first pseudo-conformer.

Examples of Z-matrices:

#### 1. Simplest example

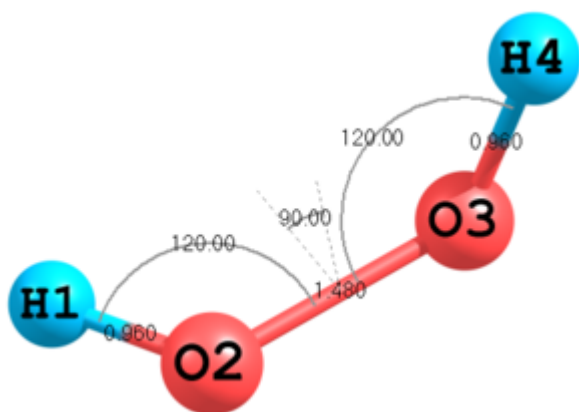


Figure 1. Molecular structure of H<sub>2</sub>O<sub>2</sub>.

```
H
O 1 0.960
O 2 1.480 1 120.0
H 3 0.960 2 120.0 1 120.0
```

This is a simplest example. First three atoms are defined in a special way, the fourth one is defined in a general way. To specify the first atom no parameters are required, position of the second atom is determined by the H—O bond length, position of the third atom is determined by the O—O bond length and the H—O—O angle. The fourth atom is determined by a triple of parameters: a bond length, an angle and a torsional angle. The values of all parameters are given explicitly in the Z-matrix body. However, in many cases it is more convenient to define variables in a second part of Z-matrix and use them in the first part:

```
H
O 1 Roh
O 2 Roo 1 AooH
H 3 Roh 2 AooH 1 Fhh
```

```
Roh=0.960    1
Roo=1.480    1
AooH=120.0   2
Fhh=120.0    3
```

In this example it is also demonstrated how group numbers are assigned to parameters. Here two bond lengths **Roh** and **Roo** are in group 1, the angle **AooH** is in group 2 and the torsion angle **Fhh** is in group 3. The parameters with assigned group numbers can be processed and refined,



for example in **MINIMIZE** procedure.

## 2. Alternative way for definition of third atom

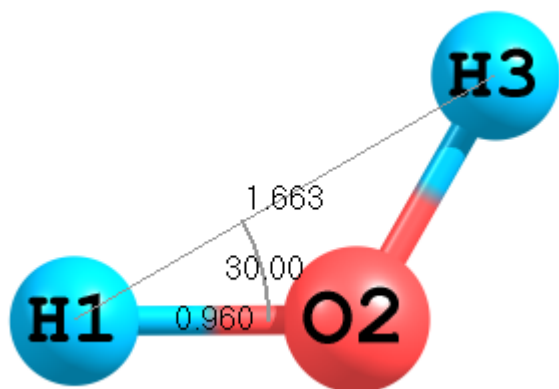


Figure 2. Molecular structure of H<sub>2</sub>O.

Usually (see first example) position of third atom is determined by a distance to second atom and by an angle to the first atom. Here is an example of an alternative way for definition of the third atom, where a distance to the first atom and an angle of 3—1—2 atoms are used:

```
H
O 1 Roh
H 1 Rhh 2 Ahho

Roh=0.960
Rhh=1.663
Ahho=30.0
```

## 3. Definition of third atom by means of two distances

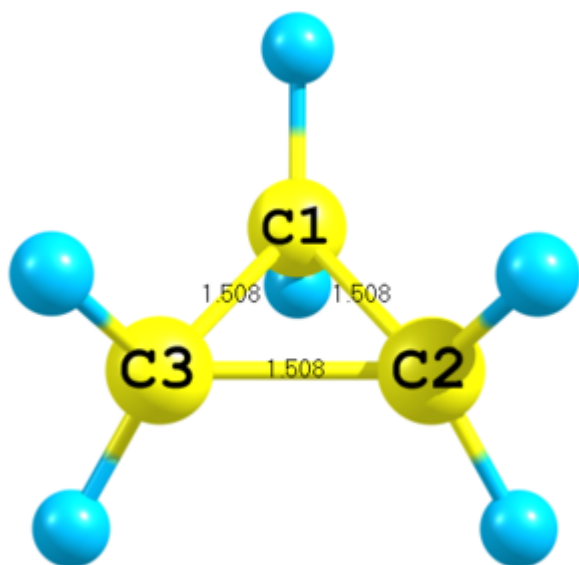


Figure 3. Molecular structure of cyclopropane.

```
C
C 1 Rcc
```

```
C 1 Rcc 2 Rcc 3
```

Rcc=1.508

Only distances can be used to define position of atoms. For a third atom only two distances are required. Here to define position of the third carbon distances to the first and to the second carbons are used and a special integer key **3** is given in the very end of the corresponding line.

#### 4. Definition of atoms with distance and two angles

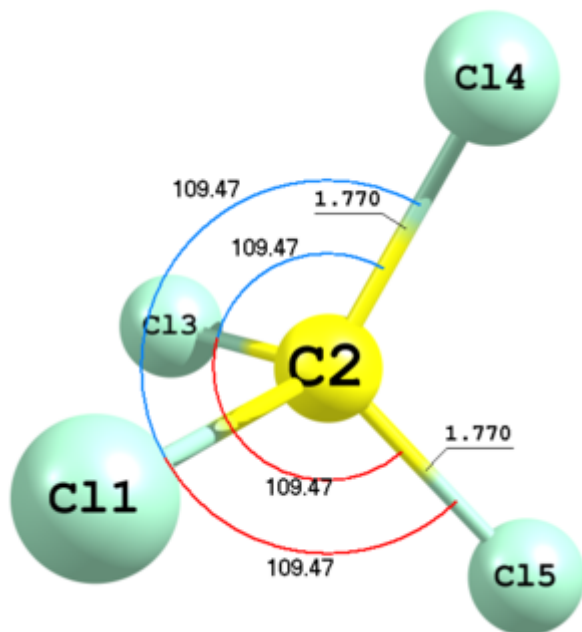


Figure 4. Molecular structure of carbon tetrachloride.

```
Cl
C 1 R1
Cl 2 R1 1 A1
Cl 2 R1 1 A1 3 A1 -1
Cl 2 R1 1 A1 3 A1 1

R1 1.7724
A1 109.47122063
```

Here is an example of carbon tetrachloride defined with  $T_d$  symmetry. The last two chlorine atoms are defined using bond lengths and angles, represented as **R1** and **A1** variables. This type of definition is indicated with **1** or **-1** keywords in the very end of the corresponding lines. The sign of this keyword always corresponds to the sign of the respective torsion angle  $X-A-B-C$ , where  $X$  is the defined atom and  $A$ ,  $B$  and  $C$  are the first, second and third anchor atoms, respectively. In this example positions of the last two atoms are determined by exactly the same parameters but with two different by sign keys **1** and **-1**.

#### 5. Definition of atoms using two distances and one angle

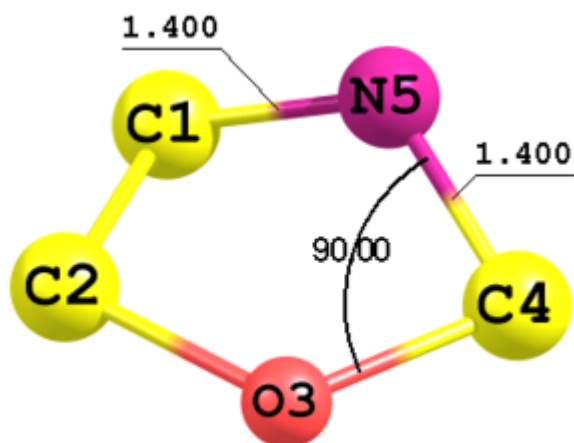


Figure 5. Fragment of a molecule with 5-membered ring.

```

C
C 1 Rcc
O 2 Rco 1 Acco
C 3 Rco 2 Acoc 1 F1
N 1 Rcn 4 Rcn 3 Anco 2

Rcc 1.51
Rco 1.53
Rcn 1.40
Acco 106.0
Acoc 108.0
Anco 90.0
F1 0.0

```

In this example the 5-th atom is defined using two distances C1—N5 and C4—N5 (both equal to **Rcn**) and an angle O3—C4—N5 (parameter **Anco**). This type of definition is indicated by the integer key **2** in the very end of the line. Sign of this parameter corresponds to the sign of the torsional angle N5—C1—C4—O3. In this case the configuration with parameter **-2** would be symmetrically equivalent to the presented structure.

#### 6. Definition of atoms using two distances and a torsional angle

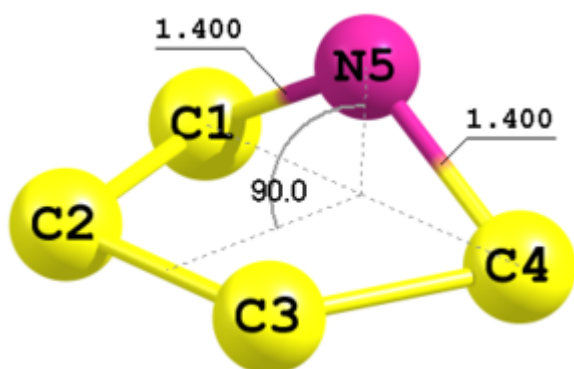


Figure 6. Fragment of another molecule with 5-membered ring.

```

C

```

```

C 1 Rcc
C 2 Rcc2 1 Accc
C 3 Rcc 2 Accc 1 F1
N 1 Rcn 4 Rcn 3 F2 4

```

```

Rcc 1.5152
Rcc2 1.53
Rcn 1.40
Accc 106.0
F1 0.0
F2 90.0

```

This is an example of geometry definition of a five-membered ring in envelope conformation with symmetry  $C_s$ . Here the 5-th atom is defined using two distances C1—N5 and C4—N5 (both equal to **Rcn**) and a dihedral angle C3—C4—C1—N5 (parameter **F2**). This is indicated by the key **4** in the very end of the respective line. There is no **-4** type since the geometrical configuration is already defined by the sign of the dihedral angle.

#### 7. Definition of atoms using three distances

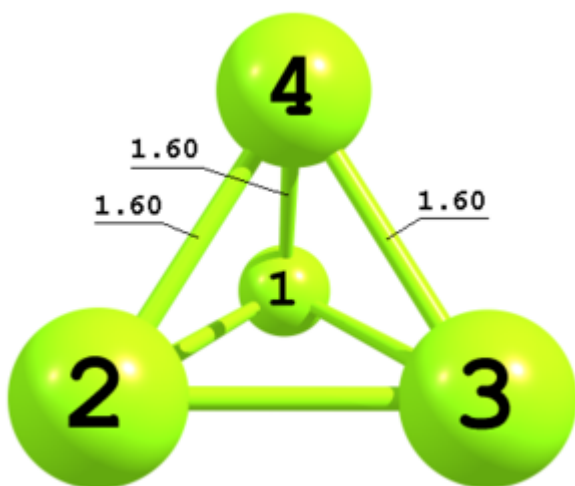


Figure 7. Tetrahedral structure of  $P_4$  molecule.

```

P
P 1 Rpp
P 1 Rpp 2 Rpp 3
P 1 Rpp 2 Rpp 3 Rpp -3

Rpp 1.60

```

This is an example of a Z-matrix for the tetrahedral  $P_4$  molecule with only one independent geometrical parameter within  $T_d$  point group. The third atom is defined as in example 3. The fourth atom is defined using three distances and a key **-3**. The sign of the key defines geometrical configuration and corresponds to the sign of the dihedral angle P3—P2—P1—P4.

#### 8. Using Cartesian coordinates

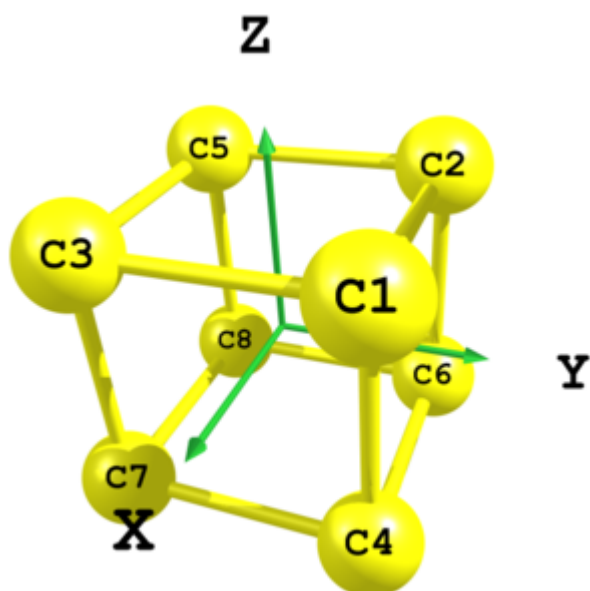


Figure 8. Structure of cubane carbon skeleton.

```

C   xx   yy   zz
C  -xx   yy   zz
C   xx  -yy   zz
C   xx   yy  -zz
C  -xx  -yy   zz
C  -xx   yy  -zz
C   xx  -yy  -zz
C  -xx  -yy  -zz

```

```

xx = 0.8
yy = 0.8
zz = 0.8

```

In UNEX it is possible to define molecular geometry using Cartesian coordinates within Z-matrix. In this example a cubane carbon skeleton is defined using only Cartesian coordinates. Here formally three independent parameters are used: **xx**, **yy** and **zz**. However, for the octahedral symmetry they are all equal and can be reduced to just one parameter. Note also the usage of minus signs before variables in some places.

## 9. Mixing Cartesian coordinates and internal parameters

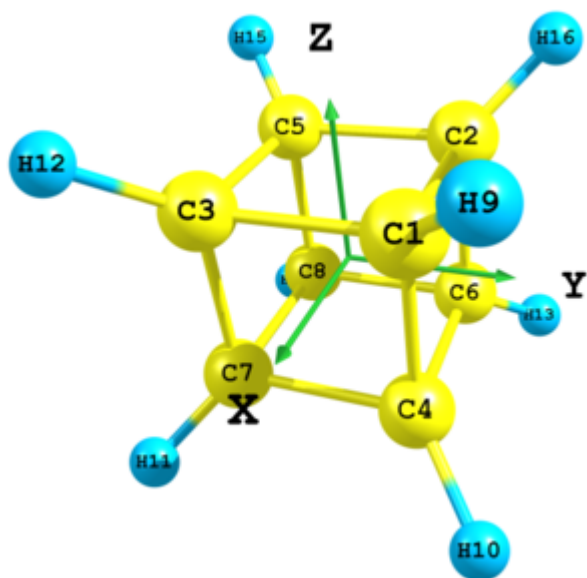


Figure 9. Molecular structure of cubane.

```

C   xx   yy   zz
C  -xx   yy   zz
C   xx  -yy   zz
C   xx   yy  -zz
C  -xx  -yy   zz
C  -xx   yy  -zz
C   xx  -yy  -zz
C  -xx  -yy  -zz
H 2 Rch 3 Rch 4 Rch  -3
H 1 Rch 6 Rch 7 Rch   3
H 3 Rch 4 Rch 8 Rch   3
H 1 Rch 5 Rch 7 Rch  -3
H 2 Rch 4 Rch 8 Rch  -3
H 5 Rch 6 Rch 7 Rch  -3
H 2 Rch 3 Rch 8 Rch   3
H 1 Rch 5 Rch 6 Rch   3

```

```

xx = 0.8
yy = 0.8
zz = 0.8
Rch = 2.4

```

In UNEX it is also possible to define molecular geometry using Cartesian and internal coordinates together. The cubane skeleton from the previous example is supplemented here with hydrogen atoms using the  $\pm 3$  type of definition (three distances). This is only for illustration purposes. In real practice for this molecule in the case of octahedral symmetry it would be more simple to use Cartesian coordinates for definition of hydrogens just like for carbons.

## 10. Dummy atoms

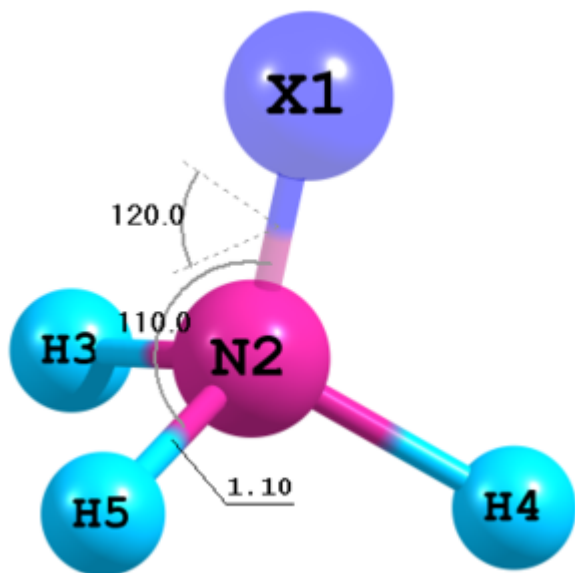


Figure 10. Molecular structure of  $\text{NH}_3$  with a dummy atom.

```

X
N 1 1.0
H 2 Rnh 1 Ahnx
H 2 Rnh 1 Ahnx 3 Dx
H 2 Rnh 1 Ahnx 3 -Dx

Rnh=1.1
Ahnx=110.0
Dx=120.0

```

Dummy atoms can be utilized for definition of molecular structure. The **X** symbol must be used for them. Also note the possibility to apply negative sign to the dihedral angle **Dx**.

## 11. Centroids

```

C
H 1 RCH
C 1 RCC 2 120.0
H 3 RCH 1 120.0 2 0.0
C 3 RCC 1 120.0 4 180.0
H 5 RCH 3 120.0 4 0.0
C 5 RCC 3 120.0 6 180.0
H 7 RCH 5 120.0 6 0.0
C 7 RCC 5 120.0 8 180.0
H 9 RCH 7 120.0 8 0.0
C 9 RCC 7 120.0 10 180.0
H 11 RCH 9 120.0 10 0.0
X centroid 1 3 5 7 9 11

RCH=1.08105          1
RCC=1.39157          2

```

Here the last dummy atom is defined in the centroid of the ring of atoms 1,3,5,7,9 and 11.

## 12. Explicit numeration of atoms

If default numbering is not acceptable atom numbers can be given explicitly in Z-matrix:

```
5 X
1 N 5 1.0
2 H 1 Rnh 5 Ahnx
3 H 1 Rnh 5 Ahnx 2 Dx
4 H 1 Rnh 5 Ahnx 2 -Dx

Rnh=1.1
Ahnx=110.0
Dx=120.0
```

Here the first defined dummy atom is in fact the 5-th in the list of atoms.

## 13. Definition of atom masses

By default UNEX uses masses of the most stable isotopes of atoms. However, masses of individual atoms can be defined right in Z-matrix, like in the example for D<sub>2</sub>O below

```
H 2.0141
O          1 Roh
H 2.0141 2 Roh 1 Ahoh

Roh=1.0
Ahoh=109.0
```

## 14. Definition of standard deviations

In UNEX there is a possibility to define values of Z-matrix parameters together with their respective standard deviations. This can be useful if you want to calculate propagation of the defined specific errors to some other dependent geometrical parameters. In the example below the distance **Rnh** has the value **1.1** and standard deviation **0.001**, the angle **Ahnx** is defined to be **110.0** degrees with standard deviation **0.2**, while the parameter **Dx** is defined with a standard deviation equal to **0.0**. For the latter it is also possible just to omit the value **0.0**. Note, for calculation of errors for other dependent geometrical parameters it is necessary to assign group numbers to parameters in Z-matrix, otherwise they will not participate in calculation even if their standard deviations are not zero.

```
X
N 1 1.0
H 2 Rnh 1 Ahnx
H 2 Rnh 1 Ahnx 3 Dx
H 2 Rnh 1 Ahnx 3 -Dx
```



```
Rnh=1.1      0.001      1
Ahnx=110.0   0.2        2
Dx=120.0     0.0
```

After definition of Z-matrix it is possible to modify its parameters. For this purpose **SET** commands can be used. The example below demonstrates their usage:

```
SET=BOND,mol, 2,3, 1.3
SET=ANGLE,mol, 1,2,3, 90.0
SET=TORSION,mol, 1,2,3,4, 180.0
```

Here the first command changes the value of a parameter in a Z-matrix, which corresponds to the distance between atoms 2 and 3. The value of this parameter will be set to 1.3 Å. Analogously, the other two commands set parameters corresponding to the angle 1—2—3 and the torsion angle 1—2—3—4 equal to 90 and 180 degrees, respectively.

## Cartesian coordinates

In some cases to perform required computations it is sufficient to define molecular structure only in form of Cartesian coordinates. For this purpose **MOLXYZ** command can be used:

```
MOLXYZ=mol,format,otag,ctag
```

Here **mol** is the name of molecule, **format** must be one of **XYZUNEX**, **XYZGAUSSIAN** or **ORCAVPT2**, **otag** and **ctag** are opening and closing tags of the corresponding data field to be read.

**XYZUNEX** is a flexible format. In the most complete form each line defines atom number, atom symbol, mass (in amu) and Cartesian coordinates:

```
<xyz>
1 0 16.0      0.000000      0.000000      0.115719
2 H  1.0      0.000000      0.748790     -0.462876
3 H  1.0      0.000000     -0.748790     -0.462876
</xyz>
```

Numeration must not be sequentially ordered. The following is also possible:

```
<xyz>
3 H  1.0      0.000000     -0.748790     -0.462876
1 0 16.0      0.000000      0.000000      0.115719
2 H  1.0      0.000000      0.748790     -0.462876
</xyz>
```

In the simplest form, numeration and masses can be omitted. In this case sequentially ordered numeration and default masses are assumed.



If masses are not given in the data field explicitly and the structure has been already defined earlier, then the original masses are not redefined.

Default units for Cartesian coordinates are Angstroms. With **Units** keyword also Bohrs can be used:

```
<xyz>
Units=Bohr
O      0.00000000    0.00000000    0.12236619
H      0.00000000    1.41500832   -0.97102012
H      0.00000000   -1.41500832   -0.97102012
</xyz>
```

The other possible format is **XYZGAUSSIAN**. It can be used for data printed by Gaussian [14] program, for example

```
<xyz2>
  1      1      0      0.000000    0.000000    1.539305
  2      6      0      0.000000    0.000000    0.458150
  3     17      0      0.000000    1.678636   -0.084082
  4     17      0      1.453741   -0.839318   -0.084082
  5     17      0     -1.453741   -0.839318   -0.084082
</xyz2>
```

Note, Gaussian can print Cartesian coordinates with or without atomic types (zeros in the example above). Both cases are recognized by UNEX automatically, so the following data can be read using exactly the same command:

```
<xyz2>
  1      1      0.000000    0.000000    1.539305
  2      6      0.000000    0.000000    0.458150
  3     17      0.000000    1.678636   -0.084082
  4     17      1.453741   -0.839318   -0.084082
  5     17     -1.453741   -0.839318   -0.084082
</xyz2>
```

The other format option is **ORCAVPT2**. In this format Orca program [15] prints Cartesian coordinates in VPT2 output files (not the general log file), for example:

```
# Atomic coordinates in Angstroem
3
O   8   15.994914620    0.000000000000   -0.06428314752    0.000000000000
H   1    1.007825032    0.75034709185    0.51011010004    0.000000000000
H   1    1.007825032   -0.75034709185    0.51011010004    0.000000000000
```

Accordingly, UNEX can read the coordinates from the corresponding file using a command like

```
MOLXYZ=mol,ORCAVPT2,mol.vpt2
```

or by placing the data directly into UNEX input file between some tags. Note, UNEX can recognize this format if the string **# Atomic coordinates in Angstroem** is found.

Upon reading of data the atoms can be automatically renumbered using **RENUM** command, which must be given inside the data field:

```
<xyz1>
RENUM=1-2,2-3,3-1
C 12.0      -1.03693735   -0.02315941    0.76526551
C 12.0       0.02512688   -1.12502827    0.77820594
C 12.0       0.02512688   -1.12502827   -0.77820594
</xyz1>
```

Here the renumbering works as C1 → C2, C2 → C3 and C3 → C1.



**MOLXYZ** command can be executed when structure for a given molecule is already defined with a Z-matrix. In this case parameters of the Z-matrix are recalculated using input Cartesian coordinates. However, Z-matrices can imply geometrical restrictions like symmetry, equality of parameters, etc. In such a case the Z-matrix might be incompatible with the introduced Cartesian coordinates and the updated parameters cannot fully reproduce the input geometrical structure.

## Potential functions



Starting from UNEX 1.6-990 the input numeration of parameters for potential functions starts from zero!

In order to construct a dynamic model for molecular part of electron diffraction intensity a potential function must be introduced. This can be done using **POTENTIAL** command:

```
POTENTIAL=mol,format,otag,ctag
```

here **mol** is the name of molecule, **format** can be **PTL1** or **FUNC**, **otag** and **ctag** are opening and closing tags of data field as usually.

The **PTL1** format is for the case when potential function is introduced in numerical form, for example

```
POTENTIAL=mol,PTL1,<pot>,</pot>

<pot>
0.0  52.759317
10.0 52.265898
```

```

20.0  50.701285
30.0  47.791786
40.0  43.252047
50.0  37.535062
60.0  31.751394
70.0  23.594173
80.0  13.194505
90.0   5.294997
100.0  1.090070
110.0  0.000000
</pot>

```

Here in the first column are the values of the geometric parameter corresponding to the dynamic coordinate. In the second column are the respective energy values; their units can be defined by **PotEUnits** keyword in BASE. After reading the data UNEX performs two major actions. First, all the values are shifted so the minimal value equals to zero. Second, the data are approximated with a function. Type of the function depends on the **PotType** setting in the field of the respective molecule. If it is a parametric function then the **PotCoefNum** keyword should be set to a proper value. Note, this keyword defines total number of parameters in the potential function including free term, if applicable. For example, the combination **PotType=Cos1** and **PotCoefNum=3** defines the following potential function (note the numeration of parameters  $V_i$ ):

$$V = V_0 + \frac{V_1}{2}[1 - \cos(1 \times F)] + \frac{V_2}{2}[1 - \cos(2 \times F)]$$

Similarly, for **PotType=Polynom** and **PotCoefNum=5** the potential function will be

$$V = V_0 + V_1F + V_2F^2 + V_3F^3 + V_4F^4$$

The model **Gauss** for potential function has no free term and the combination **PotType=Gauss** and **PotCoefNum=6** gives

$$V = V_1 \exp\left(\frac{(F - \Delta_1)^2}{2w_1^2}\right) + V_2 \exp\left(\frac{(F - \Delta_2)^2}{2w_2^2}\right)$$

Data field in **PTL1** format may contain two special keywords: **POTCOEFV** and **POTCOEFG**. The first one is for setting initial values for parameters of model potential function. They are used in approximation procedure. Generally, for cosine series they are not required but for a sum of gaussians it is very advisable to set them to some reasonable values, which will be refined further by UNEX. The other keyword, **POTCOEFG**, is for setting group numbers for those parameters, which should be refined in **MINIMIZE** and related commands. The example below demonstrates both keywords

```

<pot>
POTCOEFG=0-31,1-32,2-33,3-34,4-35,5-36
POTCOEFV=0-53.0,1--0.3,2-1.0,3-2.0,4--2.8,5-1.0
      0.0    -2104.2041440921
     -10.0   -2104.2043320255
     -20.0   -2104.2049279551

```

```

-30.0    -2104.2060361246
-40.0    -2104.2077652201
-50.0    -2104.2099427046
-60.0    -2104.2121455875
-70.0    -2104.2152525088
-80.0    -2104.2192135333
-90.0    -2104.2222222971
-100.0   -2104.2238238691
-110.0   -2104.2242390548
-120.0   -2104.2240176886
-130.0   -2104.2236761259
-140.0   -2104.2234703951
-150.0   -2104.2234587732
-160.0   -2104.2235955815
-170.0   -2104.2237665581
-180.0   -2104.2238429817

```

</pot>

Several important points can be mentioned for this example.

- The energy values are given in atomic units so **PotEUnits=au** should be defined in BASE.
- This potential is best described with a sum of two gaussians, so **PotType=Gauss** should be defined in molecular data field.
- Two gaussians require in total six parameters, so **PotCoefNum=6** should be defined.
- **POTCOEFG** defines group numbers for potential function parameters in format **ParameterNumber-GroupNumber**. In this example the parameters get group numbers 31—36.
- **POTCOEFV** defines initial values for potential function parameters in format **ParameterNumber-Value**. For negative values the format includes the second minus sign **ParameterNumber--Value**. In this example the initial values for parameters are 53.0 for  $V_1$ , -0.3 for  $\Delta_1$ , 1.0 for  $w_1$ , 2.0 for  $V_2$ , -2.8 for  $\Delta_2$  and 1.0 for  $w_2$ . See above for the example of analytical expression of the sum of two gaussians.
- Numeration of parameters in **POTCOEFG** and **POTCOEFV** starts from zero.
- Not necessarily all parameters should be declared in **POTCOEFG** and **POTCOEFV**.



With a **PRINT=POTENTIAL,mol** command you can check current values of potential function parameters and their group numbers.

The other available format **FUNC** is for the case of introducing particular values of parameters for the potential function. The following example demonstrates the usage of this format.

```
POTENTIAL=mol,FUNC,<pot>,</pot>
```

```
<pot>
```

```
0 0.0
```

```
1 1.5    101
```

```
2 0.2    102
```

```
3 0.001
4 0.04 103
</pot>
```

In the data field at least two columns must be defined. The first column is for parameter indices, the second column contains values of respective parameters. The optional third column can contain group numbers for respective parameters. In this example the introduction of values for first five parameters is done. As in the examples above the keys **PotType** and **PotCoefNum** here also should be defined before reading the data. Additionally, group numbers 101, 102 and 103 are assigned to parameters 1, 2 and 4, respectively. Note, the numeration of parameters starts from zero. The scheme for numeration is as described above. For **Gauss** models special scheme is used: the parameters 0, 1 and 2 correspond to  $V$ ,  $\Delta$ , and  $w$  of the first Gaussian in the sum. The next tripple, 3, 4 and 5, correspond to parameters  $V_2$ ,  $\Delta_2$  and  $w_2$  (parameters of the second Gaussian) and so on.

It is possible to execute several **POTENTIAL** commands with **FUNC** format introducing different values of parameters and/or group numbers if required. Also it is not necessary to set all parameters in the data field. It is possible to define values only for selected parameters. In this case the other parameters will not be affected.



There is no general scheme for units of parameters for all types of parametric potential functions. Thus UNEX reads values of parameters without any internal conversion. It is user's responsibility to define values so that potential function gives energy in kJ/mol for dynamic coordinate in radians.

## Vibrational data

### Quadratic force constants

Quadratic (also known as harmonic) force constants in Cartesian coordinates are introduced with the **F2C** command:

```
F2C=mol,format,otag,ctag
```

Reading of data can be done in several formats: **FREEFC**, **GAUSSIANARCH**, **CFOURFCM**, **CFOURLOG**, **CFOURFJA64** and **ORCAHESS**. The option **FREEFC** is used when data are not formatted in any particular manner. UNEX reads floating point numbers line by line from left to right and correspondingly fills the lower-left triangle of force constants matrix. The data must be provided in Hartree Bohr<sup>-2</sup> units. For example, data for a triatomic molecule can look like

```
F2C=mol,FREEFC,<data2>,</data2>

<data2>
-0.00011
 0.00000  0.69921
 0.00000  0.00000  0.47159
 0.00006  0.00000  0.00000 -0.00006
```

```

0.00000 -0.34961 0.20819 0.00000 0.38113
0.00000 0.27346 -0.23580 0.00000 -0.24082 0.22485
0.00006 0.00000 0.00000 0.00001 0.00000 0.00000 -0.00006
0.00000 -0.34961 -0.20819 0.00000 -0.03153 -0.03264 0.00000 0.38113
0.00000 -0.27346 -0.23580 0.00000 0.03264 0.01095 0.00000 0.24082 0.22485
</data2>

```

or

```
F2C=mol,FREEFC,<data3>,</data3>
```

```
<data3>
```

```

-0.00011233 0.00000000 0.69921020 0.00000000 0.00000000
0.47159438 0.00005616 0.00000000 0.00000000 -0.00006270
0.00000000 -0.34960510 0.20818553 0.00000000 0.38113336
0.00000000 0.27346025 -0.23579719 0.00000000 -0.24082289
0.22485174 0.00005616 0.00000000 0.00000000 0.00000654
0.00000000 0.00000000 -0.00006270 0.00000000 -0.34960510
-0.20818553 0.00000000 -0.03152826 -0.03263736 0.00000000
0.38113336 0.00000000 -0.27346025 -0.23579719 0.00000000
0.03263736 0.01094545 0.00000000 0.24082289 0.22485174

```

```
</data3>
```

**GAUSSIANARCH** is the format of archive entry in the very end of Gaussian [14] output files. Here is an example for water molecule (a part of Gaussian output obtained from a calculation with **Freq** keyword):

```
F2C=mol,GAUSSIANARCH,<data>,</data>
```

```
<data>
```

```

1\1\GINC-CHEOPS10401\Freq\RPBE1PBE\6-31G(d,p)\H2O1\YVISHNEV\04-Nov-201
5\0\#P PBE1PBE/6-31G(d,p) Freq\H2O\0,1\0,0.,0.,0.118417\H,0.,0.7569
23,-0.473669\H,0.,-0.756923,-0.473669\\Version=EM64L-G09RevD.01\State=
1-A1\HF=-76.3369645\RMSD=8.979e-09\RMSF=4.171e-06\ZeroPoint=0.0217171\
Thermal=0.024552\Dipole=0.,0.,-0.8151838\DipoleDeriv=-0.7285751,0.,0.,
0.,-0.4461319,0.,0.,0.,-0.3542551,0.3642876,0.,0.,0.,0.223066,0.078652
,0.,0.1104485,0.1771276,0.3642876,0.,0.,0.,0.223066,-0.078652,0.,-0.11
04485,0.1771276\Polar=3.0234315,0.,7.2833047,0.,0.,5.4419574\PG=C02V [
C2(O1),SGV(H2)]\NImag=0\0.00011233,0.,0.69921020,0.,0.,0.47159438,0.
00005616,0.,0.,-0.00006270,0.,-0.34960510,0.20818553,0.,0.38113336,0.,
0.27346025,-0.23579719,0.,-0.24082289,0.22485174,0.00005616,0.,0.,0.00
000654,0.,0.,-0.00006270,0.,-0.34960510,-0.20818553,0.,-0.03152826,-0.
03263736,0.,0.38113336,0.,-0.27346025,-0.23579719,0.,0.03263736,0.0109
4545,0.,0.24082289,0.22485174\0.,0.,-0.00000976,0.,0.00000261,0.00000
488,0.,-0.00000261,0.00000488\\@

```

```
</data>
```



Instead of copying data to UNIX input file it is also possible to read the data

directly from other files. For this, after the format only the name (with path, if required) of the file with the data must be provided as

```
F2C=mol,GAUSSIANARCH,calculation.log
```

This option is available for **F2C**, **F3C** (see below) and many other commands in UNEX.

In the case of **GAUSSIANARCH** format there is a possibility to define which particular archive entry will be parsed by UNEX. For this, the keyword **Entry** must be used. For example, if you have a multi-job Gaussian output file and want to read the data from the second archive entry, then you must use

```
F2C=mol,GAUSSIANARCH,calculation.log Entry=2
```

Otherwise by default only the first entry is be parsed and processed.

The format **CFOURFCM** is used for reading force constants as they are written by the Cfour program [16] in **FCM** files, for example

```
F2C=mol,CFOURFCM,<data1>,</data1>

<data1>
2 12
-0.0000000000 0.0000000000 0.0000000000
0.0000000000 0.0000000000 0.0000000000
0.0000000000 -0.0000000000 0.0000000000
0.0000000000 0.0000000000 0.0000000000
0.0000000000 0.0000000000 2.0658524394
0.0000000000 0.0000000000 -2.0658524394
0.0000000000 0.0000000000 0.0000000000
-0.0000000000 0.0000000000 0.0000000000
0.0000000000 0.0000000000 0.0000000000
0.0000000000 -0.0000000000 0.0000000000
0.0000000000 0.0000000000 -2.0658524394
0.0000000000 0.0000000000 2.0658524394
</data1>
```

Note, in this format Cfour prints full matrix of force constants and UNEX reads all the data.

The other format option **CFOURLOG** is used for reading harmonic force constants from Cfour log files. The respective data may look like

	CL#1 x	CL#1 y	CL#1 z	CL#2 x	CL#2 y	CL#2 z
CL#1 x	0.037440					
CL#1 y	0.000000	0.150729				



CL#1 z	0.000000	-0.080107	0.094084			
CL#2 x	0.005503	0.000000	0.000000	0.037440		
CL#2 y	0.000000	-0.042209	-0.004598	0.000000	0.150729	
CL#2 z	0.000000	0.004598	0.007082	0.000000	0.080107	0.094084



It is important to check the molecular orientation, for which the Hessian is printed in Cfour log. Also, the numeration of atoms in the Hessian can be different from that in input file!

**CFOURFJA64** option is suitable for Cfour formatted job archive files (FJOBARC), which are produced by the Cfour program using 64 bit integers. In this format the data starts with the string **D2EZORDR**, for example (only few lines are shown):

```
D2EZORDR
-1619558400      1052094016              0              0
              0              0      -1800863744      -1096438098
              0              0              0              0
-1800863744      -1096438098              0              0
              0              0              0              0
-1541880946      1072243206              0              0
              0              0      -1542781662      -1076289018
      961544973      1070820251              0              0
-1542781662      -1076289018      961544973      -1076663397
              0              0              0              0
```

Using the **ORCAHESS** format it is possible to read force constants in Cartesian coordinates as they are printed by Orca [15] program in \*.hess files after the keyword **\$hessian**, for example (only first few lines are shown here):

```
$hessian
9
      0              1              2              3              4
0      8.0292682091E-01      8.3460223548E-14      9.7340153014E-17      -4.0146341046E-01      -3.0732160356E-01
1      8.3460223548E-14      5.4244904491E-01      -1.9508314770E-16      -2.4246471749E-01      -2.7122452246E-01
2      9.7340153014E-17      -1.9508314770E-16      2.5021344996E-09      1.2784996001E-16      9.5383934231E-17
```

## Cubic force constants

Cubic force constants in Cartesian coordinates are introduced with the **F3C** command:

```
F3C=mol,format,otag,ctag
```

In this case the only available format is **GAUSSIANARCH**, which expects Gaussian archive entry with cubic force constants in Cartesian coordinates, printed in jobs with the **Freq=cubic** keyword. Note, you can control from which entry to read the data using the **Entry** keyword in the same way as for

the **F2C** command (see above).

Cubic force constants in normal coordinates can be read into UNEX with the **F3N** command:

```
F3N=mol,format,otag,ctag
```

The available format is **IDXLIST**, which is the list of indices with respective force constant one per line, for example:

```
F3N=mol,IDXLIST,<cubic>,</cubic>

<cubic>
Units=cm
  7   7   7      311.3047211506
  7   7   8      338.7184194394
  7   8   8     -38.5261283132
  8   8   8    -1848.3145392607
  7   9   9     -246.5539755370
  8   9   9    -1854.0526848303
</cubic>
```

Here the first three integers are indices ( $i, j, k$ ) of normal modes, the numbering starts from 1, first 6 (5) modes correspond to rotations and translations. After the indices the corresponding force constant is given. Note, in this example the units for the constants are explicitly defined with the **Units** keyword. It has two options, **Hartree-amu-Bohr** (corresponds to units Hartree amu<sup>-3/2</sup> Bohr<sup>-3</sup>, this is assumed by default) and **cm** (means reduced values in cm<sup>-1</sup>). The units can also be defined in the command line. For example, to read in data in cm<sup>-1</sup> from the external file **cubic.dat** one can do

```
F3N=mol,IDXLIST,cubic.dat    Units=cm
```

Note, UNEX assumes force constants equal to zero for combinations ( $i, j, k$ ) not given in the input. Also, in this mode it makes no sense to provide on input multiple equivalent ( $i, j, k$ ), for example both (7, 8, 9) and (7, 9, 8), as they correspond to the same value of the force constant due to symmetry properties. The last readed value will be used by UNEX.

## Vibrational modes

In UNEX there is a command **VMOD**, which can be used both for reading in and for processing of data related to vibrational modes. Input modes are described here, for the details on processing see the section [Vibrational analysis](#). If it is required only to input frequencies, then the following variant of the command can be used:

```
VMOD=mol,READFREQ,otag,ctag
```

For example, reading frequencies (in cm<sup>-1</sup>) for water can be done simply as:

```
VMOD=h2o,READFREQ,<freq>,</freq>
<freq>
1737.01
3988.50
4145.43
</freq>
```

Vibrational modes can be scaled (multiplied by a factor). Scale factors for each mode defined in mass-weighted Cartesian coordinates are introduced by the command

```
VMOD=mol,READSCALEMC,<modsc>,</modsc>
```

where the respective data looks like

```
<modsc>
7 -1.0
8 -1.0
9 -1.0
</modsc>
```

In this example the scale factors (each equal to -1) are given for vibrational modes 7, 8 and 9. Notice, the first six (five for linear molecules) modes correspond to translations and rotations. So the numbering for vibrational modes starts from 7 (6 for linear molecules).

Complete vibrational modes can be read directly. For this UNEX supports two formats: **ORCAHES** and **CFURFJA64**.

Using the **ORCAHES** format it is possible to read the modes appearing in Orca \*.hess files after the keyword **\$normal\_modes**

```
VMOD=mol,ORCAHES,h2o_orca.hess
```

The respective part of the **h2o\_orca.hess** file may look like

```
$normal_modes
9 9
```

	0	1	2	3	4
0	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
1	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
2	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
3	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
4	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
5	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
6	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
7	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
8	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00	0.000000000E+00
	5	6	7	8	

0	0.0000000000E+00	2.1663493053E-17	-2.6137484626E-17	7.0336524903E-02
1	0.0000000000E+00	7.0212439058E-02	-5.0516880408E-02	-3.0959555454E-17
2	0.0000000000E+00	-2.6011329560E-18	7.1419641812E-18	-1.0336224485E-17
3	0.0000000000E+00	4.3256186384E-01	5.8140271968E-01	-5.5814293360E-01
4	0.0000000000E+00	-5.5715827254E-01	4.0086768384E-01	-4.3128047986E-01
5	0.0000000000E+00	1.0703631299E-16	-1.2062467606E-16	2.0674220999E-16
6	0.0000000000E+00	-4.3256186384E-01	-5.8140271968E-01	-5.5814293360E-01
7	0.0000000000E+00	-5.5715827254E-01	4.0086768384E-01	4.3128047986E-01
8	0.0000000000E+00	-6.5754660800E-17	7.2771131778E-18	-4.2699682611E-17

Normal modes can be read from Cfour formatted job archive files (FJOBARC), if they are created by a 64-bit integer version of Cfour. For this, the **CFOURFJA64** format must be used. The data in this format starts from the string **NORMCORD** followed by list of signed integers. Here is an example (with shortened data field):

```
VMOD=mol,CFOURFJA64,<ncoord>,</ncoord>
<ncoord>
NORMCORD
-866626241      1070475579      -1492162202      -1098255852
1998126717     -1096612452     -1408212297      1070475562
341220828      -1098255824      1455666656      -1096612443
-1406332546     1070475562      342250045        -1098255824
-1754433024     -1096612461      1288255770       -1096613896
</ncoord>
```

Naturally, you must not necessarily copy the data to the UNEX input file. Instead, the name of the file with the data can be indicated in the **VMOD** command:

```
VMOD=mol,CFOURFJA64,FJOBARC
```

## ED terms

A term in GED is a pair of atoms with associated parameters like distance, vibrational amplitude, correction and asymmetry (anharmonic, phase-shift) constant. Vibrational amplitudes, corrections and asymmetry constants required for calculation of ED molecular intensities can be introduced with **AMPLITUDES** command. The syntax of the command is as usual:

```
AMPLITUDES=mol,format,otag,ctag
```

where **format** can be either **FREEU**, **SHRINKU** or **ELDIFF**.

An example of data field in **FREEU** format:

```
<ampl>
#a1  a2      comment      l      corr      a      g
#
```

```

01  H2    0.9591    0.0675   -0.0126    2.0000    1
01  H3    0.9591    0.0675   -0.0126    2.0000    1
H2  H3    1.5063    0.1125   -0.0129    1.0000    2
</ampl>

```

Here each line includes a pair of atoms, arbitrary comment (here the distance between atoms is used as a comment), amplitude  $l$ , vibrational correction  $corr$ , asymmetry constant  $a$  and group number  $g$ . Amplitudes and corrections must be given in Å. By default the type and units for asymmetry constants depend on the current setting of the `ImolAnhTermModel` keyword (for details see see chapter [Models for ED intensity](#)). For `ImolAnhTermModel=Asym` the default expected type is here asymmetry parameter  $\kappa$  and the expected units are Å<sup>3</sup>. In case of `ImolAnhTermModel=Morse` the expected type is Morse parameter and the units are Å<sup>-1</sup>. However, it is possible to define explicitly the type and units for the input  $a$ -parameters with the local keyword `a-Units` as in the example:

```

<ampl>
a-Units=kA3
01  H2    0.9591    0.0675   -0.0135    8.0e-6
01  H3    0.9591    0.0675   -0.0135    8.0e-6
H2  H3    1.5063    0.1125   -0.0137    1.8e-6
</ampl>

```

The setting `kA3` for `a-Units` indicates here that the input  $a$ -values are in fact asymmetry constants  $\kappa$  in Å<sup>3</sup>. If the global keyword `ImolAnhTermModel` is set to `Morse`, then these values are converted internally to Morse constants according to the formula

$$a = 6 \frac{\kappa}{l^4}$$

where  $l$  is the respective amplitude taken from the same current input. Another option is `kpm3` if  $\kappa$  are given in pm<sup>3</sup>. Yet another option is `a-Units=c3pm3`, indicating that the input values are  $c_3$  parameters from the cumulant approximation [17]. They are converted internally to asymmetry parameters as  $\kappa = 10^{-6}c_3/6$ . Again, if `ImolAnhTermModel=Morse`, then Morse constants are calculated automatically as described above. Also it is possible to indicate explicitly the input of Morse constants in Å<sup>-1</sup> by defining `a-Units=MoAm1`. If at this point the current setting is `ImolAnhTermModel=Asym`, then the input Morse constants are automatically recalculated into asymmetry parameters  $\kappa$  according to the formula above.

Group numbers  $g$  may be defined for amplitudes. However, if you do not need to assign group numbers (no need to refine amplitudes), there is no need to set them to zeros explicitly. Instead, they may be simply omitted

```

<ampl>
#At1 At2  comment      l      corr      a      g
#
01  H2    0.9591    0.0675   -0.0126    2.0000
01  H3    0.9591    0.0675   -0.0126    2.0000
H2  H3    1.5063    0.1125   -0.0129    1.0000

```

```
</ampl>
```

If  $a$ -parameters are zero, they also can be omitted. Note, group numbers may still be defined

```
<ampl>
#At1 At2    comment      l      corr      a      g
#
01   H2     0.9591      0.0675  -0.0126          1
01   H3     0.9591      0.0675  -0.0126          1
H2   H3     1.5063      0.1125  -0.0129
</ampl>
```

If a correction is zero and the respective  $a$ -parameter is also zero, then both numbers may be omitted. But if the correction is zero and the  $a$ -parameter is not zero, then both numbers must be defined explicitly.

```
<ampl>
#At1 At2    comment      l      corr      a      g
#
01   H2     0.9591      0.0675
01   H3     0.9591      0.0675          1
H2   H3     1.5063      0.1125    0.0000    1.0000
</ampl>
```

Another format option is **SHRINKU**. It is implemented for reading data produced by the Shrink [18] program. Specifically UNEX expects data as it is printed by Shrink in tables for the second approximation:

```
<ampl>
#Amplitudes and corrections at 0010 K, second (harmonic)
#approximation, local centrifugal distortions included;
#<dr(> are deviations from equilibrium distances
#
#  Atoms  Distance  Amplitude <dr(loc)> <dr(har)>      K
#
1  01   H2    0.9591    0.0675    0.0011    0.0000    0.00365    1
2  01   H3    0.9591    0.0675    0.0011    0.0000    0.00365    2
3  H2   H3    1.5063    0.1125    0.0001   -0.0038    0.01201    3
</ampl>
```

From the given data UNEX reads amplitudes (from the fifth column) and corrections (Shrink prints them in the column **K**, in the second approximation they correspond to  $r_{h1}-r_a$ ). The values in columns **<dr(loc)>** and **<dr(har)>** are ignored. Note, in Shrink output the integers in the last column are simply indices of the corresponding atom pairs. In contrast, UNEX interprets them as group numbers. In reality it is very unlikely that one would leave them as they are. Normally you need to delete this column and assign group numbers manually or in another way, as needed.

If you want to use anharmonic corrections from Shrink, the column **K** must be substituted with the column **r<sub>e</sub>-r<sub>a</sub>** from the very last table produced by Shrink, so the format remains for UNEX unchanged. In the example below corrections to equilibrium structure are used and the integers are removed as it is normally done.

```
<ampl>
1  O1  H2   0.9591    0.0675    0.0011    0.0000   -0.0126
2  O1  H3   0.9591    0.0675    0.0011    0.0000   -0.0126
3  H2  H3   1.5063    0.1125    0.0001   -0.0038   -0.0129
</ampl>
```

The third available format **ELDIFF** is for introducing data produced by the ELDiff [19] program. Here is a shortened example of such data field:

```
<ampl>
#At.pair  Num    Re     Rg     Ra     Dr     Dr(har) Dr(kin) Dr(dyn) Ampl.  c3/6
C11-C2    ( 1) 1.7609 1.7687 1.7672 0.0078 0.0027 0.0009 0.0042 0.0517 2.59 555
C11-C13   ( 1) 2.8755 2.8857 2.8841 0.0102 0.0018 -0.0017 0.0101 0.0668 3.76
</ampl>
```

Vibrational corrections are calculated from the input data as differences **Re-Ra**. Amplitudes and asymmetry parameters **c3/6** are read as they are given. Note, the input **c3/6** values in pm<sup>3</sup> are converted internally to asymmetry parameters  $\kappa$  in Å<sup>3</sup>. However, they can be internally further converted to Morse parameters if the **ImolAnhTermModel** keyword is set to **Morse**. As usually, optional integers in the very end of lines are the group numbers for refinements of the respective amplitudes or their scale factors.

If due to some reason the numeration of atoms in the input data does not coincide with already defined numeration (for example, in Z-matrix), the **RENUM** command can be used:

```
<ampl>
RENUM=1-2,2-3,3-1
1  O1  H2   0.9591    0.0675    0.0011    0.0000   -0.0126
2  O1  H3   0.9591    0.0675    0.0011    0.0000   -0.0126
3  H2  H3   1.5063    0.1125    0.0001   -0.0038   -0.0129
</ampl>
```

It works in the same way as in the case of reading Cartesian coordinates. Namely, in the example above the following numeration will be done: O1 → O2, H2 → H3 and H3 → H1. **RENUM** works in fields of all format types. Note, multiple **RENUM** commands can be defined in the same data field and UNEX will process all of them. This can be useful when large amount of atoms must be renumbered and the corresponding list would be too long for a single **RENUM** command.

As already mentioned integer numbers in the very end of lines are interpreted by UNEX as group numbers for amplitudes so that they can be refined. In case of dynamic GED models group numbers can be defined only for the first pseudoconformer in the **psconfs** list (see above). Group

numbers for amplitudes of other pseudoconformers are assigned automatically and coincide with those for the first pseudoconformer.

In general **AMPLITUDES** allows introducing vibrational amplitudes, corrections, asymmetry constants and group numbers for amplitudes. It is not guaranteed that with this command interatomic distances can be introduced. Another limitation is the impossibility to define group numbers for  $r_a$  distances, when there is a need to refine them as independent parameters. Thus the **AMPLITUDES** command is used mostly for geometrically consistent models, when molecular structures are defined in terms of Z-matrices or Cartesian coordinates.

There is, however, an alternative command **GEDTERMS** for defining all required parameters for calculation of ED molecular intensity curves

```
GEDTERMS=mol,GTRM,otag,ctag
```

It accepts only one type of format **GTRM**, which assumes that each line contains a pair of atoms,  $r_a$  distance, amplitude, correction (normally zero), asymmetry constant and group numbers for the distances and amplitudes as shown in the example below

```
<gtrm>
#At1 At2      r_a      l      corr      a      Gr      Gl
#
C2   C11    1.7625    0.05485    0.00    0.00001    3      5
C2   C13    1.7625    0.05485    0.00    0.00001    3      5
C2   C14    1.7625    0.05485    0.00    0.00001    3      5
C2   C15    1.7625    0.05485    0.00    0.00001    3      5
C11  C13    2.8871    0.06844    0.00    0.00002    4      6
C11  C14    2.8871    0.06844    0.00    0.00002    4      6
C11  C15    2.8871    0.06844    0.00    0.00002    4      6
C13  C14    2.8871    0.06844    0.00    0.00002    4      6
C13  C15    2.8871    0.06844    0.00    0.00002    4      6
C14  C15    2.8871    0.06844    0.00    0.00002    4      6
</gtrm>
```

Note, the definition of  $a$ -parameters follows here the same rules as for the **AMPLITUDES** command, likewise it is possible to use the local **RENUM** command and the **a-Units** keyword. See above for details.

For investigation purposes with UNEX it is possible to refine  $r_a$  distances independently, resulting in a so-called geometrically inconsistent structure. The corresponding group numbers for  $r_a$  distances can be defined only with the **GEDTERMS** command.



Both **AMPLITUDES** and **GEDTERMS** can be called multiple times for the same molecule. In this way parameters of terms can be updated. Moreover, group numbers can also be updated. For this, the respective integer numbers must be defined explicitly. If no group number is defined, the old value will be in effect. In doubt you can call **PRINT=TERMS** to see the current active parameters and settings for



terms.



UNEX can automatically check values of parameters for symmetrically equivalent terms. This is done if symmetry has been determined for the molecule before introducing parameters for terms, for example by calling `PRINT=SYMMETRY`. The values of amplitudes, corrections and asymmetry constants for equivalent terms will be symmetrized (averaged) if they differ. In case of significant differences, warning messages will be printed. The symmetrization procedure can be turned off by defining `GvibSymmInput=0` in `BASE`.

It is possible to set all distance corrections of a molecule to a single value at once. For this the `SET` command can be used as `SET=ALLRCORR,mol,value`. For example,

```
SET=ALLRCORR,mymol 0.0
```

will zero out all distance corrections in the `mymol` molecule.

## ED scattering factors

For calculation of theoretical molecular contribution to the total electron diffraction intensity scattering factors are required. In chapter [Models for ED intensity](#) these factors are denoted as  $f$ -functions, which characterize scattering ability of atom pairs. Also in some cases it is convenient to operate with so called  $g$ -functions defined as the ratio

$$g = \frac{f}{I_{\text{at}}}$$

where  $I_{\text{at}}$  is the atomic contribution to the total electron scattering intensity. In UNEX calculation of all these functions can be done using the `GF` command:

```
GF=mol,AUTO,<electron_energy> Units=[A,kV]
```

where `<electron_energy>` is the floating point number defining the energy of electrons. There are two possibilities to provide this quantity, in form of the electron wavelength in (in Å) or using accelerating voltage (in kV). This is controlled by the optional keyword `Units`, which accepts options `A` for Angstroms and `kV` for kilovolts. The electron wavelength and accelerating voltage are recomputed in each other internally in UNEX using the formula (4.3.1.33) in [8]. By default the electron wavelength is expected, so for example the command

```
GF=mol,AUTO,0.05
```

calculates scattering factors for `mol` and the electron wavelength 0.05 Å. This is equivalent to the command

```
GF=mol,AUTO,56.9871878 Units=kV
```

The methods for calculation of elastic and inelastic scattering factors are globally defined using the keywords **EDeIScatFacMethod** and **EDIneIScatFacMethod**, respectively. For electrons with energy 10-100 kV the options **PwTab2** and **MorseTab2** are recommended. In this case UNEX uses built-in tables [8] of inelastic factors and scattering amplitudes and phases defined for the accelerating voltages 10, 40, 60, 90 kV and for s-values up to 60 Å<sup>-1</sup>. Two-dimensional cubic splines are used for calculation of required parameters from tabulated values. Note, for accelerating voltages outside the 10-90 kV range the scattering amplitudes and phases are calculated by cubic extrapolation. This may be inaccurate for energies significantly deviating from the stated range. For MeV electrons the recommended options are **Born1Tab1C1** and **MorseTab2**, respectively. Note, the **GF** command can be called multiple times for the same molecule. The corresponding functions will be recalculated with defined parameters.

## ED intensities

UNEX has a special system for referencing of all types of intensity curves. Each curve is defined as a pair of integer numbers, for example **1-1** represents in the input syntax a particular curve. This system is designed to simplify categorization of curves and is closely related to the format (see below) of their definition in UNEX input files. The most common and natural for GED basis for categorization of curves is the distance between nozzle and detector. There can be, however, other considerations how to form groups of curves, by methods of data reduction and processing, by dates of experiments, this is up to user.

### Total intensity

To introduce total intensity curves **INT** command is used:

```
INT=READ,otag,ctag
```

The simplest example of the data field is

```
<INT>
INT1
 7.4000000000    5.8921150540
 7.6000000000    5.6976865331
 7.8000000000    5.5221522042
 8.0000000000    5.3696527781
 8.2000000000    5.2437855620
 8.4000000000    5.1021824331
 8.6000000000    4.9709462648
 8.8000000000    4.8373286097
 9.0000000000    4.7019566330
 9.2000000000    4.5680270813
 9.4000000000    4.4361494355
 9.6000000000    4.3235065367
```

9.8000000000	4.2099473887
10.0000000000	4.1088546902

</INT>

In the first column are s-values, in the second column are values of total electron scattering intensity. Here only one intensity curve is defined. In UNEX syntax it can be referenced as 1-1 curve. The input format requires that each data set starts with an INT keyword followed by an integer number. In the example above it is INT1, which means first group. This is first definition of an INT1 curve, therefore it has the 1-1 reference code. You can input several data sets in the first group as in the following example

```
<INT>
INT1
  7.4000000000      5.8921150540
  7.6000000000      5.6976865331
  7.8000000000      5.5221522042
INT1
  9.6000000000      4.3235065367
  9.8000000000      4.2099473887
 10.0000000000      4.1088546902
</INT>
```

Here the first curve from above is 1-1, the second one gets the code 1-2. In the same way a second and so on groups of intensities can be introduced:

```
<INT>
INT1
  7.4000000000      5.8921150540
  7.6000000000      5.6976865331
  7.8000000000      5.5221522042
INT1
  9.6000000000      4.3235065367
  9.8000000000      4.2099473887
 10.0000000000      4.1088546902
INT2
 28.1000000000      1.0475326418
 28.2000000000      1.0393546185
 28.3000000000      1.0276683596
INT2
 28.0000000000      1.0475326418
 28.2000000000      1.0393546185
 28.3000000000      1.0276683596
 30.0550000000      1.0159231825
</INT>
```

Here curves 1-1, 1-2, 2-1 and 2-2 are defined. Note, UNEX assumes no constant step on the s-scale. The only requirements are that

- $s$  and intensity values must be positive,
- $s$ -values must be sorted in ascending order,
- there must be no equal  $s$ -values, differences smaller than **MinDs** are not allowed.

The UNEX input format also allows introducing standard deviations for intensity values:

```
<INT>
INT1
6.2000000000      7.4146108106      0.0039183246
6.4000000000      7.1488355268      0.0021688941
6.6000000000      6.8938017418      0.0021065446
6.8000000000      6.6412539602      0.0020678461
7.0000000000      6.3619711300      0.0020204005
7.2000000000      6.1273418113      0.0019858103
7.4000000000      5.8921150540      0.0019449769
7.6000000000      5.6976865331      0.0019131756
7.8000000000      5.5221522042      0.0018765991
8.0000000000      5.3696527781      0.0018468983
</INT>
```

Here as usually in the first and second columns are  $s$  and intensity values and in the third column are the respective standard deviations of the intensity values. They can be used by UNEX in different procedures, for example in least-squares refinement method. Standard deviations are optional and can be defined only for particular points:

```
<INT>
INT1
6.2000000000      7.4146108106
6.4000000000      7.1488355268      2.0
6.6000000000      6.8938017418
6.8000000000      6.6412539602      2.0
</INT>
```

Here we define standard deviations **2.0** for two points, for the rest default value is assumed. The default value can be defined by using **GStdev** keyword. Also note that in this example we define standard deviations for total intensity. However, using respective setting for the keyword **TypeStdev** (see below) it is possible to define standard deviations for molecular intensity  $sM(s)$ , which may be later calculated from the input total intensity.

UNEX allows setting special parameters related to particular intensity curves. They are defined after corresponding **INT** keywords like in the example

```
<INT>
INT1 Parameter1=value Parameter2=value
6.2000000000      7.4146108106
6.4000000000      7.1488355268
6.6000000000      6.8938017418
```

6.8000000000  
</INT>

6.6412539602

Note, there must be no space characters in pairs Parameter=value.



The set of parameters, which should be defined for each intensity curve depends on the particular application. Various procedures in UNEX may require different parameters to be defined.

Possible parameters are

### Scale

Scale factor for molecular intensity curve. The default value is 1.0.

### VarSc

Group number in **MINIMIZE** and alike procedures. If this parameter equals to a positive integer number then the respective scale factor will be refined.

### NtoP

Nozzle-to-Plate — distance from diffraction point to the detector in mm. Input s-values must correspond to this value.

### NewNtoP

New nozzle-to-plate value in mm. Input s-values will be recalculated using defined **NtoP** and **NewNtoP**.

### StoP

Sector-to-Plate — distance from rotating sector defice to the detector in mm.

### Lam

Lambda — electron wavelength in Å. This parameter tells UNEX for which electron wavelength correspond input s-values.

### NewLam

New value of electron wavelength in Å. This should be defined together with **Lam** if you want to recalculate input s-values for the new value of electron wavelength.

### Tfac

t-factor — a value proportional to the exposure time in the measurement of the corresponding diffraction pattern. This parameter can be used in models for the total intensity. For description of models see introduction to the theory of background lines.

### Std

Set this parameter to **CC14**, **C6H6**, **CS2** or **CO2** if the intensity corresponds to one of these compounds and you want to use it for determination of electron wavelength with **STANDARD** command.

### BglNinflThr

Threshold for the number of inflection points on background line. By default the value of this

keyword is negative, indicating that the global setting must be used (see keyword **BglNinflThr**).

### **RBPSDThr**

Threshold for the relative power spectral density when smoothing background.

### **BglPolPow**

Polynom power for the background line. In negative (this is default), then the global setting is used, see the global keyword **BglPolPow**.

### **MCLam**

Group number for electron wavelength in Monte-Carlo procedure **MCMIN**. Positive value indicates that electron wavelength will be randomized in **MCMIN**.

### **MCLamS**

Standard deviation for electron wavelength in Å. It is used for randomization of electron wavelength in **MCMIN**.

### **MCBglQG**

Group number for functional **Q** used in procedure for approximation of background line with cubic splines. Positive value indicates that **Q** will be randomized in **MCMIN** and used for recalculation of the background line.

### **MCBglQMin**

Minimal value of functional **Q** randomized in **MCMIN**.

### **MCBglQMax**

Maximal value of functional **Q** randomized in **MCMIN**.

### **ReadStdev**

Integer keyword allowing (**ReadStdev=1**, default) or disabling (**ReadStdev=0**) reading of standard deviations for intensity values.

### **GStdev**

Default value for standard deviations of intensity if they are not given explicitly in input. By default **GStdev=1.0**.

### **TypeStdev**

Type of standard deviations on input. By default they are given for total intensity (**TypeStdev=int**) but you can also provide standard deviations directly for *sM(s)* curves (**TypeStdev=sms**).

### **LvlInfl**

Number of inflection points of spline used for levelling of total intensity and background.

### **LvlPow**

Power of polynomial used for levelling of total intensity and background.

### **StdModBglPow**

Power of Chebyshev polynomial, which is used in **STANDARD=LSQ** procedure as a model for

additive background in least-squares refinement. The default value is 3.

## IModel

Type of model for total intensity, one of: **none** (undefined model), **mbgl**, **a1bgl** and **a2bgl**. For details see [Models for ED intensity](#) and [ED background lines](#).

## Molecular intensity

Reduced molecular intensity  $sM(s)$  can also be directly introduced into UNEX using **SMS** command

```
SMS=READ,otag,ctag,int
```

where **int** is the intensity identifier, **otag** and **ctag** are opening and closing tags for the corresponding data field, respectively. Format of data field is simple — just two columns with  $s$  and  $sM(s)$  values. Example of the command and data field:

```
SMS=READ,<sms>,</sms>,1-1
```

```
<sms>
```

```
6.0000 -1.2163543885
```

```
6.2000 -1.0629013696
```

```
6.4000 -0.6487354615
```

```
6.6000 -0.1055888595
```

```
6.8000 0.4035742744
```

```
7.0000 0.7359056260
```

```
</sms>
```

If the data set **1-1** has been already defined and the original number of data points coincides with the number of data in the **SMS** field then the newly introduced data just overwrites old values. Otherwise this data set will be (re)initialized and will contain only  $sM(s)$  data.

## Experimental background

Experimental background can be directly introduced using the **BGL** command

```
BGL=READ,otag,ctag,int [num] [keywords]
```

where **otag** and **ctag** are the tags of the data field, **int** is the data set identifier, the optional integer number **num** is used when smoothing of the input data is required. Optional keywords can be also specified for additional control of the smoothing procedure. They are explained below in [ED background lines](#) section. The format of the data field normally requires two columns, with values for  $s$  and respective background:

```
<bgl>
```

```
10.0 0.208101
```

```
10.1 0.208028
```

```
10.2  0.207974
10.3  0.207913
10.4  0.207872
10.5  0.207835
</bgl>
```

Note, the *s*-values must be exactly the same as in the data set. There is also a possibility to introduce background values without respective *s*-values. In this case the data are just in one column and no checks are performed:

```
<bgl>
0.208101
0.208028
0.207974
0.207913
0.207872
0.207835
</bgl>
```

In this case it is users responsibility to ensure that the input values correspond to the *s*-values in the original data set.

After reading and optional processing the background data are used for calculation of experimental molecular intensity function. For details about smoothing procedure and derivation of the molecular intensity see sections below regarding models of ED intensity and background. Below are some examples. Read background and calculate  $sM(s)$  for 1-1:

```
BGL=READ,<mybgl>,</mybgl>,1-1
```

Read background, smooth it (by default cubic splines are used and here 3 means maximal number of inflection points) and calculate  $sM(s)$ :

```
BGL=READ,<mybgl>,</mybgl>,1-1,3
```

Note, the type of background (multiplicative, additive) can be indicated using the **IModel** keyword for the **BGL** command. Details on keywords and examples are given below.



The described **BGL=READ** command implicitly sets the respective model for the total intensity. Thus the initial setting can be overridden.

## ED sector function

Rotating sector is a special device in electron diffraction experiment for levelling intensity of scattered electrons so that detector can measure it in wide range of angles. Thus, sector modifies primary data, which is mathematically equivalent to multiplication of primary intensity by some



function. This function depends on the shape of the sector and is called sector function.

In UNEX sector function is decomposed into two parts, analytical model part and a possible numerical correction. The model part is controlled by the `SecModelType` keyword. For `SecModelType=rpn` the model sector is

$$F = A \times \left( \frac{r}{r_{\max}} \right)^n$$

for `SecModelType=sinpn` the model is

$$F = A \times \left[ \sin \left( \frac{r}{r_{\max}} \right) \right]^n$$

and if `SecModelType=const` the model is

$$F = A$$

The parameters  $A$ ,  $n$  and  $r_{\max}$  are controlled by the `SecPrmA`, `SecPrmN` and `SecPrmRmax` keywords. By default, the model sector function is

$$F = 2\pi \left( \frac{r}{100} \right)^3$$

The other part of the sector function is the so-called reduced sector function. By default it is initialized to constant 1. However, it can be defined in the numerical form and the total sector function is then calculated as

$$S = F \times f$$

where  $S$  is the total sector function,  $F$  is the model sector function and  $f$  is the reduced sector function.

In numerical form sector function can be introduced with the `SECTOR` command

```
SECTOR=format,otag,ctag
```

Here `format` can be `READTOTAL` or `READREDUCED`.

The `READTOTAL` format is used to input total sector function

```
SECTOR=READTOTAL,<sec>,</sec>
```

```
<sec>
```

```
1.0    0.00000463
10.0   0.00462963
20.0   0.03703704
30.0   0.12500000
40.0   0.29629630
50.0   0.57870370
60.0   1.00000000
```

```
</sec>
```

The data field in the example above contains distances in mm from the center of sector and respective values of the total sector function. The reduced sector function is calculated from these values based on the current model.

The other option is the **READREDUCED** format. It is used for introducing of the reduced sector function directly. Below is the respective example

```
SECTOR=READREDUCED,<rsec>,</rsec>
```

```
<rsec>
```

8.250	1.8684053318
8.500	1.7616089813
8.750	1.6663497956
9.000	1.5813559000
9.250	1.5064034587
9.500	1.4407076490
9.750	1.3836521842
10.000	1.3344986762

```
</rsec>
```

In UNIX there is also a special sector function which can be used as regularization data in **STANDARD=LSQ** procedure for refinement of sector function from intensity data. This function can be introduced by the **REGSEC** command, which has exactly the same syntax as **SECTOR**:

```
REGSEC=READTOTAL,<sec>,</sec>
```

or

```
REGSEC=READREDUCED,<sec>,</sec>
```

The model for the regularization sector function is controlled by keywords with the **RegSec** prefix: **RegSecModelType** and others. By default they are initialized to the same values as for the normal sector function.

Together with values of sector function it is also possible to introduce respective standard deviations. For this the data field must contain a third column with standard deviations:

```
<rsec>
```

8.250	1.8684053318	0.1
8.500	1.7616089813	0.2
8.750	1.6663497956	0.2
9.000	1.5813559000	0.2
9.250	1.5064034587	0.2
9.500	1.4407076490	0.2

```
9.750      1.3836521842  0.2
10.000     1.3344986762  0.1
</rsec>
```

Note, if total sector function is introduced the standard deviations must also correspond to the total sector function.

After introducing sector function it is possible to smooth it using natural B-splines. This is done by command

```
SECTOR=SMOOTH
```

Analogous command exists for smoothing regularizing sector function:

```
REGSEC=SMOOTH
```

## Data processing

### ED detector calibration

#### Optical density

Diffraction images can be measured on photo materials (plates or films), which must be afterwards digitized. Devices for this purpose, microphotometers and scanners, must be calibrated for optical density of blackness. This kind of calibration is represented as a relationship between true density values  $D$  and respective values provided by a particular device. This is especially important for commercially available optical scanners, because they usually underestimate large  $D$  values. Calibration of optical density is usually done by scanning a special standard target also known as optical wedge (see Figure below), which provides areas corresponding to particular accurately known  $D_{\text{std}}$  values. Comparing of calculated from scanned image  $D$ -values with respective  $D_{\text{std}}$ -values shows how accurate is the scanner. This data can be afterwards used as calibration for calculation of accurate optical densities of pixels.



Figure 11. An example of standard target for calibration of optical density

For processing of images of calibration targets **WEDGE** command can be used. There are two modes of operation, automatic and manual. For the automatic mode syntax of the command is as follows

```
WEDGE=AUTO,img,list of standard D-values
```

where **img** is the name of image file to be processed. Note, this file must be defined in the **imgfiles** keyword in BASE. For example, command

```
WEDGE=AUTO,wedge.tif,0.05,0.20,0.33,0.46,0.61,0.77,0.92,1.06,1.19,1.33,1.48
```

will process **wedge.tif** image and try to recognize automatically areas with indicated optical densities. However, this mode is generally not recommended, since it can be unstable for complicated and noisy images. A better option is to use the manual mode

```
WEDGE=MANUAL,img,otag,ctag
```

where **otag** and **ctag** are opening and closing tags of a field with supplementary information, for example

```
WEDGE=MANUAL,wedge.tif,<wed>,</wed>
```

```
<wed>
0.05   26 128
0.20   86 124
0.33  150 124
</wed>
```

Here **wedge.tif** is processed and the data between **<wed>** and **</wed>** tags contain information about standard areas in format  $D_{std} \ X \ Y$ .  $D_{std}$  are standard values of optical density,  $X$  and  $Y$  are coordinates of centers of respective areas.



Origin of coordinate system for images in UNEX is always in the upper-left corner.

Even better approach is to define not only centers of standard areas but also coordinates of their four corners:

```
WEDGE=MANUAL,wedge.tif,<wed>,</wed>
```

```
<wed>
0.05   26 128  44 4    4 2    2 264  46 270
0.20   86 124 110 6   64 2   64 254 108 258
0.33  150 124 168 6  124 6  122 246 168 256
</wed>
```

Here after  $D_{std}$  for each area pairs of coordinates  $X \ Y$  are given for

1. center

2. upper-right corner
3. upper-left corner
4. lower-left corner
5. lower-right corner

In this case coordinates of centers should not necessarily be accurate.

Note, both automatic and manual modes can be influenced by **MoveWedArea** keyword from BASE. In fully manual mode if coordinates of corners were accurately defined it is recommended to fix them by setting **MoveWedArea=no**.

After processing the image of calibration target a new image is created and saved under name of original image with added **\_proc** suffix and **.tif** extension. This image shows areas processed by UNEX and noisy pixels excluded from processing. Results, including calibration data, are printed in output file.

The obtained calibration can be used later in data reduction. Introducing of calibration curve is done with help of the same **WEDGE** command using its special syntax:

```
WEDGE=READ,otag,ctag
```

The corresponding data field is simple as in the example:

```
WEDGE=READ,<wed>,</wed>

<wed>
#      Dstd      Dscanner
      0.050      0.053
      0.200      0.152
      0.330      0.215
      0.460      0.274
      0.610      0.336
      0.770      0.415
      0.920      0.481
      1.060      0.540
</wed>
```

Here in the first and second columns are given standard and respective scanner values of optical densities.

## Response function

In general, response function determines relationship between detector signal and level of stimulus, which is detected. In ED detector is irradiated by scattered electrons. For photomaterials response function is the relationship between measured optical density and intensity of electrons. In analogy response functions exist for other types of detectors.

In UNEX there is a possibility to refine response functions from series of experimental total intensity functions using the method of Kochikov [20]. For this, the following command should be used:

```
RESPFUNC=CALCIDS,int1,int2,int3,...
```

where **int1**, **int2** etc, are identifiers of experimental total intensity functions, which should be processed. The intensity functions should meet special requirements:

- They must be measured in equal conditions.
- They must be measured with different exposure times.
- The number of different exposures must be as large as possible.

According to experience, the best strategy is to measure background patterns with explicitly marked center (using primary beam; this is to increase reliability of data reduction) starting with some minimal exposure time and doubling it (or increasing in some other way) for each subsequent measurement. This should ensure stability of the primary electron beam and of the residual gas in the diffraction chamber for the series of the measurements. The number of measurements should be as large as possible and the measured patterns should contain signal values spanning as large as possible range. Sector device is irrelevant for the procedure. Most importantly, sector function does not change during the measurements. Note, for each of the intensities participating in this procedure the exposure time must be defined with the **Tfac** keyword. The units can be any suitable for the particular case, for example seconds. Most importantly, they must be proportional to real time. The other important issue is that the refined response function is defined in a form of a polynomial. The degree of the polynomial should be defined using keyword **RespFuncPolPower**. Note, the best value for this parameter depends on the particular detector and data set. It can be determined only in trial-and-error procedure. Keep in mind that too large degrees can lead to unstable and not reliable solutions. Too low degrees can poorly describe the response function.

The refined response function is printed in numerical form in the end of the procedure. This data can be read later for using in other calculations as

```
RESPFUNC=READ,otag,ctag
```

where the numerical data must be located between corresponding opening and closing tags, for example:

```
RESPFUNC=READ,<resp>,</resp>
```

```
<resp>
```

```
1.0 1.1
```

```
2.0 2.1
```

```
3.0 3.1
```

```
4.0 4.1
```

```
...
```

</resp>

Here in the first column detector values are given and in the second column are the corresponding intensity values. For example, in the case of a photomaterial as a detector, the first column contains optical densities (which must also be calibrated, see above). The introduced response function can be used for correction of experimental total intensity functions with the **INT=RESPCORR** command. For example, here the intensities 1-1 and 2-1 are processed:

```
INT=RESPCORR,1-1,2-1
```

Note, the application of the response function can be applied only to intensity functions numerically defined in units of detector values. Again, in the case of photomaterials, intensity functions must be in units of optical density. After the applying of the response function, the intensity is in units as defined in the second column of this response function. Do not apply response function to the same intensity twice! Note, the currently active response function can be printed as **PRINT=RESPFUNC**.

## Sector images

For determination of sector functions it is possible to use images of sector devices. A sector should be scanned with highest possible spatial resolution. The image must be in 8- or 16-bit grayscale uncompressed TIFF format. Before processing the image must be prepared so that pixels of the sector surface have values corresponding to exactly black color and the rest of pixels must be exactly white. The intermediate grayscale values are not allowed.

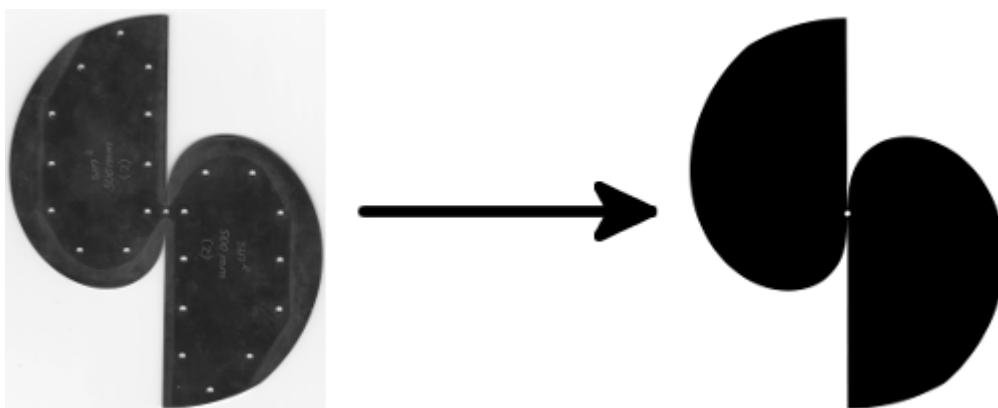


Figure 12. Original (left) and prepared for processing (right) image of sector device.

For the processing of the image several parameters should be defined:

- Coordinates (in pixels) of the center of the sector. They can be estimated from the image manually.
- Range of distances (in mm) from the center of sector, which should be processed.
- Step size (in mm) for the processed distances.

Additionally, particular resolution values can be defined for the image, if they differ from the ideal values. Note, internally UNEX determines numerically the total sector function, which has values in the range [0,1]. This function is converted to the reduced sector function on the basis of the current

sector model. Therefore it is advised to define explicitly a sector model, which fits your sector most closely. Remember, the determined reduced sector function is valid only for the model, which was defined in the processing of the sector image.

Below is an example of UNEX input for processing of sector image.

```
BASE=READ,<BASE>,</BASE>
IMAGE=SECTOR,Image0005.tif
PRINT=SECTOR
STOP
```

```
<BASE>
  imgfiles=Image0005.tif
  SecModelType=sinpn
  SecPrmN=2.0
  SecPrmRmax=75.0
  SecPrmA=1.412283
</BASE>
```

```
<Image0005.tif>
Xc=2950
Yc=3707
XResolution=1200.0
YResolution=1200.0
IntRfr=2.5
IntRto=75.0
IntStep=0.05
</Image0005.tif>
```

## Data reduction

UNEX implements procedures for data reduction, i.e. for transformation of 2D images of measured diffraction patterns to profile curves of experimental electron scattering intensity functions. Images must be in uncompressed 8- or 16-bit grayscale TIFF format with little-endian byte order. For introduction of images in UNEX the **imgfiles** keyword in **BASE** must be used. The major procedure for data reduction [21] is started by command

```
IMAGE=INTSCAN,imgfile
```

where **imgfile** is the name of image TIFF file to be processed.

Before processing it is highly recommended to clean images. The cleaning procedure is essentially the setting of absolute white grayscale level to pixels, which do not correspond to the diffraction pattern itself (shadows, etc) or represent areas, which should not be processed due to any other reason (defects, etc). The Figure below demonstrates on the left side an initial image of a diffraction pattern with shadows due to construction elements in the diffraction chamber and a resulted image after cleaning. Note, graphical software used for these purposes should not alter values of valid



pixels or change the bit depth. Check this before using the software in real investigations!

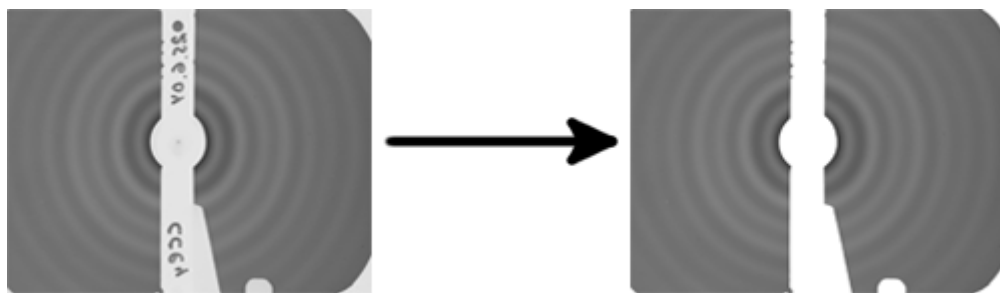


Figure 13. Image of electron diffraction pattern before (left) and after (right) cleaning.

The other important issue in data reduction is the usage of correct calibration for the scanning device. If the scanner produces uncorrected images the calibration should be taken into account on the stage of data reduction. This includes the spatial calibration, i.e. setting the true resolution values in the input file using keywords `XResolution` and `YResolution`. Note, the deviations of true from nominal resolution values can be different in different scanning modes. This must be carefully investigated for the particular scanning device and scanning modes. The other part of calibration is the correction of output signal. For optical scanners the calibration of optical density is essential. In this case optical wedges are processed for obtaining calibration curve specific for the particular device (and possibly for the particular mode of operation), which is introduced in the input file for the data reduction, see [Optical density](#) section. In effect, this kind of calibration must be done for any other type of scanning devices, for example for imaging plate scanners. However, in this case instead of optical density the signal is calculated from pixel values using special formulae and for calibration purposes appropriate standards are required.

`IMAGE=INTSCAN` procedure implements an iterative method [21] for refinement of parameters of diffraction patterns. The maximal number of iterations can be adjusted with the keyword `IntScanIter`. For the processed image the following parameters should be defined:

- Nozzle-to-detector distance, `NozToPlate`.
- Step size for profile intensity grid, `IntStep`.
- Electron wavelength, `IntLambda`.
- Minimal and maximal distances from the center of diffraction pattern, `IntRfr` and `IntRto`.
- Initial values for the coordinates of the center of the diffraction pattern, `Xc` and `Yc`.
- If required, initial values for coordinates of the sector center can be defined, see `Xs` and `Ys`. Otherwise they are initialized as equal to `Xc` and `Yc`.
- Optionally the signal (optical density) of unexposed areas can be defined using `fog` keyword.
- Parameters for the model of background, `ImgNbg1X`, `ImgNbg1Y` and `MaxBg1`.
- With keywords `MinT` and `MaxT` it is possible to define a range of valid pixel values.

Note, some of these parameters can have reasonable default values while other must be defined explicitly. In the refinement a model of the pattern is created, which depends on the following parameters:

- Coordinates of the diffraction pattern center.
- Coordinates of the center of rotating sector device.

- Values of total intensity on different distances from the center of diffraction pattern. They constitute profile of the diffraction pattern.
- Values of background, defined on a grid (see keywords `ImgNbg1X` and `ImgNbg1Y`) of the image.

Whether parameters of one or other type will be refined depends on settings of keywords `IntVarCentre`, `IntVarSecCentre` and `IntVarAsymBgl`. Intensity values are always refined. The program also detects invalid pixels and sorts them out automatically. The result of this procedure can be checked by inspecting image with weights of pixels.

In the end of diffraction image processing `IMAGE=INTSCAN` can create several images in TIFF format with refined profile, background and weights for original image points. The images are created, if the respective parameters were refined and the keywords `WriteAsymBglImg`, `WriteWeightsImg` and `WriteCurveImg` are set to appropriate values. The names for images are constructed automatically as `<basename>_profile.tif`, `<basename>_bg.tif` and `<basename>_w.tif`, where `<basename>` is the basename of the original input file of the processed image. Note, these image files are created in the current directory.

## Other operations with images

### Histograms

UNEX can print histograms of images in numerical form, which can be useful for detailed analysis of data. For this the following command should be used

```
IMAGE=HISTOGRAM, imgfile
```

If at least one of keywords `MinT` or `MaxT` were defined for the processed image, then a new image is created with the name `<basename>_lvl.tif`, where `<basename>` is the basename of the original image file. In the created image the levels are adjusted by zeroing pixel values outside the range from `MinT` to `MaxT` whereas the values of other pixels are rescaled to the full range depending on the bit depth of the original image. The figure below demonstrates the effect.



Figure 14. Original image (left) and the image after adjusting levels (right).

### Comparing images

Comparison of images can be done with the command

```
IMAGE=COMPARE, img1, img2, ...
```

where **img1** and **img2** are names of image files, which should be defined in BASE with keyword **imgfiles** as usually. At least two images must be defined in the command. All images must be of the same size, bit depth, and photometric interpretation. The procedure does a pixelwise averaging of pixel values and calculation of respective standard deviations. In the processing two new images are created and saved in files **<basename>\_average.tif** and **<basename>\_stdev.tif**, which correspond to the averaged image and the image representing standard deviations of pixels. The **<basename>** is the name of the first processed file without extension.

## Modification of ED intensity

The **INT** command can be used not only for introducing total experimental electron scattering intensity functions but also for their modification. In this case the general syntax is

```
INT=jobtype, int1, [int2, int3, ...]
```

where **jobtype** is the type of modification, **int1** and possibly **int2** and so on are intensity identifiers. The types of the modification are

- **S4MLT** — multiplication by  $s^4$  function.
- **INORM** — calculates integral value of the total intensity and normalizes the intensity on this value.
- **RSECDIV** — divides the total intensity by the reduced sector function.
- **SPLDIV** — total intensity approximated by cubic spline and divided by this spline. By default number of inflection points for the spline is zero. This number can also be defined in the command.
- **SMOOTH** — smoothing total intensity using natural B-splines.
- **SCALE** — more than one curve must be defined, the first curve remains unchanged, the other curves scaled so that they fit the first curve best.
- **TSCALE** — intensity values are divided by  $t$ -factors from respective data sets.
- **RESPCORR** — correction using response function. The response function must be already refined or introduced with the **RESPFUNC** command, see [Response function](#) for details and example.
- **COPYMODEL** — copy data from the model  $I(s)$  intensity. This makes experimental  $I(s)$  equal to the calculated model  $I(s)$ .
- **ADDGNOISE** — add Gaussian noise to existing experimental  $I(s)$ . Standard deviations for the Gaussian distributions can be defined in input (see [ED intensities](#)) or calculated in averaging procedures.

Note, if standard deviations were defined for the total intensity, then their values are also modified accordingly.

For modifying experimental molecular intensity functions a similar command **SMS** with the same

syntax exists

```
SMS=jobtype,int1,[int2,int3,...]
```

The available variants for **jobtype** are

- **SMOOTH** — smoothing of molecular  $sM(s)$  intensity using natural B-splines.
- **COPYMODEL** — calculate and copy data from model  $sM(s)$  intensity. This makes experimental  $sM(s)$  equal to calculated model  $sM(s)$ .
- **ADDGNOISE** — add Gaussian noise to existing experimental  $sM(s)$ . Standard deviations for the Gaussian distributions can be defined in input (see [ED intensities](#)), calculated by various procedures (for example in averaging, combining and background procedures, see this manual) or estimated in **MINIMIZE**.

## Models for ED intensity

In independent atom approximation total electron diffraction intensity can be defined as [\[22\]](#):

$$I_{\text{tot}} = I_{\text{mol}} + I_{\text{at}}$$

where  $I_{\text{mol}}$  is its molecular part (i.e. function depending on molecular dynamics and geometry) and  $I_{\text{at}}$  is the atomic part — a function depending only on properties of atoms but not on their relative positions. In reality the measured total intensity also contains some additional extraneous additive background  $B$ :

$$I_{\text{tot}} = I_{\text{mol}} + I_{\text{at}} + B$$

Note, the total intensity and all its components are here per unit time, i.e. they are flux values. In real experiments signal is accumulated over finite time, so in general case the model for the total intensity must include a  $t$ -factor proportional to exposure time:

$$I_{\text{tot}} = t \times (I_{\text{mol}} + I_{\text{at}} + B)$$

In this case  $I_{\text{tot}}$  can be directly compared with experimental data. If rotating sector is used, it modifies the measured total intensity. Mathematically this can be defined using sector function  $S$  as

$$I_{\text{tot}} = t \times S \times (I_{\text{mol}} + I_{\text{at}} + B) = t \times S \times I_{\text{at}} \times (M + 1 + \beta)$$

where  $M$  is the reduced molecular scattering intensity and  $\beta$  is the reduced additive background:

$$M = \frac{I_{\text{mol}}}{I_{\text{at}}} \quad \beta = \frac{B}{I_{\text{at}}}$$

This model of  $I_{\text{tot}}$  corresponds to the setting **IModel=a1bgl**. For details on how sector function is defined and calculated see chapter [ED sector function](#).

The other possibility is

$$I_{\text{tot}} = t \times S \times (I_{\text{mol}} + I_{\text{at}}) + B = t \times S \times I_{\text{at}} \times (M + 1) + B$$

which corresponds to the setting `IModel=a2bgl`.

In case of using multiplicative background (see chapter [Multiplicative background](#)) the model is set to `IModel=mbgl` and the total intensity is calculated as

$$I_{\text{tot}} = k \times M \times \Phi + \Phi$$

where  $k$  is the scale factor for the molecular intensity  $M$  and  $\Phi$  is the multiplicative background.

Calculation of molecular intensity  $I_{\text{mol}}$  depends on the setting of the keyword `ImolAnhTermModel`. If `ImolAnhTermModel=Morse` then the formula is

$$I_{\text{mol}} = \sum_{i>j} f_{(ij)} \times \exp^{-\frac{s^2 l_{(ij)}^2}{2}} \times \frac{\sin\left(sr_{a,(ij)} - a_{(ij)} \frac{s^3 l_{(ij)}^4}{6}\right)}{sr_{a,(ij)}}$$

where  $ij$  are the indices for the pair of atoms  $i$  and  $j$ ,  $f$  is the scattering factor (see chapter [ED scattering factors](#)),  $l$  is the mean amplitude of interatomic vibrations,  $r_a$  is the thermal-average interatomic distance,  $a$  is the parameter of the Morse anharmonic potential. The summation is performed for all pairs of atoms.

For `ImolAnhTermModel=Asym` the model is

$$I_{\text{mol}} = \sum_{i>j} f_{(ij)} \times \exp^{-\frac{s^2 l_{(ij)}^2}{2}} \times \frac{\sin(sr_{a,(ij)} - \kappa_{(ij)} s^3)}{sr_{a,(ij)}}$$

The symbols here have the same meaning as above, except that the asymmetry constants  $\kappa$  are used. This is the default approximation. Note, the  $c_3$  constants from the cumulant approximation [17] (as printed, for example, by the ElDiff program [19]) are related to  $\kappa$  parameters as  $\kappa = c_3/6$ .

## Dynamic models

The formulae above for  $I_{\text{mol}}$  are for semi-rigid molecules. For non-rigid molecules dynamic models can be constructed using pseudoconformers and defining potential energy function (see chapter [Potential functions](#)). The molecular intensity is calculated according to `DynImolModel` setting for the respective molecule. If `DynImolModel=sum` then  $I_{\text{mol}}$  is defined as a weighted sum of molecular intensities of pseudoconformers:

$$I_{\text{mol}} = \sum_i^N P_i \times I_{\text{mol},i}$$

here  $P_i$  and  $I_{\text{mol},i}$  are weighting factor and molecular intensity function for the pseudoconformer  $i$ . The summation is performed over all  $N$  pseudoconformers. The pseudoconformers are considered as semi-rigid and their respective  $I_{\text{mol}}$  are calculated as described above. UNEX explicitly implements one-dimensional dynamic models, i.e. it is possible to choose one geometrical parameter as a coordinate  $\phi$  for large-amplitude vibrations. This is done assigning negative group number (usually -1) to the respective parameter in Z-matrix of the first pseudoconformer (the assignment for others is done automatically). All pseudoconformers have different fixed values of this dynamic coordinate and it is excluded from all refinements. Its values are used for calculation

of potential energies of respective pseudoconformers. The weighting factors are calculated from potential energies using a variant of Boltzmann distribution:

$$P_i = \frac{k_i}{Q} \exp^{-\frac{V(\phi_i)}{RT}}$$

where  $k_i$  is the degeneracy factor of the pseudoconformer (see keyword **sing**),  $Q$  is the normalization denominator (sum of all  $P_i$  calculated with  $Q=1$ ),  $V(\phi_i)$  is the potential energy of the pseudoconformer with dynamic coordinate  $\phi=\phi_i$ ,  $R$  and  $T$  are universal gas constant and temperature, respectively.

The other possibility is **DynImolModel=integ**. In this case the molecular intensity of a non-rigid molecule is defined as the integral [23]:

$$I_{\text{mol}} = \frac{\int_{\phi_{\min}}^{\phi_{\max}} P(\phi) I_{\text{mol}}(\phi) d\phi}{\int_{\phi_{\min}}^{\phi_{\max}} P(\phi) d\phi}$$

In UNEX the integration is done numerically (using trapezoidal rule) from  $\phi_{\min}$  to  $\phi_{\max}$  defined by respective pseudoconformers. Here  $P$  is the same Boltzmann distribution as above. This last **integ** variant is computationally slightly more expensive but also more consistent in comparison to **sum** above. It is recommended to use **integ**.

## Mixtures

When the probe is a mixture of different species then the molecular and atomic scattering intensities are defined as weighted sums

$$I_{\text{mol}} = \sum_i x_i \times I_{\text{mol}, i} \quad I_{\text{at}} = \sum_i x_i \times I_{\text{at}, i}$$

where  $x_i$  is the mole fraction of species  $i$ ,  $I_{\text{mol}, i}$  and  $I_{\text{at}, i}$  are molecular and atomic scattering functions of species  $i$ . Values for mole fractions can be defined using keyword **molx** in information fields of respective molecules. Note, they must be in range 0.0 — 1.0 and are constrained as

$$\sum_i x_i = 1$$

The complete reduced molecular intensity  $M(s)$  is defined in UNEX as

$$M(s) = \frac{\sum_i x_i \times I_{\text{mol}, i}}{\sum_i x_i \times I_{\text{at}, i}}$$

which is generally not equal to the less accurate approximation

$$M(s) = \sum_i x_i \frac{I_{\text{mol}, i}}{I_{\text{at}, i}}$$

Below is an extreme example of 1:1 mixture of  $\text{CH}_4$  and  $\text{Cl}_4$  showing differences between two methods for calculation of complete  $M(s)$  function.

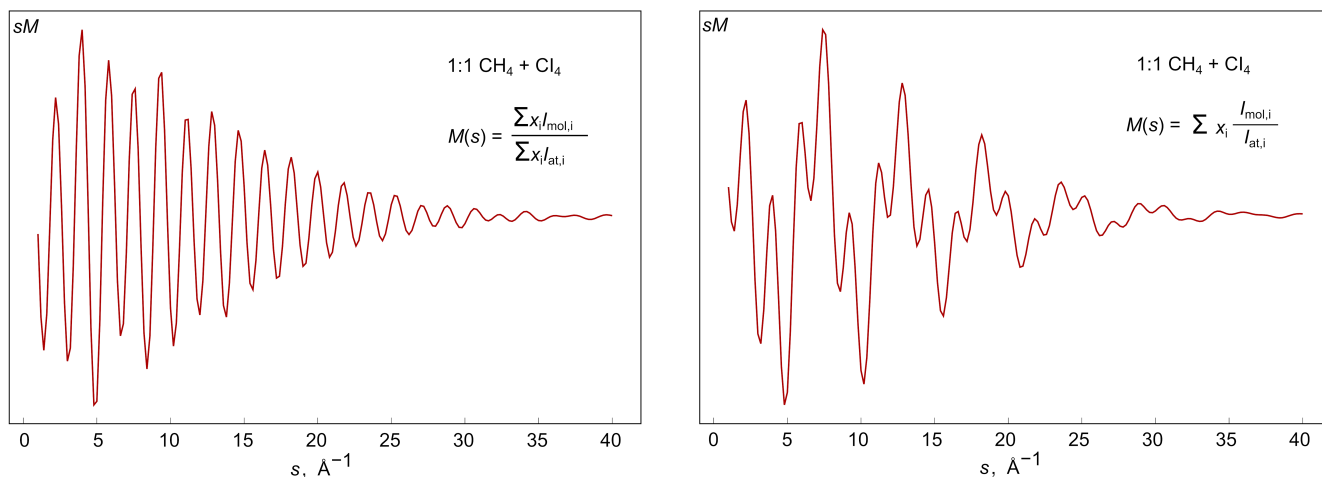


Figure 15. Simulated reduced molecular intensity functions for the 1:1 mixture of  $\text{CH}_4$  and  $\text{Cl}_4$  using two different formulae for  $M(s)$ .

The figures demonstrate that the later equation significantly overweights the contribution of  $\text{CH}_4$  in the total  $sM(s)$ . This can be even better seen on the corresponding radial distribution functions below.

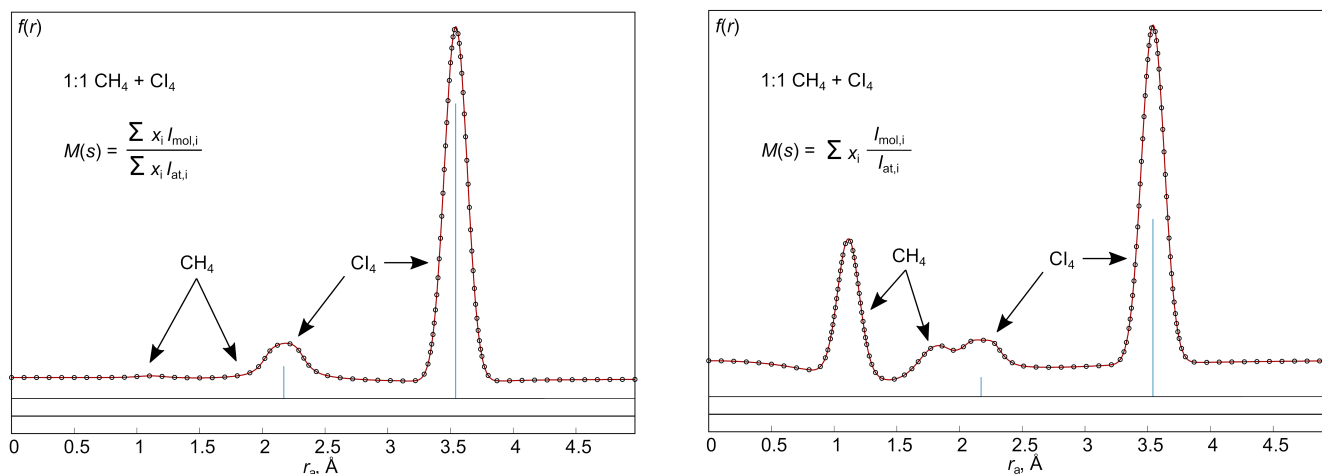


Figure 16. Simulated radial distribution functions for the 1:1 mixture of  $\text{CH}_4$  and  $\text{Cl}_4$  using two different formulae for  $M(s)$ .

The figure on the left side correctly depicts the dominant contribution of  $\text{Cl}_4$ , whereas the signals from  $\text{CH}_4$  can hardly be seen. On the right is the RDF from molecular intensity calculated using the last inaccurate equation. Clearly the contribution of  $\text{CH}_4$  is significantly overestimated.

Note, both methods are equal for models of mixtures of species with equal empirical formulae. For example, this is the case for models of conformational mixtures.

## ED background lines

Structural analysis in gas electron diffraction is performed on the basis of the molecular part of the total ED intensity. The molecular intensity is obtained by applying background elimination procedure. In UNEX are implemented models for two major types of backgrounds, multiplicative and additive. The later can be additionally defined in two variants.

## Multiplicative background

Lets assume that the total intensity can be described by the model (see above)

$$I_{\text{tot}} = t \times S \times I_{\text{at}} \times (M + 1 + \beta)$$

This can be further modified to

$$I_{\text{tot}} = t \times S \times I_{\text{at}} \times (1 + \beta) \times \left(1 + \frac{M}{1 + \beta}\right)$$

From here an exact expression for the  $sM$  function can be easily obtained as

$$sM = (1 + \beta) \times \frac{I_{\text{tot}} - \Phi}{\Phi} \times s$$

where function  $\Phi$  is the so called multiplicative background, defined as

$$\Phi = t \times S \times I_{\text{at}} \times (1 + \beta)$$

The advantage of the last expression for the  $sM$  is that it has no sector function  $S$  in explicit form. If the sector function is smooth (i.e. the sector device is of good quality) and experimental conditions result in smooth background  $\beta$  then the multiplicative background  $\Phi$  must also be smooth. However, separation of the two smooth functions,  $\beta$  and  $\Phi$  is a very ill-posed problem and in real practice an approximate formula is used for calculation of experimental  $sM$  function:

$$sM \approx \frac{I_{\text{tot}} - \Phi}{\Phi} \times s$$

This expression becomes exact if extraneous background  $\beta$  is zero, which is never achieved in real experiments. However, in structural analysis, when model  $sM$  functions are fitted to the experimental data, scale factors for the  $sM$  curves are usually refined to compensate for this problem. If experimental  $sM$  curves are obtained by accounting for multiplicative background as shown above then the refined scale factors  $k$  can be defined as

$$k = \frac{1}{1 + \beta}$$

Clearly, in real cases they are less than 1 due to positive  $\beta$ . The smaller is the background, the closer is  $k$  to 1. If refined scale factors are larger than 1, this is strong indication of deficiency of the theoretical model. It should also be mentioned that the presence of strong background does not necessarily lead to significant inaccuracies in the obtained experimental  $sM$  curve. Much worse is a possible deviation of the forms of the functions  $B$  and  $I_{\text{at}}$ , making  $\beta$  non-constant in the range of observable diffraction angles and, as such, not allowing for compensation with a single scale factor  $k$ .

Technically, multiplicative background is estimated on the basis of the calculated model molecular intensity  $sM_{\text{mod}}$ . First, the model background is obtained from the equation

$$sM_{\text{mod}} = \frac{I_{\text{tot}} - \Phi_{\text{mod}}}{\Phi_{\text{mod}}} \times s$$

In real practice the calculated  $\Phi_{\text{mod}}$  is not smooth and can contain oscillations due to inexact  $sM_{\text{mod}}$



and experimental noise from the total intensity  $I_{\text{tot}}$ . On the next step  $\Phi_{\text{mod}}$  is smoothed using cubic splines [24] or fitted with a polynomial by minimizing the functional

$$Q_{\text{bgl}} = \sum_i w_i (\Phi_{(i), \text{mod}} - \Phi_{(i), \text{exp}})^2$$

The obtained in this way smooth line is called experimental background  $\Phi_{\text{exp}}$  and is used for calculation of the experimental molecular intensity

$$sM_{\text{exp}} = \frac{I_{\text{tot}} - \Phi_{\text{exp}}}{\Phi_{\text{exp}}} \times s$$

which can be afterwards used in structural analysis, for example in least-squares method. Thus, the background  $\Phi_{\text{exp}}$  is in fact model-dependent and, as a consequence, the so called experimental molecular intensity  $sM_{\text{exp}}$  is to some degree also model-dependent. To overcome this problem it is recommended to refine the model on the basis of the obtained  $sM_{\text{exp}}$  and to use the updated  $sM_{\text{mod}}$  for calculation of a new experimental background and a more accurate experimental  $sM_{\text{exp}}$ . This procedure should be iteratively repeated until self-consistency.

In UNEX there is a command **BGL** for obtaining smoothed experimental multiplicative background lines and corresponding experimental molecular intensities. The general syntax of the command is as follows:

```
BGL=int1,int2,int3,...,intn [number] [keywords]
```

Here int1, int2 and so on are the identifiers of the data sets, whose intensities need to be processed. With the optional integer **number** can be defined the required amount of inflection points for splines (see details in [25], this is default) or, alternatively, the order of polynomial function used for smoothing of the background. The less is the number, the smoother will be the calculated background line. **BGL** command can also accept one or more keywords with respective values:

- **IModel** — keyword for choosing the model of the background. Can accept options **mbgl**, **a1bgl** and **a2bgl**.
- **CalcSigma** — boolean keyword (can accept **true** or **false**) for turning on or off the calculation of standard deviations of experimental  $sM(s)$ .
- **ApproxType** — type of background approximation. Can be one of **Spline**, **Polynom** and **ChebPolynom**.
- **CubSplQ** — cubic spline  $Q$ -functional value, floating point number.
- **Anchor** — for defining anchor points.
- **RBPSDThr** — definition of threshold RBPSD for smoothing cubic spline.

Note, these keywords are optional and the particular settings for the **BGL** procedure are determined at run time according to the priority scheme (from highest to lowest):

1. Keywords of the **BGL** command.
2. Settings of the data set, see [ED intensities](#) chapter.
3. Global settings.

For example, the most important setting, background model, can be defined in the **BGL** command using the **IModel** keyword. If it is not indicated, the setting from the data set is checked. If it is also not defined, then the setting of the global keyword **IModel** is used. Note, not for all local keywords exist global or specific for data sets analogs. **CalcSigma** can be used if there are reasonable standard deviations (introduced on input or calculated at run time) for the experimental total intensity, which should be propagated into standard deviations of the calculated in **BGL** procedure experimental  $sM(s)$

```
BGL=1-1,3 CalcSigma=true
```

**ApproxType** can be used for explicit choosing of the approximation type for the background. However, in most cases the default **Spline** setting from the global keyword **BglApproxType** is the most appropriate choice, unless something special is happening. **CubSplQ** can be defined for spline approximation, if no other criteria for the background line smoothness are required. With this keyword a particular functional value  $Q$  can be given, for which a spline must be calculated. No integer number should be given in the **BGL** command when **CubSplQ** keyword is used, for example

```
BGL=1-1 CubSplQ=1.0e-5
```

Another criterion for the background smoothness is its relative power spectral density (RBPSD) in the range of structural frequencies. This can be activated by using the **RBPSDThr** keyword, for example

```
BGL=1-1 RBPSDThr=-20.0
```

In this case a cubic spline will be used for approximation of the background and its smoothness will be adjusted iteratively so that the final RBPSD will be not larger than the requested value (-20.0 in the example above). UNEX also provides a possibility to control approximation of background lines with help of anchor points, which can be defined in **BGL** commands using the **Anchor** keyword as triples of numbers. For example, the command

```
BGL=1-1,2 Anchor=1.0/0.5327/1.0;18.4/0.4851/1.0
```

starts the procedure for the calculation of background for the intensity curve **1-1**. Here with the **Anchor** keyword two triples of numbers are indicated. These are the anchor points. The format of the **Anchor** keyword does not allow spaces or commas. The numbers may be separated by slashes `\` and semicolons `;`. In each triple the first number is the argument  $s$ -value, the second is the background anchor value and the third is its weighting factor. Technically, the anchor points simply substitute corresponding points of  $\Phi_{\text{mod}}$ , so the anchor  $s$ -values must be also in the intensity data set. The procedure should approximate background so that its calculated values are close to anchor points. The larger are the weights of the anchor points, the closer the approximated background line will be to them. Note, this is true for cubic spline and simple polynomial approximations, but not for Chebyshev polynomial approximation. Also the final result may be influenced by possible constraint(s) on the amount of inflection points, polynomial power, etc. Finding optimal anchor

points is in general a manual trial-and-error procedure. The number of anchor points is not limited.

If several intensity curves are defined in one command they will be processed sequentially and independently. For example, the command

```
BGL=1-1,1-2,2
```

is equivalent to two sequential commands

```
BGL=1-1,2  
BGL=1-2,2
```

As has been pointed out above the **BGL** command calculates model-dependent background because of the usage of the model  $sM(s)$  function. The recalculated background, and as a consequence, updated experimental  $sM(s)$  can be used to refine model parameters, including scale factor(s)  $k$  for molecular intensity(-ies). In UNEX it is possible to refine the best scale factors  $k$  internally in the **BGL** command. This is an iterative procedure, which can be turned on by setting the keyword **BglRefScaleMaxIter** to a some positive value, for example 30. The convergence criterion of this procedure can be controlled by the optional keyword **BglRefScaleTol**.

The accuracy of refined molecular parameters directly depends on the accuracy of the used experimental molecular intensity function [26]. In turn, the molecular intensity is the result of the background elimination procedure and it is very important that the background is reasonably smooth. Otherwise the frequencies in the experimental molecular intensity can be significantly biased, which can naturally lead to biased refined parameters. The quality of the background line depends on how the total intensity is levelled. This property strongly depends on the form of the sector device used in the experiment. Best of all if values of the total intensity are in a narrow range of values for all observable diffraction angles. In this case the default procedure for smoothing of background lines works well and the amount of inflection points serves as a good indicator of the background quality. However, this criterion is not significant if the intensity curve changes too quickly. A possible solution of this problem is to smooth reduced (divided by sector function and atomic scattering) background by setting **BglSmoothReduced=1**. This requires introduction of a sector function. Note, for this particular procedure the sector function must not be necessarily very accurate. It is enough to define calculated values for your type of sector. The most important is to ensure that this function is smooth and together with atomic scattering lead to a well levelled reduced total intensity. The figures below demonstrate a case for the total intensity of  $\text{CCl}_4$ . The first two demonstrate results of the default procedure when only 3 inflection points are allowed. It is hardly possible to assess the quality of the total background line (on the left side). However, in the reduced form (on the right side) the relatively low quality of the background is getting clear. In contrast, smoothing of the reduced background results in the line of much superior quality as the figure below shows. It should, however, be noted that higher quality background lines naturally lead to higher  $R$ -factors.

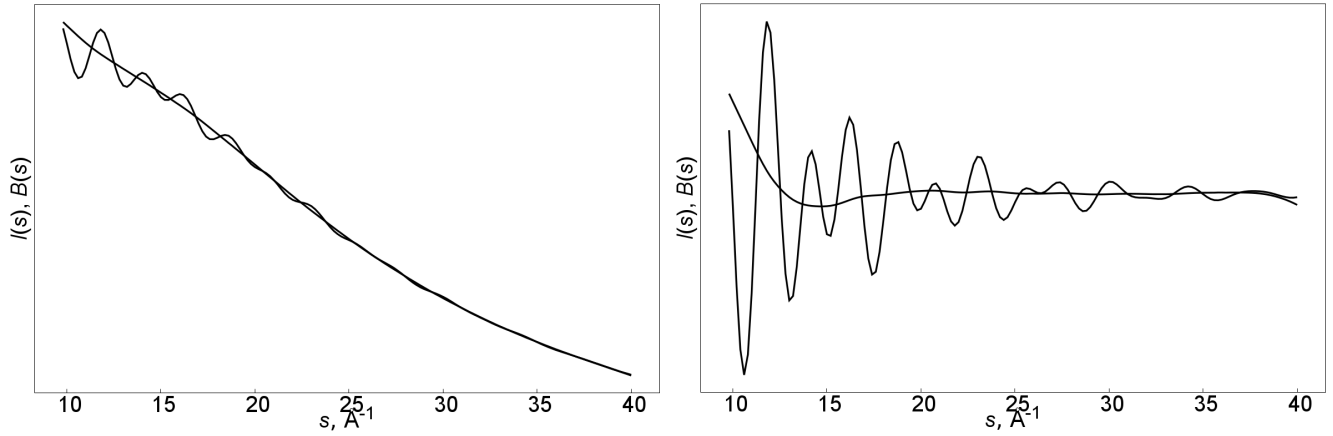


Figure 17. Unmodified (left) and reduced (right) total intensity and background curves when  $BglSmoothReduced=0$ .

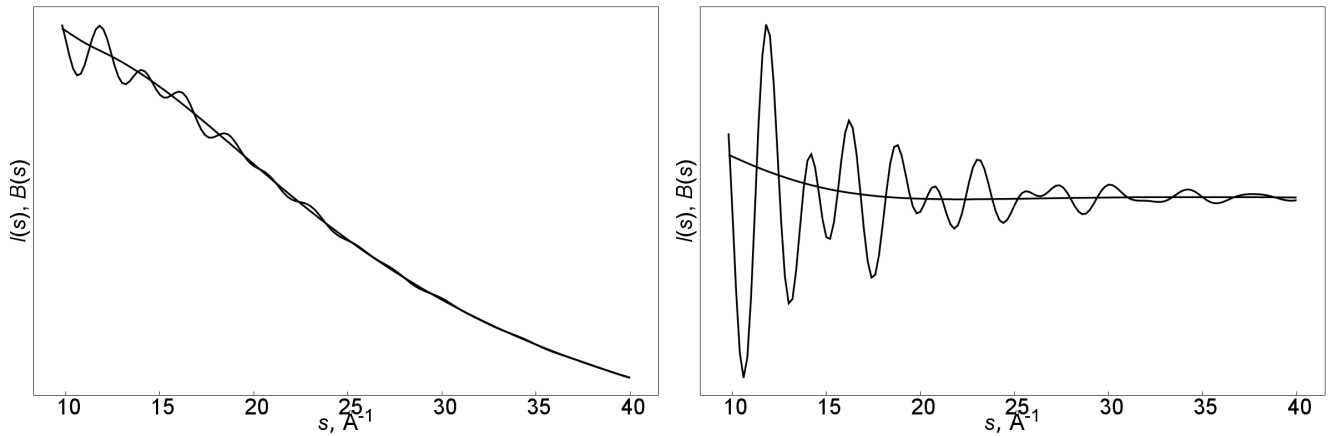


Figure 18. Unmodified (left) and reduced (right) total intensity and background curves when  $BglSmoothReduced=1$ .

Examples of well levelled (as the result of suitable sector form and manual data processing) total intensity curves and high quality background lines can be found in literature [27, 28, 29].

## Additive background

Two types of additive background can be calculated in UNEX. For the first type the model **a1bgl** of total intensity is used:

$$I_{\text{tot}} = t \times S \times (I_{\text{mol}} + I_{\text{at}} + B)$$

It can be calculated using the **BGL** command with the **IModel** keyword as, for example

```
BGL=1-1 2 IModel=a1bgl
```

Note, the calculated background is

$$\beta = \frac{B}{I_{\text{at}}}$$

For the second type the model **a2bgl** is used, which is defined as

$$I_{\text{tot}} = t \times S \times (I_{\text{mol}} + I_{\text{at}}) + B$$

The corresponding UNEX command is, for example

```
BGL=1-1 2 IModel=a2bgl
```

In this procedure the background  $B$  is calculated.

## Defining background type

Each invocation of any background command sets the respective type of model for the respective total intensity. For example, the commands

```
BGL=1-1,1 IModel=mbgl  
BGL=1-2,2 IModel=a1bgl  
BGL=1-3,3 IModel=a2bgl
```

internally set **mbgl**, **a1bgl** and **a2bgl** as models for the total intensities of the data sets **1-1**, **1-2** and **1-3**, respectively. The same happens when background lines are introduced in numerical form using the command **BGL=READ**. Thus the initial setting of the keyword **IModel** for the respective data set can be overridden.

A special form of the **BGL** command can be used for redefining the type of the model for total intensities:

```
BGL=SET,int
```

where **int** is the data set identifier. For example, the commands

```
BGL=SET,1-1 IModel=mbgl  
BGL=SET,2-1 IModel=a1bgl  
BGL=SET,3-1 IModel=a2bgl
```

set the models **mbgl**, **a1bgl** and **a2bgl** for the total intensities in the sets **1-1**, **2-1** and **3-1**, respectively.

## Averaging ED data

In UNEX there is a possibility for averaging ED intensity curves with an **AVERAGE** command. The general syntax of the command is as follows:

```
AVERAGE=itype,int1,int2,...,intn [> oint]
```

Here **itype** is the type of intensity data to be averaged; **int1**, **int2** up to **intn** are identifiers of intensity curves as input data for averaging; **> oint** is the optional argument with explicit identifier for output averaged data. Output data set **oint** must not necessarily be initialized before calling **AVERAGE**. However, if it was already initialized, the data will be overwritten. If you do

not define `oint` explicitly then a new set of data will be initialized and its identifier will be printed to output. `itype` can be one of the following:

- `INT` — averaging total intensity curves
- `INTS` — averaging total intensity with calculation of standard deviations
- `SMS` — averaging experimental  $sM(s)$  intensity curves
- `SMSS` — same as `SMS` but with calculation of standard deviations

Experimental  $sM(s)$  must be initialized, i.e. introduced in UNEX or determined in background procedure from total intensity. If background procedure is used for curves with unrefined scale factors, it is advisable to set the `BglRefScaleMaxIter` keyword in BASE to some positive value so that scale factors are adjusted to some reasonable values.

Note, averaged intensity values are calculated for  $s$  values of the first curve `int1` defined in the command. If points of the other curves are defined not in the same  $s$  values then interpolation with cubic splines is used.

In addition to averaging of data and optional calculation of standard deviations `AVERAGE` command also calculates experimental  $R$ -factors [30]. For each of the curve, participating in averaging procedure, individual experimental  $R$ -factors are calculated as

$$R_{\text{exp}} = \sqrt{\frac{\sum_{i=1}^N w_i (I(s_i) - I_{\text{av}}(s_i))^2}{\sum_{i=1}^N w_i I_{\text{av}}(s_i)^2}} \times 100\%$$

where  $I(s_i)$  is the  $i$ -th point of the intensity curve, for which the  $R$ -factor is calculated,  $I_{\text{av}}(s_i)$  is the corresponding point of the averaged intensity with weight  $w_i$ ,  $N$  is the total number of intensity points. Intensity  $I$  is the total intensity if `INT` or `INTS` is defined, or  $sM(s)$  in case of `SMS` or `SMSS`. Individual experimental  $R$ -factors show how much each of intensity curves deviates from the average curve. This information allows to sort out curves of low quality. An average value of individual  $R_{\text{exp}}$  is also printed. Regarding weighting, UNEX calculates two types of experimental  $R$ -factors:

- with account of weights  $w$ , which are calculated from respective standard deviations of the averaged curve as  $\sigma^{-2}$ .
- without weighting, assuming all  $w_i = 1$ .

Note, in `INT` and `SMS` modes standard deviations are not calculated so both types of experimental  $R$ -factors are equal. Weighting works in `SMSS` and `INTS` modes, when standard deviations for the average curve are calculated.

Next UNEX calculates total experimental  $R$ -factor as

$$R_{\text{exp}} = \sqrt{\frac{\sum_{i=1}^M \sum_{j=1}^{N_i} w_j (I_i(s_j) - I_{\text{av}}(s_j))^2}{\sum_{i=1}^M \sum_{j=1}^{N_i} w_j I_{\text{av}}(s_j)^2}} \times 100\%$$

Here summation is performed for all points of all  $M$  intensity curves.  $I$  can also be total or

molecular  $sM(s)$  intensity, depending on averaged data type. The total experimental  $R$ -factor allows to represent numerically the overall reproducibility of experimental data and their general quality. Weighted and non-weighted (setting all  $w_i = 1$ ) total and average experimental  $R$ -factors are calculated. Note, the weighted experimental  $R$ -factors are calculated even if the standard deviations were not computed in this particular procedure. They could have been defined or calculated earlier for the averaged data set. Run **PRINT=INTS** or **PRINT=SMSS** for the averaged data set to see the values of standard deviations used for the calculation of the weighted experimental  $R$ -factors.

The advantage of experimental  $R$ -factors based on total intensities is that no molecular model is needed for their calculation. However, the absolute values of such  $R_{\text{exp}}$  are generally meaningless. They can mostly be useful for comparison of data sets produced only by the same experimental setup. In contrast, experimental  $R$ -factors on the basis of  $sM(s)$  curves are directly comparable with structural  $R$ -factors:

$$R_{\text{str}} = \sqrt{\frac{\sum_{i=1}^N w_i (sM(s_i)_{\text{exp}} - sM(s_i)_{\text{mod}})^2}{\sum_{i=1}^N w_i (sM(s_i)_{\text{exp}})^2}} \times 100\%$$

where  $sM(s_i)_{\text{exp}}$  and  $sM(s_i)_{\text{mod}}$  are the experimental and model  $sM(s)$ , respectively.

$R_{\text{str}}$  indicates level of disagreement of the model with experimental data, while  $R_{\text{exp}}$  indicates reproducibility of experimental data. There can be several situations:

- $R_{\text{str}} \gg R_{\text{exp}}$ : data are reproducible but model cannot describe them; the model should be improved.
- $R_{\text{str}} \ll R_{\text{exp}}$ : model describes data too well, probably not reproducible data features are fitted; better data are needed.
- $R_{\text{str}} \approx R_{\text{exp}}$ : optimal solution if both values are small.

Note, if both  $R_{\text{str}}$  and  $R_{\text{exp}}$  are large, then something went completely wrong, first of all in experiment and/or in data reduction. Also note that weighted  $R_{\text{str}}$  (named **wRd** in UNEX output) should be compared with weighted  $R_{\text{exp}}$ , likewise non-weighted  $R_{\text{str}}$  should be compared with non-weighted  $R_{\text{exp}}$ .

Below are several examples of averaging commands.

- Simple averaging of intensity curves **1-1**, **1-2** and **1-3**. A new average curve is created and assigned to an automatically chosen identifier.

```
AVERAGE=INT,1-1,1-2,1-3
```

- Same as above, but also standard deviations are calculated for the averaged data.

```
AVERAGE=INTS,1-1,1-2,1-3
```

- Similar to the first example. Here the output average curve is accessible using the **1-4** identifier.

```
AVERAGE=INT,1-1,1-2,1-3 > 1-4
```

- Averaging experimental  $sM(s)$  curves 2-1, 2-2, 2-3 and 2-4. The output data is written to 3-1.

```
AVERAGE=SMS,2-1,2-2,2-3,2-4 > 3-1
```

- Averaging experimental  $sM(s)$  curves with calculation of standard deviations. The output data are written to a new automatically generated set with a new identifier. It is written in log file.

```
AVERAGE=SMSS,2-1,2-2,2-3,2-4
```

## Combining ED data

Another possibility of converting multiple ED curves into a single curve provides **COMBINE** command. It has exactly the same syntax as the **AVERAGE**

```
COMBINE=itype,int1,int2,...,intn [> oint]
```

but in general case does a different job creating a curve with  $s$ -values present in all input curves (in contrast, **AVERAGE** takes points  $s$  only from the first input curve). Thus, curves with different  $s$ -ranges can be combined together. For the overlapping areas averaged values are calculated. If standard deviations were initialized for the respective input data sets, weighted averaging is used, where weights are calculated as reverse squares of the respective standard deviations. The Figure below demonstrates how **COMBINE** works for two experimental  $sM(s)$  curves obtained from different nozzle-to-detector distances. The respective command was

```
COMBINE=SMS,1-1,2-1 > 3-1
```

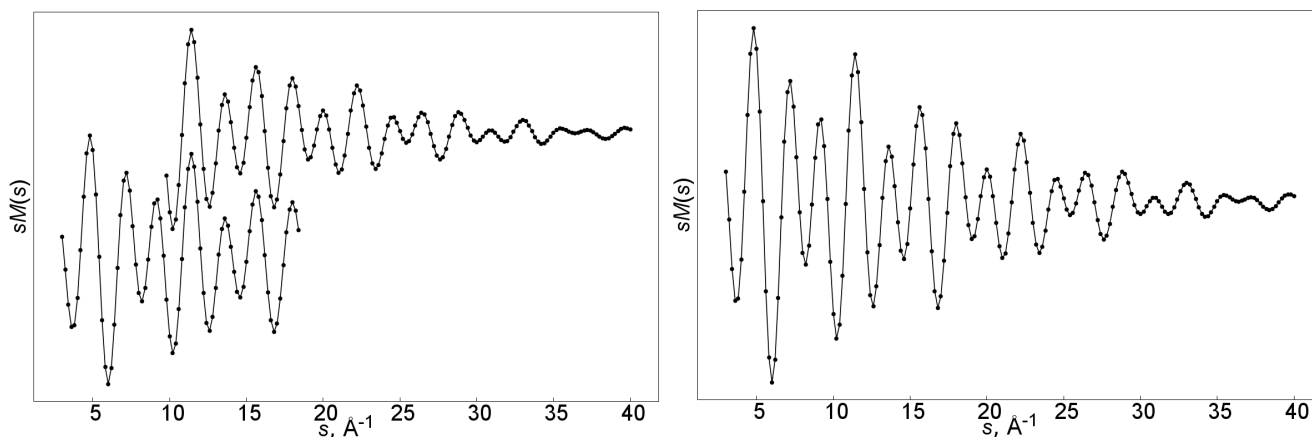


Figure 19. Original (left) and combined experimental  $sM(s)$  curves (right).

Note, in **COMBINE** experimental  $R$ -factors are calculated in a similar manner as in **AVERAGE** (see above).



There are, however, some peculiarities. The weighted  $R$ -factors are also calculated if standard deviations were not requested for calculation. However, in contrast to **AVERAGE**, standard deviations are still calculated internally, used for computation of  $wR_{exp}$ , but are not saved for the averaged data set. In this case calling **PRINT=INTS** or **PRINT=SMSS** is useless for getting respective standard deviations. Also it should be noted that standard deviations are set to **1e99** if only one data set has contribution to the combined data in the respective point.

## ED radial distribution functions

Radial distribution functions in UNEX are calculated and printed by the **RDF** command:

```
RDF=int1,int2,...,intn
```

The argument(s) of the command is a list of one or more ED intensity curves with initialized  $sM(s)$  functions. The calculation is essentially a sine-Fourier transformation of the respective experimental and model  $sM(s)$  curves:

$$F(r) = \int_{s_{\min}}^{s_{\max}} sM(s) \sin(sr) ds$$

If several curves are provided in the list of arguments, they are concatenated before Fourier transformation, for example

```
RDF=1-1,2-1
```

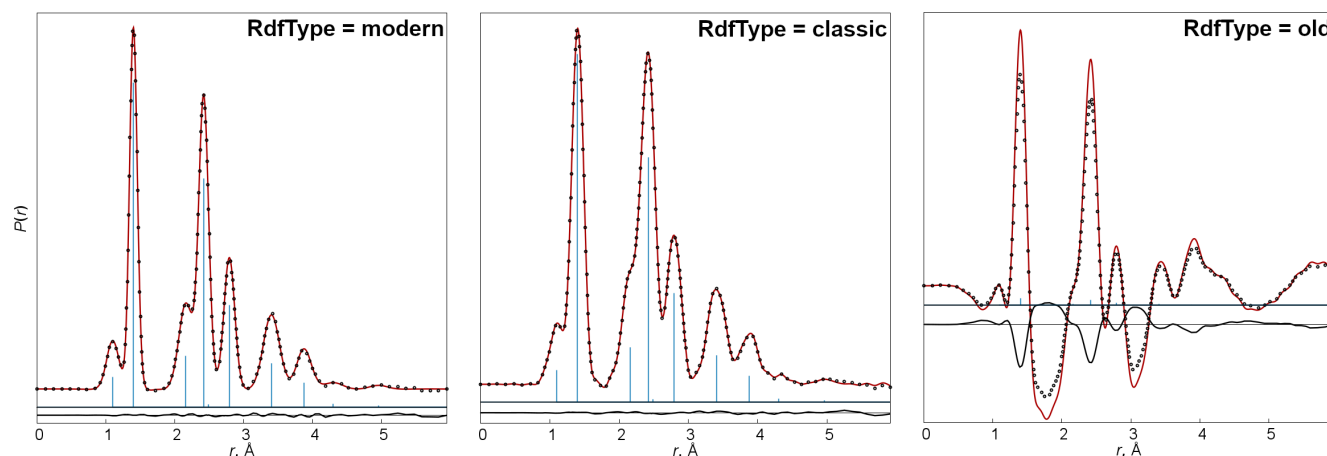
Note, the curves must have common range of  $s$ -values. The concatenation procedure includes relative scaling of curves, re-interpolation to common argument values (if required) and weighted averaging in common ranges of argument. Next, there are three general modes for calculation of radial distribution functions, which directly influence the minimal and maximal values of integration argument  $s$ . The modes, controlled by the **RdfType** keyword in BASE, are as follows:

- **old**, the most simple method, takes (combined) experimental  $sM(s)$  curve and performs integration in the range of  $s$ -values in which this curve is defined. The resulting radial distribution function usually looks not nice since integration starts from  $s_{\min}$  not equal to zero.
- **classic**, a more advanced method, in which experimental  $sM(s)$  curve is supplemented with respective model curve on the left side before integration. This is done in order to get an "experimental" curve, which starts from  $s=0$ . This, in turn, stabilizes integration and improves the overall appearance of the radial distribution function. Note, this makes sense if model  $sM(s)$  function fits well the experimental data. On the right side the experimental curve remains unchanged and integration is done till maximal  $s$  value for which the curve is defined. This can lead to problems with integration since at maximal experimentally achievable  $s$ -values of 30-40  $\text{\AA}^{-1}$   $sM(s)$  functions are numerically not enough converged to zero. To overcome this problem the experimental  $sM(s)$  can be multiplied by an exponential function for damping, see keyword **RdfDamp** in BASE. Note, this procedure leads to broadening of peaks on the radial distribution function and to reduction of its resolution.
- **modern**, the most advanced method, which supplements experimental  $sM(s)$  with model data not

only on the left side as in the **classic** variant but also on the right side, so that  $s_{\max}$  is as large as possible ( $60 \text{ \AA}^{-1}$  in current implementation). This allows to avoid usage of damping exponential function and, as a result, leads to improved resolution of  $F(r)$ . However, to obtain good results the model function must fit experimental data well.

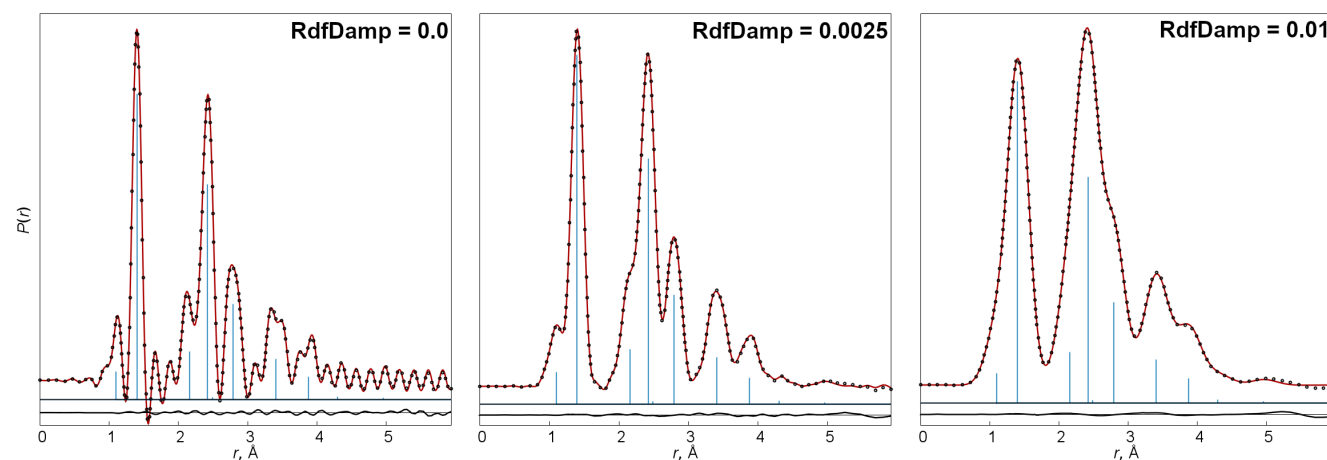
Note, the concatenation of model and experimental  $sM(s)$  curves in **classic** and **modern** types of RDF requires some overlap of these functions. The extent of the overlapping can be adjusted using keyword **RdfNconcat**.

Below are some examples of RDFs for benzene using different options of **RdfType**:



**classic** and **modern** methods improve appearance of radial distribution functions by supplementing experimental  $sM(s)$  functions with model data. Thus, the obtained experimental RDF curves are in fact semi-experimental. Keep this in mind during their analysis.

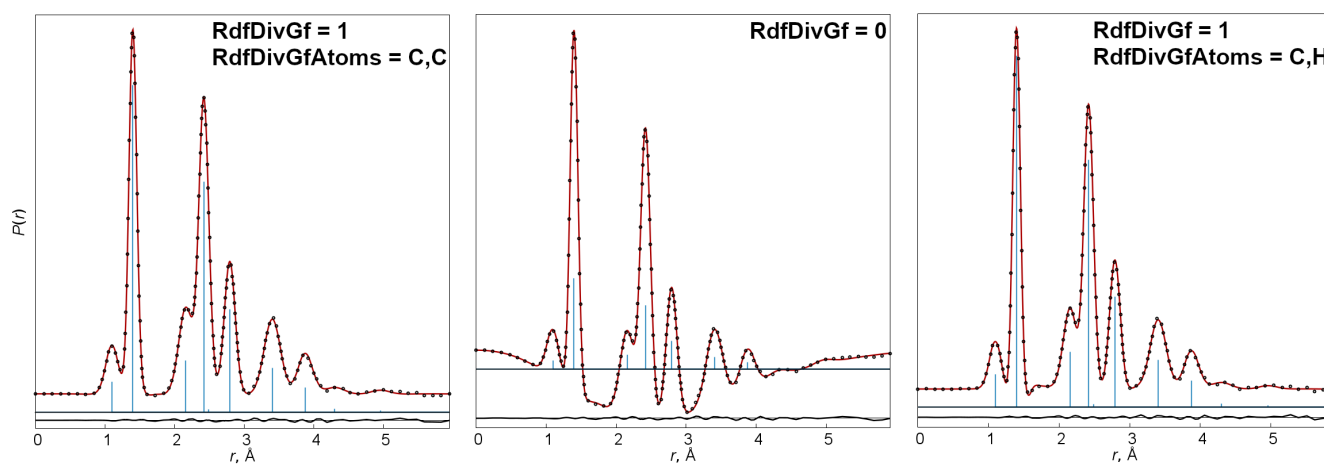
Below is the graphical representation of the influence of damping function on the RDF in case of benzene when **RdfType=classic** and experimental data available only up to  $30 \text{ \AA}^{-1}$ . If the damping is turned off, i.e. **RdfDamp=0.0**, the RDF has multiple false peaks. An optimal value of damping factor removes these peaks. Too large value of the damping factor increases widths of true peaks so that the resolution of RDF is too low.



The next issue in calculation of RDF is connected with representation of contributions of different terms in  $sM(s)$  functions. In a crude approximation the contribution of a pair of atoms to diffraction pattern is proportional to the product of their atomic numbers. The respective RDF in this case can

be easily analysed. In reality, however, contributions of atomic pairs to diffraction patterns are not constant on the  $s$ -scale and are even not linear (this property is characterized by  $g$ -functions). As a consequence, the calculated RDF is difficult to analyze. Fortunately, ratios of many  $g$ -functions for different types of atoms are much closer to constants than  $g$ -functions themselves. Therefore UNEX provides a possibility to divide the integrated  $sM(s)$  data by a  $g$ -function, see **RdfDivGf** keyword in BASE. This can improve the appearance of the obtained RDFs. By default for this purpose UNEX chooses  $g$ -function for the pair of atoms with maximal product of atomic numbers. Note, however, that this logic can fail in molecules containing atoms with very different atomic numbers. In this case some  $g$ -functions can go through zero and change sign. Accordingly, RDF cannot be obtained by integration of  $sM(s)$  modified by such a  $g$ -function. In this case an optimal  $g$ -function can be chosen manually by using **RdfDivGfAtoms** keyword.

In case of benzene the influence of **RdfDivGf** is as follows:



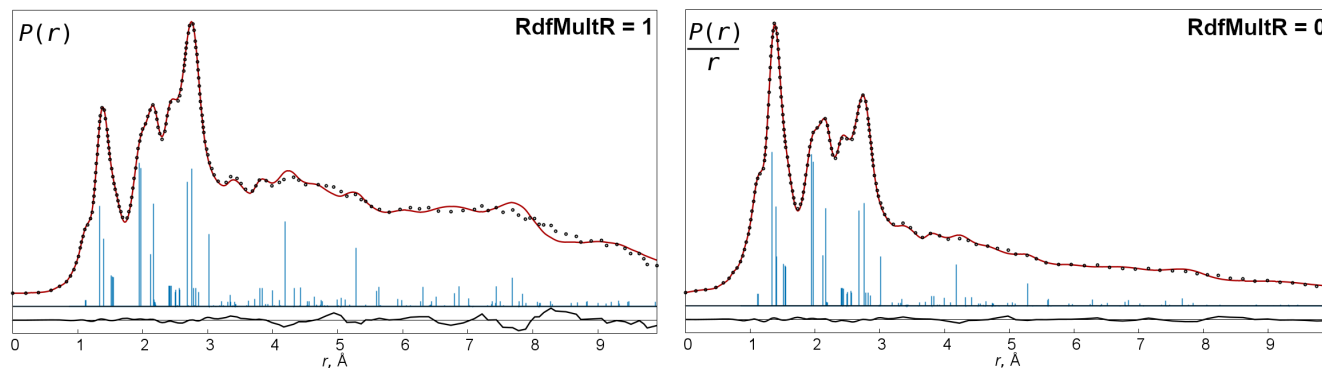
For obtaining RDFs integration is done numerically. For this UNEX implements two methods, simple trapezoidal and more accurate but slower Romberg method. In most cases the first method is accurate enough and is used by default. Switching between integration methods is done by **RdfIntegMethod** keyword in BASE.

Regarding  $r$ -values, for which RDFs are calculated, two schemes are implemented in UNEX:

- If **RdfAdaptiveR=1** (by default it is **=0**, i.e. turned off) the so-called adaptive step size is used depending on the local curvature of the RDF in each point so that obtained function is accurate enough for numerical analysis.
- For purposes of visual analysis RDF is calculated on a fixed grid on the  $r$ -scale, where step is determined by the **RdfRdr** keyword. However, for better appearance some points can be skipped, so that in general they are arranged nearly equally dense along the RDF line and not along the  $r$ -scale. This is default and controlled by the **RdfPruneRlen** keyword. To turn off the pruning of points set **RdfPruneRlen=0.0**.

The RDF defined above as integral  $F(r)$  is essentially the distribution  $P(r)/r$ , where  $r$  is the distance between atoms and  $P(r)$  is its distribution function. The first moment of the function  $P_{ij}(r)/r$  for a particular pair of atoms  $ij$  is the  $r_a$  type of thermally averaged distance between these atoms. Thus, RDFs calculated as described above show peaks centered at  $r_a$  distances. There is, however, a possibility to obtain RDF defined as  $P(r)$ , which is more natural. For this, UNEX can multiply the integral by  $r$ , see **RdfMultR** keyword. In this case the peaks are centered at  $r_g$  distances between atoms. Note also that this procedure naturally increases the difference curve (difference between

model and experimental RDFs) proportionally, so this should not be misinterpreted as a worsening in the model fit. Below is such an example using data for Ph-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-Se-CF<sub>3</sub> molecule. Note again, both RDFs were obtained for exactly the same experimental data and model. The advantages of the  $P(r)$  function are clearer physical meaning and more distinct visibility of contributions from terms with large interatomic distances. In contrast, the  $P(r)/r$  function effectively hides discrepancies between data and model, which hinders analysis. Therefore in UNEX the default setting is **RdfMultR=1** so that  $P(r)$  RDFs are generated.



UNEX can also calculate pure model radial distribution functions if the **RDF** command is called without arguments. In this case the printed experimental and model curves are the same. They are computed from a corresponding model  $sM(s)$  function, which is in turn calculated internally for the range controlled by the keywords **GFsmax**, **GFsmin** and step size **GFstep**.

If your experimental molecular intensities have meaningful standard deviations it is possible to calculate errors of experimental RDFs by switching the **RdfCalcStdevs** keyword on. Standard deviations for  $sM(s)$  can be defined in input file as absolute values, calculated in averaging procedure or in background procedure from respective errors of total intensity functions. Standard deviations for  $sM(s)$  are also estimated in **MINIMIZE** but should be used with care in case of large  $R$ -factors. Note that the calculated values of standard deviations for RDF's only represent random errors propagated from respective errors of  $sM(s)$ .

The default method for calculation of standard deviations uses error propagation formula and numerical differentiation of  $sM(s)$  functions. For large models the calculations can be (very) time consuming. There is an alternative procedure which uses the Monte-Carlo method. This can be activated by setting the keyword **RdfMCEsdIter** to some positive integer value, indicating the number of iterations. It is recommended to investigate the convergence of the calculated results with respect to the number of iterations. In some cases the optimal value of **RdfMCEsdIter** can be from several hundreds to several thousands.

Below in Figure simulated molecular intensity functions for 1,2-dichloroethane (1:1 mixture of *anti* and *gauche* conformers) are shown. Random Gaussian noise, with standard deviations 0.03 for the curve above and 0.025 for the other curve, was added to the simulated experimental data.

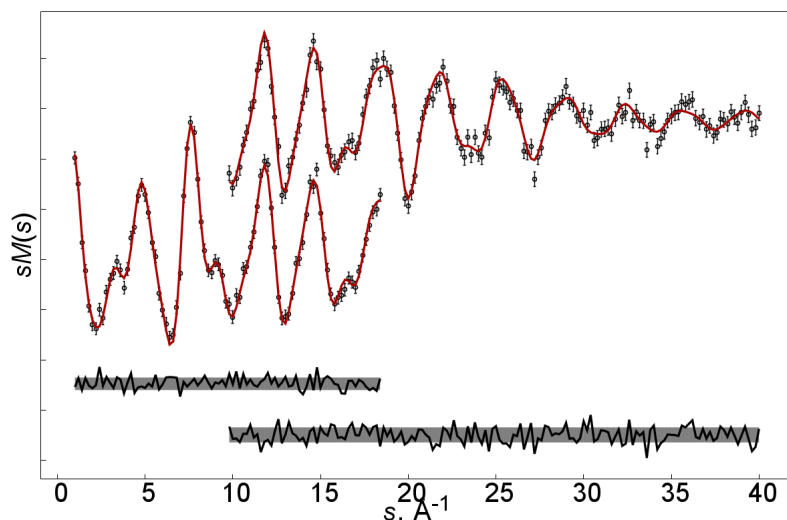


Figure 20. Simulated experimental (dots) and model (lines)  $sM(s)$  molecular intensity functions for 1,2-dichloroethane and respective difference curves. Error bars and gray areas around differences correspond to  $\pm 1\sigma$ .

These data were used to calculate model and experimental RDFs with respective standard deviations. The obtained data are plotted on the Figure below. Note, the calculation was done with **RdfMultR=1**, that is RDFs corresponding to  $P(r)$  were calculated. Therefore oscillations of the difference curve and the standard deviations increase when  $r$  increases.

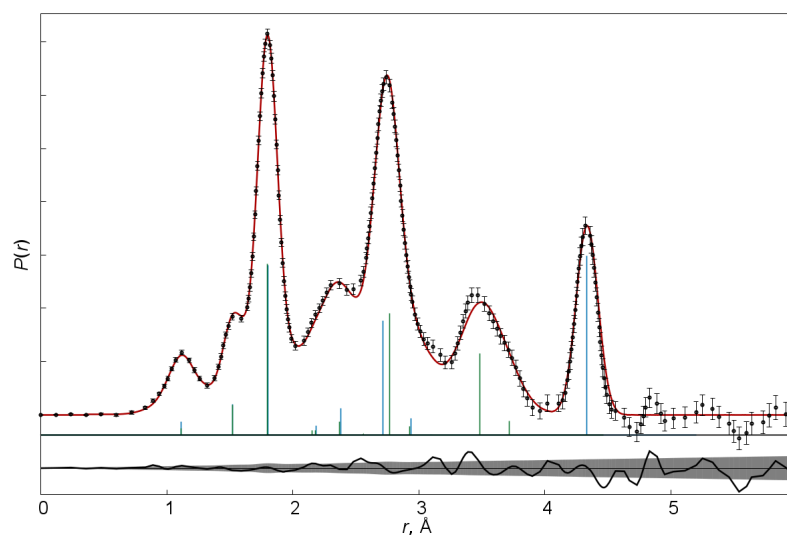


Figure 21. Experimental (dots) and model (line) radial distribution functions of type  $P(r)$  for 1,2-dichloroethane. Error bars and gray area around the difference curve below correspond to  $\pm 1\sigma$ .

Alternatively UNEX can calculate more traditional RDFs of type  $P(r)/r$  by switching the **RdfMultR** keyword off. Usually in this case standard deviations are distributed approximately equally along  $r$  scale. The Figure below demonstrates  $P(r)/r$  for 1,2-dichloroethane calculated from the simulated  $sM(s)$  data shown above.

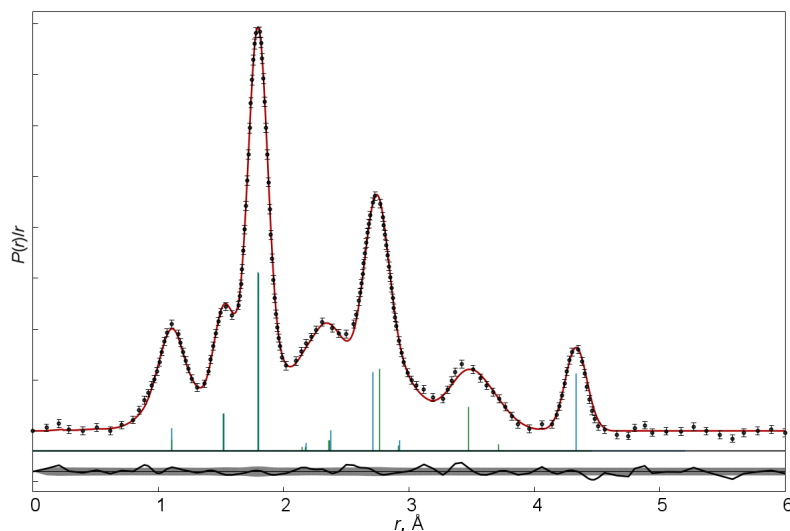


Figure 22. Experimental (dots) and model (line) radial distribution functions of type  $P(r)/r$  for 1,2-dichloroethane. Error bars and gray area around the difference curve below correspond to  $\pm 1\sigma$ .



For graphical interpretation of RDFs it is useful to print also interatomic terms and their contributions with `PRINT=GRAPHTERMS,mol` command(s). RDF and terms can be plotted together, which simplifies analysis. As a possibility, RdfPlot program can read and plot this data automatically.

## Models for rotational constants

In the simplest case, model rotational constants are computed by diagonalizing inertia tensors, which are calculated for the current geometrical structure and using atomic masses located in infinitesimal points. The type of the structure is determined by user, for example it may be equilibrium. This model is called "rigid rotor - point atomic masses" and corresponds to the setting `RotConstModel=rrpatm`:

$$B_{\text{mod}} = B_{\text{rrpatm}}$$

The other option, `RotConstModel=rrpatm-vibc`, in addition utilizes input vibrational corrections, defined by the keywords `RotA_vibc_value`, `RotB_vibc_value` and `RotC_vibc_value`. First, the "rrpatm" values are calculated, from which the respective vibrational corrections are subtracted. Note, the correction is defined as

$$\Delta B_{\text{vib}} = B_{\text{(geometrically consistent)}} - B_{\text{(vibrationally averaged)}}$$

typically

$$\Delta B_{\text{vib}} = B_{\text{e}} - B_0$$

Thus, the model rotational constant is calculated as

$$B_{0, \text{mod}} = B_{\text{e, rrpatm}} - \Delta B_{\text{vib}}$$

The third option, `RotConstModel=rrpatm-elc1-vibc`, adds an electronic correction in addition to the vibrational correction. The electronic correction is calculated as (for details see the book of W. Gordy and R. L. Cook [31] page 548)

$$B_{\text{eff}}^{\xi} = B_{\text{at}}^{\xi} + \frac{m}{M} g_{\xi\xi} B_{\text{n}}^{\xi}$$

where  $\xi = \text{a, b, c}$  is the axis in the principal system,  $B_{\text{eff}}^{\xi}$  is the effective model rotational constant,  $B_{\text{at}}^{\xi}$  and  $B_{\text{n}}^{\xi}$  are the rotational constants calculated using atomic (the "rrpatm" definition) and nuclear masses, respectively,  $m$  and  $M$  are masses of the electron and proton, respectively and  $g_{\xi\xi}$  is the corresponding diagonal element of the rotational  $g$  tensor. Thus, using UNEX designations (and omitting the axis symbols for simplicity) the total model rotational constant is calculated as

$$B_{\text{mod}} = B_{\text{rrpatm}} + \frac{m}{M} g B_{\text{n}} - \Delta B_{\text{vib}}$$

The diagonal components of the rotational  $g$  tensor are defined using the keywords `Rot6_gaa_value`, `Rot6_gbb_value` and `Rot6_gcc_value`. Note, in the actual calculation automatically corrected  $g$  values ( $g_{\text{corrected}}$ ) can be used, if respective relative shifts were also defined, `Rot6_gaa_rshift`, `Rot6_gbb_rshift` and `Rot6_gcc_rshift`. The definition for these shifts is

$$\text{rshift} = \frac{g_{\text{input}} - g_{\text{corrected}}}{|g_{\text{input}}|}$$

Accordingly, the corrected  $g$  value is calculated as

$$g_{\text{corrected}} = g_{\text{input}} - \text{rshift} \times |g_{\text{input}}|$$

## Refinement of molecular parameters

### History of refinement methods in GED

According to Bartell in [27], Hamilton and Schomaker were the first who introduced the least squares method to gas electron diffraction in 1954. One year later it has been used for interpretation of visual data [32]. In 1957 Bastiansen and Hedbergs published a paper where they applied the least squares method for the refinement of molecular structure from reduced molecular intensity functions [33]. Bartell defined background function in analytical form and refined its parameters together with molecular structure using least squares [27]. The widely used `MOCED` method (molecular orbital constrained gas electron diffraction) appeared in the 1970s [29]. In this method molecular parameters are combined in groups by fixing differences between values of the parameters within each group. The differences are taken from quantum-chemical calculations. This procedure improves the overall stability of the least squares problem. About at the same time the method of predicate observations has been introduced to GED by Bartell [34]. From the end of 70s Victor Spiridonov with associates started formulation [35] of the combined structure analysis method in terms of intramolecular potential energy functions [36], which has been later implemented by Igor Kochikov in the `ELDifff` program [19]. The method of predicate observations in a more generalized form has been developed further in Edinburgh under the name `SARACEN` [37] and implemented in `ed@ed` program [38]. In contrast to fixed constraints the used here additional data (mostly from theory) are called "flexible restraints". The Edinburgh group also developed `DYNAMITE` method [39]. In application mostly to molecular force fields in combined refinements the term "regularization" [19] is used. A general regularizing method has been



implemented in UNEX [40], where it can be applied to all types of refined parameters.

Refinement of all kinds of parameters in UNEX is closely associated with the term *group*. Group is a list of parameters tied by particular constraints. Most often the constraints are fixed differences between values of parameters within each group. In case of vibrational amplitudes for interatomic distances there is also a possibility to fix their ratios within each group instead of differences (see `GedVarAmplScale` keyword). The number of parameters in a group is not limited. However, only particular kinds of parameters can be grouped together. Formally a group can also consist of only one parameter. In this case the respective parameter is not tied to any other parameter in refinement procedures. Groups are defined by unique integer numbers. Each parameter can be defined with its respective group number. Several parameters with the same group number are combined together to a single group and refined with fixed constraints. By default parameters are defined without group numbers, which is the same as to define the group number to 0. If you want to refine parameters, you have to define their group numbers larger than zero explicitly. For refinement procedures the amount of variables is equal to the number of active groups. Thus, multiple parameters in a group in fact act as a single parameter in least-squares refinement. Consequently they share the same estimated standard deviation as a group, not as they had individual but equal standard deviations!

Below is the list of parameter types, which can be refined in UNEX.

- Geometrical parameters of Z-matrices. See respective chapter on how to assign group numbers to these parameters. Parameters of the same type can be combined together in a single group, for example a distance can be combined only with other distances. It is generally impossible to combine different types of geometrical parameters into a group. However, it is allowed to combine angles and torsional angles. Note, geometrical parameters of different molecules can be tied together in one group.
- Interatomic distances  $r_a$  can be refined independently. See `GEDTERMS` command on how to define respective groups.
- Vibrational amplitudes of interatomic pairs. Respective groups can be defined in the same fields as the values for amplitudes. Alternatively, there are possibilities to group amplitudes (semi-) automatically after their definition (see below). Amplitudes in different molecules can be grouped together.
- Mole fractions for molecules in mixtures, see parameters `molx` and `varx` in molecular fields.
- Parameters of potential functions in dynamic GED models of molecules.
- Scale factors for GED molecular intensity functions, see the `VarSc` keyword in field of intensity curves.

Semi-automatic grouping of amplitudes can be done using `AMPLGROUP` command.

```
AMPLGROUP=mol,gstart, r1s,r1e, r2s,r2e, ...
```

Here the grouping is done for the molecule `mol`. The numbering of groups starts from the number `gstart`. The parameters `r1s` and `r1e` should be floating point numbers, defining range of distances in the molecules. Amplitudes of terms, whose  $r_a$  distances are within this range, are grouped together.



Multiple ranges can be defined, `r2s` and `r2e` above indicate the second range. In the following example

```
AMPLGROUP=mo1,200 1.4,1.6 2.0,3.0
```

amplitudes of terms in `mo1` with  $r_a$  distances between 1.4 and 1.6 Å are assigned to a group with the number `200`. Next, amplitudes of terms with  $r_a$  distances in the range from 2.0 to 3.0 Å are assigned to a group with the number 201.

Another possibility is the fully automatic grouping of amplitudes for all molecules in the model with `AUTOGROUP` command:

```
AUTOGROUP=AMPLITUDES
```

This procedure calculates internally a radial distribution function (RDF) for the current ED model and assigns equal group numbers for amplitudes of the terms, which reside within single particular peak of the RDF. Accordingly, this method requires completely initialized ED model. In real practice it is strongly advised to analyse the performed by this procedure grouping and adjust it manually if need. Also note, both `AUTOGROUP` and `AMPLGROUP` procedures perform grouping only for those amplitudes which had previously group numbers equal to zero.

## MINIMIZE

UNIX provides several refinement procedures. The most important one is the least-squares (LS) method. The minimization of LS functionals can be done with the `MINIMIZE` command, with the common syntax

```
MINIMIZE=functional(s) [data] [groups]
```

The type of functional is the required parameter of the command, data should be defined in case of ED, groups can be defined or prohibited explicitly but this is optional (see below). There are several types of functionals:

- `GEDSMS` — molecular part of electron diffraction intensity in form of  $sM(s)$ .
- `ROTCONST` — rotational constants.
- `REGPRM` — regularization parameters, also known as flexible constraints or restraints.
- `RESTRGEOM` — restraining geometrical parameters.

In most general form the complete functional is represented as

$$\begin{aligned}\chi^2 = & \sum_i w_i (s_i M_i^{\text{model}} - s_i M_i^{\text{exp}})^2 \\ & + \alpha_{\text{rot}} \cdot \sum_j w_j (B_j^{\text{model}} - B_j^{\text{exp}})^2 \\ & + \alpha_{\text{reg}} \cdot \sum_k w_k (p_k^{\text{model}} - p_k^{\text{reg}})^2 \\ & + \alpha_{\text{rgp}} \cdot \sum_l w_l (R_l^{\text{model}} - R_l^{\text{rgp}})^2 \rightarrow \min\end{aligned}$$

Here the first, second, third and fourth terms correspond to **GEDSMS**, **ROTCONST**, **REGPRM** and **RESTRGEOM** types of functional, respectively. The relative global weight factors  $\alpha_{\text{rot}}$ ,  $\alpha_{\text{reg}}$  and  $\alpha_{\text{rgp}}$  are defined by the keywords **RotConstAlpha**, **RegAlpha** and **RestrGeomAlpha**, respectively. If **GEDSMS** is given, particular ED intensity curves must be indicated explicitly for constructing functional, for example

```
MINIMIZE=GEDSMS,1-1,2-1
```

Individual weights  $w_i$  for the  $sM(s)$  data points are calculated automatically from their standard deviations as  $w_i = \sigma_i^{-2}$ . The other types of functional do not require explicit indication of their data. **ROTCONST** automatically includes all defined rotational constants for all molecules and for their isotopologues, see keywords **RotA\_exp\_value**, **RotB\_exp\_value** and **RotC\_exp\_value**. The weights are calculated in the same manner as for ED data from respective standard deviations defined with keywords **RotA\_exp\_stdev**, **RotB\_exp\_stdev** and **RotC\_exp\_stdev**.

Functional **REGPRM** uses data, which can be read in using the respective command **REGPRM**. The syntax of the command is:

```
REGPRM=mode,otag,ctag
```

The only available mode of the command is **INPUNEX**, which means reading of the data as in the following example:

```
REGPRM=INPUNEX,<myregparams>,</myregparams>
```

```
<myregparams>
1      1.490      0.001
2     110.0       0.1
3       0.05      0.01
</myregparams>
```

Here in the first column group numbers are indicated. In the second and third columns regularization values and their individual standard deviations are defined. In the equation above the regularization values correspond to  $p_k^{\text{reg}}$ , while the weights  $w_k$  are calculated from the introduced standard deviations as  $w_k = \sigma_k^{-2}$ .



In UNEX versions before 1.6-1273 the values in the third column are interpreted as

weights, not as standard deviations!

Note, the regularization is applied to first parameters in groups, so the regularization values must be appropriate. The other parameters in the groups are regularized automatically to the same extent due to rigid constraints. Regularization must not necessarily be used for all refined parameters, restraints can be applied to some selected groups. With **REGPRM** it is possible to define regularization parameters for groups, whose parameters are not refined. In this case the respective restraints are ignored and not included in least squares functional. This is because in different **MINIMIZE** (or in **SEARCH** and others) procedures different groups of parameters can be refined, whereas the list of regularization parameters is global. The units of the regularization values must be the same as the units used for introduction of respective model parameters. For parameters of potential functions internal UNEX units must be used. They can be checked by printing data using **PRINT=POTENTIAL**.

**RESTRGEOM** functionals are built on the basis of geometrical parameters of molecules. The allowed types of parameters are interatomic distances, angles, torsion angles and out-of-plane angles. For definition of parameters and numeration of atoms see section for geometrical parameters in chapter [Data printing](#). The restraining data are read in for particular molecules with **RESTRGEOM** command as in the example:

```
RESTRGEOM=mol, INPUNEX, <rgeom>, </rgeom>

<rgeom>
bond      1      2              0.970  0.010
bond      2      3              1.385  0.005
bond      3      4              1.200  0.002
bond      3      5              1.185  0.002
angle     1      2      3        103.1  0.5
angle     2      3      4        115.6  0.5
angle     2      3      5        114.1  0.5
angle     4      3      5        130.1  0.5
torsion    1      2      3      4         0.0  0.00001
o-o-p     5      3      2      4         0.0  0.00001
</rgeom>
```

In each line first a string with type of parameter is given. The possible types are **bond** (**dist** and **distance** can also be used as synonyms), **angle**, **torsion** and **o-o-p** (for out-of-plane angles). Next, numbers of atoms must be defined. Finally, values and respective standard deviations for the defined parameters are given. Note, the standard deviations are optional but it is recommended to indicate them explicitly. Otherwise they are assumed to be 1.0. The units for distances and angles are Angstroms and degrees, respectively. In LS functional the weights are calculated from the defined here standard deviations  $\sigma$  as  $w_i = \sigma_i^{-2}$ . Note, for models of mixtures each molecule can have an individual set of restraining geometrical parameters. All of them are participating in the same respective sum in the LS functional given above. The prefactor  $\alpha_{\text{rpp}}$  is thus the same for all sets. In should be noted that restraining geometrical parameters should not necessarily be equal to the parameters in definition of molecular geometry, which can be refined in **MINIMIZE** (or in other procedure). Also, distances can be given for chemically non-bonded atoms.

In the beginning of the **MINIMIZE** procedure the information on data for constructing the LS functional is printed. This include number of data,  $\alpha$  values, etc. If electron diffraction intensities are used, then the structural resolution and maximal structural distance are estimated. The former is calculated as

$$dr = \frac{2\pi}{s_{\max} - s_{\min}}$$

where  $s_{\max}$  and  $s_{\min}$  are the maximal and minimal  $s$ -values for which experimental ED intensity values are available. The maximal structural distance is calculated as Nyquist frequency due to the Whittaker–Nyquist–Kotelnikov–Shannon sampling theorem [41, 42]:

$$r_{\max} = \frac{\pi}{\Delta s}$$

where  $\Delta s$  is the average spacing between adjacent intensity data points in  $\text{\AA}^{-1}$ . The  $r_{\max}$  value shows the largest interatomic distance, which can possibly be determined from the current electron diffraction data set.

Minimization of the LS functional can be done using two methods:

- Levenberg-Marquardt method [43, 44] for solving non-linear least squares problems.
- One-dimensional golden section search [45].

A particular method to use (or a combination of methods) can be chosen with the **MinMethod** keyword. All methods are iterative, the maximal allowed number of iterations is defined by keyword **MaxIter**. Iterations stop in several cases:

- Relative change in functional is less than threshold value (see keyword **LsqFunTol**).
- Maximal relative parameter addition is less than threshold value (see keyword **LsqAddTol**).
- Maximal relative gradient (partial derivative of functional with respect to some refined parameter) is less than threshold value (see keyword **LsqGrdTol**).
- Parameter **Lambda** in Levenberg-Marquardt method increased too many iterations in a row (see keyword **LsqLamMaxInc**).
- Maximal allowed number of iterations performed.

In UNEX there are two different weighting schemes in LS analysis: relative and absolute. They influence calculation of standard deviations of refined parameters. With absolute weights the matrix of covariances (with squares of standard deviations of parameters as diagonal elements) is obtained directly as inverse of normal matrix. In case of relative weighting the calculated cofactor matrix (the inverse of the normal matrix) is multiplied by the factor  $\chi^2/\nu$ , where  $\nu$  is the number of degree of freedom, calculated as the number of data points minus the number of refined parameters  $\nu = N_{\text{data}} - N_{\text{prm}}$ . The switching between absolute and relative weighting can be done using the **MinAbsWeighting** keyword.

Depending on settings **MINIMIZE** can print different types of data for information on minimization status, properties, convergence and so on:

- Total absolute and relative values of functional  $\chi^2$  designated in output as **X^2**. The relative

values are printed during iterations of solving LS problem. In this case the initial value of the functional is scaled to be 1.0 and the following values are relative to this initial unity.

- **Lambda** is the parameter in Levenberg-Marquardt method [43, 44] for improving convergence. Stable minimization is accompanied with decreasing of this parameter.
- **Rf** and **wRd** are printed in case of using electron diffraction data. The first one is the regular *R*-factor calculated without weights:

$$R_f = \sqrt{\frac{\sum_{i=1}^N (s_i M_i^{\text{model}} - s_i M_i^{\text{exp}})^2}{\sum_{i=1}^N (s_i M_i^{\text{exp}})^2}} \times 100\%$$

The other, **wRd**, is the *R*-factor with diagonal weighting:

$$wR_d = \sqrt{\frac{\sum_{i=1}^N w_i (s_i M_i^{\text{model}} - s_i M_i^{\text{exp}})^2}{\sum_{i=1}^N w_i (s_i M_i^{\text{exp}})^2}} \times 100\%$$

Note, on iterations total  $wR_d$  values are printed, i.e. the summations are performed for all data points of all intensity curves, if several are used. Individual different types of *R*-factors for particular intensity curves are printed after minimization.

- **RMSD** and **WRMSD** are root-mean-square and weighted root-mean-square deviations, respectively. For rotational constants they are calculated as

$$\text{RMSD} = \sqrt{\frac{\sum_{i=1}^N (B_i^{\text{model}} - B_i^{\text{exp}})^2}{N}}$$

where *N* is the total number of rotational constants, and

$$\text{WRMSD} = \sqrt{\frac{\sum_{i=1}^N w_i (B_i^{\text{model}} - B_i^{\text{exp}})^2}{\sum_{i=1}^N w_i}}$$

Analogous formulae are used for other types of data. During iterations WRMSD for rotational constants and all restraining geometrical parameters are printed in columns **RotWRMSD** and **RgpWRMSD**, respectively.

- When regularization data are used, the respective part of the total functional (see equation for  $\chi^2$  above) is printed as **RegQ**.

After minimization several blocks of data are printed:

- Information on the convergence of the procedure.
- Statistics for the data and model:
  - Number of degrees of freedom (number of data points minus number of refined parameter groups).
  - Condition number (ratio of maximal and minimal singular values of normal matrix). Large values can indicate numerical instability.

- Rank and nullity of the design matrix in LSQ method.
- Goodness-of-fit value printed in case of absolute weighting. It is defined as  $1-Q$ , where  $Q$  is the probability that the functional  $\chi^2$  should exceed its refined minimal value by chance. Small values (close to zero) of goodness-of-fit can indicate that (i) model is not adequate, (ii) standard deviations for data points are probably larger than stated, (iii) measurement errors are not normally distributed. On the other hand values of goodness-of-fit close to or equal 1 can indicate that defined standard deviations of data points are too large/pessimistic.
- Values of functional parts.
- For  $sM(s)$  data different  $R$ -factors (diagonal-weighted  $wR_d$  and without weights  $R_f$ ), RMSD/WRMSD, estimated standard deviations for the data (see **ESD** in output), etc.
- For electron diffraction  $sM(s)$  data the Durbin-Watson statistics [46, 47] are printed (indicated as **DW**).
- Also, unweighted  $R$ -factors (for each curve separately and total) are calculated and printed for  $M(s)$ . However, they are provided mostly for the completeness and for a possible comparison with results from other programs. Normally, for the assessment of the data fit, it is recommended to use the  $R$ -factors calculated for  $sM(s)$  data.
- Table with refined parameter values, their absolute and relative errors and partial derivatives of total functional with respect to these parameters. Errors of parameters are least-squares standard deviations multiplied by factor **PrintEsdFactor**.
- Optional tables with contributions of functionals to refined parameters (see **CalcFuncProportion** keyword). Note, here errors (standard deviations possibly multiplied by a factor) and respective so-called experimental errors of the refined parameters are also printed. The experimental errors are defined and calculated as described in [6]. Keyword **MinSigmaExcludeFunc** can be used to control this method.
- Matrix of correlations.
- Table with correlations above 0.5, if there are any.
- Optional table with  $\chi^2$  (hyper)ellipsoid (see **MinPrintEllipsoid** keyword).

Several types of functional can be combined in **MINIMIZE**. For example, the following command

```
MINIMIZE=GEDSMS+ROTCONST,1-1,2-1
```

refines parameters from rotational constants and indicated ED data simultaneously. In the same manner three types of data can be used

```
MINIMIZE=GEDSMS+ROTCONST+REGPRM,1-1,2-1
```

or with restraining geometrical parameters

```
MINIMIZE=GEDSMS+ROTCONST+RESTRGEOM,1-1,2-1
```

Other combinations are possible.

As already stated refinement of particular parameter groups can be (dis)allowed. By default all parameters with group numbers greater than zero are refined. If group numbers are defined explicitly in **MINIMIZE** then only parameters in these groups will be refined. The following example demonstrates how to refine parameters only in groups **1** and **2**.

```
MINIMIZE=GEDSMS,1-1 1,2
```

The other possibility is to prohibit refinement of parameters from particular groups. In the following example parameters from all groups except group **5** are refined:

```
MINIMIZE=GEDSMS,1-1 !5
```

Several groups can also be excluded from refinement:

```
MINIMIZE=GEDSMS,1-1 !5,!6,!26
```

Combinations of permissive and prohibitive definitions of groups are meaningless. The command

```
MINIMIZE=GEDSMS,1-1 1,!2
```

is equivalent to

```
MINIMIZE=GEDSMS,1-1 1
```

i.e. refines parameters only from the first group.

## OPTALPHA

In case of relative weighting of data in least-squares functional the factors  $\alpha_{\text{rot}}$ ,  $\alpha_{\text{reg}}$  and  $\alpha_{\text{rgp}}$  should have appropriate values. The problem is that there is no single clearly defined criterion for optimal values of these factors. Usually they are adjusted according to specific requirements of a particular investigation (see discussion of the problem in [48]). There are, however, some heuristic criteria. One of them is implemented in UNEX [49] (a more detailed description is given in [6]). The respective command is **OPTALPHA**:

```
OPTALPHA=functional(s) [data] [groups]
```

The syntax above is the same as for **MINIMIZE**. In fact this is an iterative procedure, which internally

starts **MINIMIZE** on each iteration. Note, the procedure is implemented only for some cases when two types of functional combined together.



Always analyse result(s) of this command. Check whether the obtained alpha parameter fits your needs. In many cases it can be used only as a starting point for further search of optimal values.



This procedure does not refine any parameters except respective  $\alpha$ . That is, any other types of parameters, including molecular geometry, remain unchanged after this command. Also, standard deviations and correlations are not determined. Thus, if you run only **OPTALPHA**, it is impossible to calculate standard deviations for dependent parameters.

## ROBUSTM

The described above command for minimization of LS functionals implements the main procedure in UNEX for these purposes. A more complex method is provided by the **ROBUSTM** command. In contrast to **MINIMIZE** it modifies weights of experimental data using bisquare weights scheme of Tukey [50, 51]. Accordingly, standard deviations of data points are adjusted. The weights are calculated iteratively from respective residuals. In fact **ROBUSTM** internally starts **MINIMIZE** procedure on each iteration, refines model and updates weights of all data points. This procedure is repeated until weights are converged or the limit for number of iterations is achieved (see **MinRobMaxIter** keyword). As the last step a normal minimization is performed and results are printed as usually from **MINIMIZE**. The syntax for **ROBUSTM** is the same as for **MINIMIZE**:

```
ROBUSTM=functional(s) [data] [groups]
```



By design **ROBUSTM** always leads to (significantly) lower functional values, better *wRd*-factors and WRMSD values in comparison to those from conventional **MINIMIZE** procedure. This is due to adjusted weights based on respective residual values. Thus, this procedure effectively masks disagreement of model with experimental data. Do not use **ROBUSTM** if there is any chance that your residuals contain significant systematic component!

## SEARCH

**MINIMIZE** and **ROBUSTM** are local methods for optimization of models. This means that they typically converge to local minima depending on starting approximation. With these methods there is no possibility to know exactly whether obtained solution corresponds to global minimum on the functional (hyper)surface. For this purpose in UNEX there is a special command **SEARCH**, which provides two methods. The first one implements a systematic scanning of functional by testing different values of parameters in defined ranges. The syntax of the command is as follows:

```
SEARCH=SCAN,functional(s),otag,ctag,[data]
```



Here functional and data are defined exactly in the same manner as for **MINIMIZE** command. The opening and closing tags **otag** and **ctag** are for data field with information on which parameters should be used in scanning procedure. The format of the data field can be best shown by example:

```
<scan>
2   1.76   100   1.78
4   0.04   100   0.06
</scan>
```

This is definition of a two-dimensional scan. Each line defines a parameter or a group of parameters, which should be scanned. Here the first line is for group number **2**. The first parameter in this group should be scanned in range from **1.76** to **1.78** (other parameters in this group will be automatically adjusted using fixed constraints) with **100** steps. In the same manner scanning for the second group (in the example group number **4**) is defined. In total, the two-dimensional scan will do  $100 \times 100 = 10000$  calculations of functional for different combinations of parameters. After this UNEX reports statistics for parameters:

- Values of parameters (first parameters in respective groups) which correspond to minimal value of total functional.
- Weighted statistics for each parameter:
  - Mean value.
  - Standard deviation.
  - Skewness.
  - Kurtosis (but not excess kurtosis).
- Minimal tested value.
- Maximal tested value.

In addition UNEX prints weighted correlations between parameters.



Accuracy of weighted statistics (including correlations) can be sensitive to the size of scanned area and to the number of tested points. In particular, area in the vicinity of minimum of functional must be sampled dense enough in order to get well converged values of statistics. Otherwise values of skewness and kurtosis are printed as zeros if they cannot be calculated accurately. The sign for this is a very small value of respective standard deviation. In case of numerical problems with calculation of correlations respective rows and columns and diagonal elements are printed as zeros. Otherwise diagonal elements are exactly 1. In many cases to get reasonable values of statistics at least several tens of thousands points are required. To test for convergence you need to recalculate the values for at least twice as large number of scanned points.

The procedure in **SEARCH=SCAN** finds global minimum of functional within defined limits for values of parameters and with accuracy determined by scanning step size(s). The problem is, however, that the required number of scanning points scales as  $S^N$ , where  $S$  is the number of steps for each parameter and  $N$  is the number of parameters or groups of parameters. Thus, the total number of

points increases very quickly with the number of scanning dimensions. To avoid this problem UNEX implements Monte-Carlo method for searching of global minimum of functional. The syntax of the respective command is very similar to that shown above. The only difference is that **RAND** should be indicated:

```
SEARCH=RAND,functional(s),otag,ctag,[data]
```

The definition of search parameters is also the same as in the example above. For compatibility purposes the integer values (number of steps in case of **SCAN**) between minimal and maximal allowed parameter values are also indicated but do not play any role and simply ignored by UNEX. In case of **RAND** the amount of sampled points is controlled by time allowed for **SEARCH**, see **SearchTime** keyword.

## Uncertainties of parameters

In inverse problems parameters are refined with uncertainties. After each least-squares refinement standard deviations and correlation factors are calculated for parameters. They can be accurate in a simplest case, when the only source of uncertainty is the noise in experimental data and under condition that an appropriate weighting for experimental data is used. However, in real practice uncertainties in other parts of the refined model can propagate into uncertainties of the refined parameters.

A possible way to take this into account is to use the direct Monte-Carlo simulation approach [52]. This method is implemented in UNEX and can be started by calling the command **MCMIN**:

```
MCMIN=functional(s) [data] [groups]
```

This command has the same syntax as **MINIMIZE** described above. In fact, the **MCMIN** procedure calls **MINIMIZE** internally as a first step. After its convergence the Monte-Carlo procedure is started. Parameters and/or data are sampled randomly from respective distributions and for the newly generated model and data a least-squares method is started for minimization of defined in **MCMIN** command functional. The refined values of parameters are saved. These steps are performed multiple times in a loop. Thus statistics for refined parameters are collected and the procedure is repeated until convergence or until the maximal allowed number of iterations is reached.

The following data can be randomized:

- Experimental data (if **MCRandData=1** is defined in **BASE**).
  - Experimental molecular scattering intensity functions. For details see below.
  - Experimental rotational constants, see keywords **RotA\_exp\_pdf\_p1**, **RotA\_exp\_pdf\_p2** (and analogous for rotational constants B and C) in molecular field.
  - Regularization parameters, which indeed can be experimental from some other source or they can be assumed values with some defined absolute standard deviation.
- Model parameters (if **MCRandParams=1** is defined in **BASE**).

- Parameters defining background spline smoothness, see keywords **MCBg1Q**, **MCBg1QMin** and **MCBg1QMax** in field for scattering intensity.
- Electron wavelengths, see keywords **MCLam** and **MCLamS** in input field for scattering intensity.
- Geometrical constraints (fixed values of parameters and their differences), see below command **ZMATMC**.
- Fixed amplitudes of interatomic vibrations or their differences and ratios, see command **TERMSMC**.
- Vibrational corrections for interatomic distances, see command **TERMSMC**.
- Relative abundances of molecules in mixtures, see keywords **MCvarx**, **MCxMin** and **MCxMax**.

There are several possibilities on how electron diffraction intensities are randomized.

- In case of absolute weighting (**MinAbsWeighting**) each point is sampled from a gaussian distribution with respective standard deviation and centered on original experimental value of this point.
- If standard deviation is defined for  $sM(s)$  globally with the keyword **MCsMsSpread** then this value is used for all points.
- Otherwise are used estimated standard deviations for each curve independently from the initial least-squares run. Note, within each curve all points have the same value of standard deviation.

The first variant is the best if accurate standard deviations are known for molecular intensities. For rotational constants the only option is to define directly standard deviations in molecular field(s) using keyword **RotA\_exp\_pdf\_p2** (analogously for constants B and C). The random values are sampled from respective Gaussian distributions centered on original experimental values. The initial state of used internally random number generator can be controlled using the keyword **MCRandDataSeed**. Set this parameter to a particular integer value if you want to obtain reproducible results. Otherwise it is initialized automatically in a pseudo-random manner so that you get numerically different results in each run. However, if the procedure is well converged the results must be very similar.

For randomization of parameters group numbers are used. If a parameter should be randomized an integer group number should be assigned to this parameter using special keywords (**MCBg1Q**, **MCLam**, **MCvarx**) and/or commands (**ZMATMC**, **TERMSMC**). Parameters in the same groups (with equal group numbers) are randomized so that differences between their values are kept fixed. This is similar to refinement of parameters, when only those parameters are refined, which have non-zero group numbers. The rules for combination of parameters in groups are the same as for refinements.



The group numbering systems for refinement and for randomization are completely separated, so you can use the same numbers for these two purposes. However, it makes no sense to randomize parameters which are subject to refinement.

For grouping of Z-matrix parameters **ZMATMC** command is used:

```
ZMATMC=mol,type,otag,ctag
```

where **type** defines type of limits for minimal and maximal parameter values, which is one of **ABS**, **ABSDEV** or **PERC**. The input field for this command should consist of four columns: name of parameter from Z-matrix of the current molecule, randomization group number for this parameter and two floating-point numbers defining limits for randomization. If **type** is **ABS** then absolute minimal and maximal values should be defined, like in the example:

```
<ZM_mol_MC>
  F1      1    150.0  180.0
  F2      2      0.0   60.0
</ZM_mol_MC>
```

Here **F1** and **F2** are names of torsion angles, which have been defined earlier in a Z-matrix for a molecule. These two angles will be randomized independently in two separate groups with group numbers **1** and **2**. The first angle will be randomized in the range from 150.0 to 180.0 degrees, the other angle will be randomized in the range [0,60]. Note, parameters are sampled from uniform distributions with defined minimal and maximal values. If **type** is **ABSDEV** then deviations from current values of parameters are defined in the input field, for example:

```
<ZM_mol_MC>
  F1      1     10.0   15.0
  F2      2      5.0   10.0
</ZM_mol_MC>
```

After reading of this data the limits for randomization of **F1** are  $[V_{F1}-10, V_{F1}+15]$ , where  $V_{F1}$  is the current value of **F1**. The limits for randomization of **F2** are  $[V_{F2}-5, V_{F2}+10]$ . If **type** is **PERC** then deviations are defined in percents from current values of parameters, for example:

```
<ZM_mol_MC>
  F1      1      0.5   0.5
  F2      2      1.0   1.0
</ZM_mol_MC>
```

Here the limits for **F1** are in fact  $[V_{F1}-0.5*V_{F1}/100, V_{F1}+0.5*V_{F1}/100]$ , where  $V_{F1}$  is the current value of **F1**. Similarly for **F2** the limits will be  $[V_{F2}-1.0*V_{F2}/100, V_{F2}+1.0*V_{F2}/100]$ . Note, command **ZMATMC** does not require that all Z-matrix parameters are listed in the respective input field. It is enough to indicate only those parameters, which should be randomized.



Note, for correct calculation of biases the refined parameters must be already optimal for the given functional before starting the **MCMIN** procedure. This can be achieved in a preliminary run of **MINIMIZE** before the actual **MCMIN** procedure.

Information required for randomization of ED vibrational amplitudes and corrections is introduced with the **TERMSMC** command:

```
TERMSMC=mol,type,otag,ctag
```

Here **type** can be one of **AMPLPERC**, **CORRPERC**, **AMPLABS**, **CORRABS**, **AMPLABSDEV** and **CORRABSDEV**. Prefixes **AMPL** and **CORR** indicate amplitudes and corrections. Suffixes **ABS**, **PERC** and **ABSDEV** indicate that the data are introduced as absolute values, as percent deviations from current active values or as absolute deviations from current values. This scheme works in the same way as in the **ZMATMC** command. Below is an example for CO<sub>2</sub> molecule:

```
TERMSMC=mol,AMPLPERC,<MC_ampl>,</MC_ampl>
TERMSMC=mol,CORRPERC,<MC_corr>,</MC_corr>

# In percent!
<MC_ampl>
C2  01    201  35.0   35.0
C2  03    201  35.0   35.0
O1  03    202  35.0   35.0
</MC_ampl>

# In percent!
<MC_corr>
C2  01    301  500.0   500.0
C2  03    301  500.0   500.0
O1  03    302  500.0   500.0
</MC_corr>
```

UNEX provides a (semi)automatic procedure for collecting statistics on minimal and maximal values of parameters (Z-matrix parameters, vibrational amplitudes and corrections), which can be printed in a way suitable for later introducing in Monte-Carlo procedure. This is demonstrated in the example below:

```
RESTERMSTATS # Reset statistics for ED terms
# Collect stats
MOLXYZ=mol,XYZUNEX,<XYZ1>,</XYZ1>
AMPLITUDES=mol,FREEU,<AMPL1>,</AMPL1>
UPDPRMSTATS # Update stats after each reading of new parameter values
MOLXYZ=mol,XYZUNEX,<XYZ2>,</XYZ2>
AMPLITUDES=mol,FREEU,<AMPL2>,</AMPL2>
UPDPRMSTATS
# Print stats as templates with infos for MC
PRINT=ZMATMCTMPL,mol
PRINT=AMPLMCTMPL,mol
PRINT=CORRMCTMPL,mol
```

The idea consists in reading different sets of geometrical parameters (here in form of Cartesian coordinates, which update Z-matrix parameters) and vibrational amplitudes and corrections. The first command **RESTERMSTATS** is used for resetting of statistics. Next new parameters are introduced in a usual way. After reading of data the command **UPDPRMSTATS** is used for updating of parameter statistics taking into account the freshly introduced values. This can be repeated multiple times. In the end the collected minimal and maximal values are printed by **PRINT=ZMATMCTMPL,mol**, **=AMPLMCTMPL** and **=CORRMCTMPL**. Note, by default the printed ranges of parameters are extended by particular

factors, see documentation for these printing commands. With slight modifications these data can be used as input for MC procedure.



The data and grouping of parameters in the printed in this way templates should be carefully analysed before using in Monte-Carlo procedure.

During the MC procedure in intermediate and in final calculations of parameter statistics two methods can be used depending on the setting of the **MCWeightedStats** keyword, i.e. the statistics can be calculated with and without weighting factors. In the former case the weights are calculated as

$$w_i = e^{-\chi_i^2}$$

where  $\chi_i^2$  is the absolute minimized value of the least-squares functional on the  $i$ -th iteration of the Monte-Carlo procedure.

## ED standards

UNEX can process ED intensities of gas standards. In most cases this is done in order to refine electron wavelength. The respective command is **STANDARD**:

```
STANDARD=method,int1,...
```

Here **method** can be **SCANLAM**, **REFINELAM** or **LSQ**. Also in the command there must be defined one or more identifiers of intensities, which should be processed. For each intensity the type of standard can be defined with the keyword **Std**, otherwise default value from the global keyword **StdDefType** will be used. In most cases initial value of the electron wavelength (keyword **Lam**) and distance from nozzle to detector (keyword **NtoP**) must be defined. It is also recommended to set sector to detector distance (keyword **StoP**) to an appropriate value if sector device was used for obtaining experimental data and sector function is used in the procedure.

The first method **SCANLAM** does searching of the best electron wavelength by scanning. The control keywords for this method are **StdScanIter**, **StdScanLamMin** and **StdScanLamMax**.

The other method **REFINELAM** searches the best electron wavelength using golden section method. In contrast to the simple scanning it recalculates background on each iteration, which increases the overall accuracy of the obtained electron wavelength. The most important keywords for this method are **StdRefLamMaxIter** and **StdRefLamTol**.

Note, the type of model for total intensities in these both methods can be chosen using individual for each intensity curve keyword **IModel**. In fact this determines the type of background calculated for the intensities. The valid options are **mbgl**, **a1bgl**, **a2bgl** and **none**. The last option **none** explicitly indicates that no background should be calculated. The background and respective experimental  $sM(s)$  are also not calculated if **IModel** is not defined. In this case the experimental  $sM(s)$  must be defined and available from some other source, for example from input file. The approximation for background lines can be defined using global keyword **BglApproxType**. The flexibility of the lines can be controlled by defining the maximal number of inflection points with the keyword **BglNinflThr** for each curve individually, or with the global keyword **BglNinflThr**. If polynoms are used for background approximations, then the relevant local and global keyword is **BglPolPow**. Depending on

the type of background scale- or  $t$ -factors can be refined if `StdBglRefScaleMaxIter` is greater than zero.

The other option for the `method` is `LSQ` [53]. In this case the least-squares method is used. It refines parameters of total intensities by minimizing a functional, which is generally defined as

$$\begin{aligned}\chi^2 = & \sum_i \sum_j w_{(ij)} (I_{\text{model}, (ij)} - I_{\text{exp}, (ij)})^2 \\ & + \alpha_{\text{sec}} \sum_i w_i (S_{\text{model}, (i)} - S_{\text{reg}, (i)})^2 \\ & + \alpha_{\text{bgl}} \sum_i \sum_j (\beta_{\text{model}, (ij)} - \beta_{\text{reg}, (ij)})^2 \\ & + \alpha_{\text{dbgl}} \sum_i \sum_j (\beta''_{\text{model}, (ij)})^2\end{aligned}$$

The summation in the first term is performed for all points of all intensity curves processed simultaneously. The second term is for regularization of the refined sector function, if the keyword `StdRegSecAlpha` is set to non-zero value and a regularizing sector function is defined with the `REGSEC` command. The third term is for regularization of refined background functions. For this the `StdRegBglAlpha` keyword must be set to some non-zero value. The regularizing value itself is defined by the keyword `StdRegBglValue`. The fourth term is for the additional control of the background flexibility, if the keyword `StdRegDbglAlpha` is set to non-zero value. In fact this is a sum of second derivatives of background values of all curves in all points.

Again, the used model for the total intensity depends on the setting of the `IModel` keyword for each intensity curve. In the least squares method the valid options are `a1bgl` and `a2bgl`. For details see [Models for ED intensity](#). In the first model background  $\beta$  is refined, in the second case background  $B$  is refined. The reduced sector function is modelled numerically as a set of its values at particular  $r$ -values at the sector plane. The particular set of  $r$ -values with explicit initial approximation for the reduced sector function can be defined with the `SECTOR` command. Alternatively UNEX can automatically initialize initial reduced sector function, see keyword `StdInitSecStep`. Note, in any case a model sector function must be defined, see `SecModelType` and `SecPrm*` keywords. Backgrounds  $\beta$  or  $B$  are modelled as Chebyshev polynomials. The order of polynomials can be defined for each curve individually using the `StdModBglPow` keyword. Normally an initial approximation for the background is obtained by fitting the polynomial to a background, which is obtained by running internally the `BGL` procedure. This can be turned off by setting `StdInitRefBgl=0`. The types of parameters to be refined is determined by the `StdVar*` set of keywords.



Refinement of background, sector function and other parameters in `STANDARD=LSQ` is in no way an automatic method. In many cases this problem is ill-conditioned. Results can depend on initial approximation and on experimental noise in data. Due to this you can often get meaningless results. Normally the data and the problem need to be deeply investigated for finding optimal settings for this method.

Diffraction intensities of the following molecules can be processed in UNEX as gas standards (default standard values are taken from the cited papers):



- Carbon tetrachloride CCl<sub>4</sub> [54]
- Benzene C<sub>6</sub>H<sub>6</sub> [28]
- Carbon dioxide CO<sub>2</sub> [54]
- Carbon disulfide CS<sub>2</sub> [55]

Currently used standard values of parameters for these molecules can be printed with the command

```
PRINT=STDPARAMS
```

It is also possible to define a custom set of standard parameters for each standard molecule with the **STDPARAMS** command:

```
STDPARAMS=stdtype,STDTERMS,otag,ctag
```

Here **stdtype** is the type of standard, one of the following: **CCl4**, **C6H6**, **CO2**, **CS2**. In input file between tags **otag** and **ctag** must be defined types of terms and their parameters:  $r_a$  distance, amplitude  $l$  and asymmetry (or Morse) constant. Note, the last parameter should correspond to your setting of the **ImolAnhTermModel** keyword. By default it is **ImolAnhTermModel=Asym**, so asymmetry parameters are expected. Model molecular intensity functions are calculated from these parameters using the respective approximation as described in chapter **Models for ED intensity**. In these calculations multiplicity factors (number of equal terms of a given type in a molecule) are used automatically. They are predefined for each term in each type of standard molecules in UNEX.

Here are examples of **STDPARAMS** command:

```
STDPARAMS=CCl4,STDTERMS,<ccl4terms>,</ccl4terms>
<ccl4terms>
  C-Cl  1.7667  0.0496  5.0e-7
  Cl..Cl  2.8892  0.0712  6.0e-7
</ccl4terms>
```

```
STDPARAMS=C6H6,STDTERMS,<c6h6terms>,</c6h6terms>
<c6h6terms>
  C-C  1.397760  0.046360  5.5e-7
  C..C  2.418800  0.055180  8.1e-7
  C..C  2.792300  0.058980  0.0
  C-H  1.095600  0.077060  0.0
  C..H  2.158700  0.099850  0.0
  C..H  3.404100  0.096880  0.0
  C..H  3.879200  0.093380  7.0e-8
  H..H  2.483300  0.157990  0.0
  H..H  4.300200  0.133170  0.0
  H..H  4.964400  0.118180  0.0
```



```
</c6h6terms>
```

```
STDPARAMS=CO2,STDTERMS,<co2terms>,</co2terms>
```

```
<co2terms>
```

```
  C-O    1.16419  0.0327  3.0e-7
```

```
  O..O    2.32427  0.0393  2.9e-7
```

```
</co2terms>
```

```
STDPARAMS=CS2,STDTERMS,<cs2terms>,</cs2terms>
```

```
<cs2terms>
```

```
  C-S    1.559    0.040    7.7e-7
```

```
  S-S    3.112    0.052    8.8e-7
```

```
</cs2terms>
```



The input order of the terms is important and must be as in the examples above.



The values in the examples are only for demonstration of the input syntax. Do not use them in real investigations!

## Vibrational analysis

In UNEX it is possible to do a simple vibrational analysis. Direct vibrational problem — calculation of harmonic vibrational modes and frequencies can be solved using the command **VMOD** as

```
VMOD=mo1,DIAGF2MC
```

This runs a procedure, which diagonalizes the matrix of harmonic force constants in mass-weighted Cartesian coordinates. Note, the force constants, as well as the respectively oriented Cartesian coordinates of atoms (and their masses!) must be already available in UNEX. For this, for example, the commands **F2C** and **MOLXYZ** can be used. Results of the procedure can be printed using the **PRINT=VMODMC** command (see below).

### Force constants

In UNEX there are methods for converting cubic force constants between Cartesian and normal coordinates, as described in Ref. [56]. The respective commands are

```
F3C=mo1,CONVF3N
```

and

```
F3N=mo1,CONVF3C
```

The first command does a calculation of cubic force field in Cartesian coordinates from cubic force constants in normal coordinates. The last command does the opposite conversion.

## Thermodynamics

UNEX can calculate thermodynamic functions using statistical thermodynamics theory [57]. For this the **THERMO** command should be used:

```
THERMO=STAT,mol [Optional parameters]
```

Geometry and vibrational frequencies should be defined for the respective molecule before running this command. The frequencies can be calculated or introduced with the **VMOD** command (see [Vibrational modes](#)). Depending on the **ThermoModel** setting of the molecule the calculation can be performed in different ways. In the simplest case, **ThermoModel=sRRHO**, UNEX uses the model of ideal gas, assumption on uncoupled translational, rotational and vibrational motions, rigid rotator and harmonic oscillator (RRHO) approximation [58]. Note, the frequencies may be scaled by using the **ThermoFreqScale** keyword, which turns the approximation effectively into the anharmonic oscillator, respectively. The other option, **ThermoModel=msRRHO-1**, utilizes the so called modified scaled RRHO method by S. Grimme [12], with a modified procedure for the calculation of the vibrational entropy. Two keywords are related to this method, **ThermoMSRRHOWcutoff1** and **ThermoMSRRHOWalpha1**. Note, this method was also known as quasi-RRHO [11]. The last option, **ThermoModel=msRRHO-2**, in addition to the entropy correction (as in **msRRHO-1**) uses also similar correction to enthalpy as described in [13]. The respective control keywords are **ThermoMSRRHOWcutoff2** and **ThermoMSRRHOWalpha2**.

Thermodynamic functions are calculated for conditions (temperature and pressure) defined by optional parameters to the command (see below) or by global parameters. In calculations it is also assumed that only the ground electronic state is populated and other states are not achievable. The contribution of the ground electronic state is determined by the spin multiplicity and can be defined using the **SpinMult** keyword in the field of the respective molecule.

The possible optional parameters of the **THERMO** command are as follows

### Temperature

#### Pressure

Temperature (in K) and pressure (in atm), for which the thermodynamic functions must be calculated. By default they are initialized to the values of the global keywords **Temperature** and **Pressure**.

#### CalcTrans

#### CalcRot

#### CalcVib

#### CalcEl

Parameters for turning on and off the calculation of particular contributions to thermodynamic functions due to translational, rotational, vibrational and electronic motions, respectively. Each parameter accepts either **true** (default setting indicating to do the corresponding calculation) or

**false** (turn off).

For example, the command

```
THERMO=STAT,h2o Temperature=500.0 CalcTrans=false
```

calculates thermodynamic functions for the **h2o** molecule at 500 K and default (standard) pressure. All types of motions will be taken into account, except for translations.

On the output are printed inner energy **U**, enthalpy  $[H(T)-H(0)]$ , entropy **S**, Gibbs free energy **G**, constant volume heat capacity **Cv** and constant pressure heat capacity **Cp**. The particular contributions to the thermodynamic functions and to the molecular partition function **Q** from different types of motions are also printed. If the electronic energy has been defined for the molecule (see the **ElEnergy** keyword) then total values for the thermal energy, enthalpy and Gibbs free energy are printed.

Note, small vibrational frequencies lead to large errors in calculated thermodynamic functions within standard RRHO approximation. Depending on the application, it may be reasonable to ignore such frequencies by using the keyword **ThermoFreqCutoff**. However, a more universal way for solving this problem is to use the msRRHO methods.

## Molecular trajectories

Technically the term **molecular trajectory** is here defined as a set of geometries for a molecule. For UNEX it must be represented as a file in the standard **XYZ** format, in which sets of Cartesian coordinates follow one after another. A single set of Cartesian coordinates within trajectory is called **frame**. Trajectories can be obtained, for example, from molecular dynamics and Monte Carlo simulations. For their processing UNEX provides different functions, invoked by the **TRJXYZ** command.

### Distributions of geometrical parameters

A set functions is used for analysing distributions of geometrical parameters:

```
TRJXYZ=DIST,N1,N2,file.trj
TRJXYZ=ANGLE,N1,N2,N3,file.trj
TRJXYZ=TORSION,N1,N2,N3,N4,file.trj
TRJXYZ=OOP,N1,N2,N3,N4,file.trj
```

In these functions particular distances, angles, torsions and out-of-plane angles can be analysed. **N1**, **N2**, **N3** and **N4** are atom numbers, which define the required geometrical parameter. **file.trj** is the name of the input file with the trajectory. For example,

```
TRJXYZ=ANGLE,1,2,3,ccl4.trj
```

will calculate the angle on atoms **1-2-3** for each frame in the trajectory in file **ccl4.trj**. An analysis

of the collected set of angles will be performed. Finally on the output the statistics of the respective distribution are printed: mean value, standard deviation, skewness and kurtosis. Additionally, sample size and the flag indicating weighted statistics are printed after **SSize=** and **TrjWeightedStats=**.

The weighted statistics are calculated if this is defined with the **TrjWeightedStats** keyword in the **BASE** input field and the trajectory file contains special factors as **X2=number** in title lines for each frame. Such trajectories are produced by UNEX when running Monte-Carlo simulations and **X2** represents the value of least-squares functional  $\chi^2$ . Depending on the keywords **TrjScaleTotalQ** and **TrjShiftTotalQ** the weighting factor for geometrical parameters of the particular frame is calculated as

$$W = e^{-(\chi^2 \cdot \text{TrjScaleTotalQ} - \text{TrjShiftTotalQ})}$$

Statistics may be calculated for all internal geometrical parameters simultaneously by running

```
TRJXYZ=ALLGEOM,file.trj
```

In this case a table is printed with parameters for all determined geometrical parameters of the molecule.

During trajectory processing distribution for a particular geometrical parameter can be printed to file. This can be done by using the **Dataout** keyword in the particular **TRJXYZ** command, as in the example

```
TRJXYZ=DIST,1,2,ccl4.trj Dataout=dist12.dat
```

Here the file **dist12.dat** is created with a list of values for the distance **1-2** from each frame in the trajectory **ccl4.trj**.

## Calculation of ED terms

Trajectories from molecular dynamics (MD) simulations can be used for calculation of ED terms [59, 60, 61]. More precisely this concerns vibrationally averaged interatomic distances  $r_a$  and  $r_g$ , mean amplitudes of vibrations  $l$  and asymmetry constants  $\kappa$ . The vibrationally averaged interatomic distances are normally used for calculation of respective vibrational coorections ( $r_e - r_a$ ) required in structural analysis. Processing of MD trajectories for these purposes in UNEX can be done by the following command:

```
TRJXYZ=EDTERMS,file.trj [Parameters]
```

The available parameters are

### Mols

The mandatory parameter indicating the list of molecules (separated by ; symbol), already defined in UNEX for which ED terms are to be calculated. The molecules must be of the same

formula and related to each other as isomers or conformers. In the simplest case a single molecule may also be indicated here.

### Dumpfile

Basename for file(s), in which terms of molecule(s) are printed for each trajectory frame.

### NwinTstFr

Trajectory window size (number of frames) in convergence testing. The default value is 1000.

### AmplThrEps

### CorrThrEps

### KappaThrEps

Convergence thresholds for amplitudes, distance corrections and asymmetry constants. These parameters define normalized (i.e. relative) root-mean-square deviations in the testing window (see `NwinTstFr` above). By default they are equal to 0.01, which corresponds to 1 %.

### Symmetrize

A flag (`true` or `false`, default) indicating whether ED terms must be symmetrized. The symmetrization is done within all terms of all processed molecules. Symmetry elements must be already determined for each molecule, for example by running `PRINT=SYMMETRY,mol`. Symmetry equivalent terms within each molecule are determined exactly. Equivalent terms in different molecules are determined approximately, see the parameter `SymMaxDr` below.

### SymMaxDr

Maximal allowed difference between symmetrically-equivalent interatomic distances in different molecules. Note, comparison of distances is done only for pairs, which have the same types of atoms. Default value is 0.00001.

### CmpOldTerms

This is for comparison with old values. A flag (`true` or `false`, default) for enabling printing of newly calculated ED terms together with previously defined values.

The calculation of ED terms requires that for molecules have already been defined Cartesian coordinates, for exmple by using the `MOLXYZ` command. Normally they correspond to the equilibrium structure at the same level of theory, which has been used in obtaining MD trajectory.

## Data printing

Normally UNEX by default prints status of executed commands and some summarized results of these commands. There is, however, a special command `PRINT` for outputting different kinds of data. This command can be executed at any stage of data processing. The only requirement is that the respective data must be already initialized at the time of requesting printing.

In many cases UNEX prints values of parameters with respective errors from least-squares refinement or other procedures. Some parameters are not directly refined but rather calculated from values of other parameters. Such parameters are called dependent. Standard deviations for dependent parameters are calculated using the formula for error propagation

$$s_f = \sqrt{\sum_{i=1}^N \left( \frac{\partial f}{\partial p_i} \right)^2 s_i^2 + 2 \sum_{i=1}^N \sum_{j>i}^N \left( \frac{\partial f}{\partial p_i} \right) \rho_{ij} \left( \frac{\partial f}{\partial p_j} \right)}$$

where  $f$  is the dependent parameter represented here as a function of independent parameters  $p_i$  with standard deviations  $s_i$  and covariations  $\rho_{ij}$ ,  $N$  is the number of groups of parameters. By default UNEX uses covariations if they are available from latest least-squares refinement. This can be turned off with **DepSigmaCovar** keyword. Standard deviations for independent parameters  $s_i$  are normally those from latest least-squares refinement or Monte-Carlo simulation. However, in some cases they can be defined directly in input file. For example, values of parameters of Z-matrices can be introduced together with respective standard deviations.

Below is the description of different variants of the **PRINT** command.

## General information

For getting current settings use

```
PRINT=INFO
```

Brief information about hardware and operating system is printed by

```
PRINT=COMPINFO
```

For information about loaded images you can use

```
PRINT=IMGINFO
```

## Molecular symmetry

The command below prints symmetry elements for **mol** and respective point group. Geometry for **mol** must be already defined.

```
PRINT=SYMMETRY, mol
```

## Rotational constants

```
PRINT=ROTCONST, mol
```

The command prints experimental and model rotational constants for **mol**. Model values are calculated for the current geometrically consistent structure of **mol**. Experimental values are printed as defined in the input. Additionally, for each rotational constant respective corrections

(defined earlier in the field of the molecule), experimental standard deviations, differences between experimental and corrected (using input corrections) model values and errors are printed. The errors are calculated on the basis of standard deviations of refined molecular parameters using error propagation formula. In the end the values of root-mean-square deviation (RMSD) and weighted RMSD (WRMSD, taking into account experimental standard deviations) are calculated and printed. Note, (W)RMSD are printed only if at least one experimental value is not zero.

## Vibrational data

Force constants can be printed by

```
PRINT=F2CMATRIX,mol
PRINT=F2CSHRINK,mol
PRINT=F2CGAMESS,mol
```

These variants print harmonic force constants in Cartesian coordinates for `mol`. The difference is in the format of printing. Below is the command for printing cubic force constants in Cartesian coordinates. The number of columns in each block is controlled by the `F3cBlockCols` keyword.

```
PRINT=F3CBLOCKS,mol
```

Cubic force constants in normal coordinates can be printed using the command

```
PRINT=F3NIDXLIST,mol
```

By default the constants are printed in internal units Hartree amu<sup>-3/2</sup> Bohr<sup>-3</sup>. However, it is possible to get the values in cm<sup>-1</sup> by using the appropriate `Units` keyword:

```
PRINT=F3NIDXLIST,mol  Units=cm
```

Vibrational modes in mass-weighted Cartesian coordinates and respective frequencies can be printed as

```
PRINT=VMODMC,mol
```

Each command also prints the particular units of the output data.

UNEX can prepare input data for DISP and ElDiff, the programs for vibrational spectroscopy and electron diffraction written by Igor Kochikov [19].

```
PRINT=DISPINXYZ,mol
PRINT=DISPINPINT,mol
```

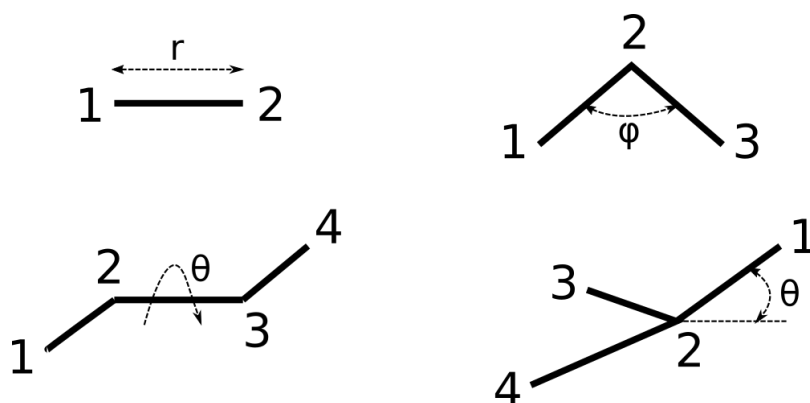
The first example prints data used as input for calculations in ElDiff using Cartesian coordinate system. The second command prints data for calculations in internal coordinates. Note, to be able to print such data there must be introduced harmonic and optionally cubic force field(s) and Cartesian coordinates for the respective molecule.

## Geometrical parameters

Particular geometrical parameters of molecules are printed by calling **PRINT** command with options **DISTANCE** (aliases are **DIST** and **BOND**), **ANGLE** (short variant is **ANG**), **TORSION** (short variant **TORS**) and **OOP** for out-of-plane angles. Syntax of the command includes indication of parameter type, name of molecule and numbers of atoms from two to four, depending on the type of parameter:

```
PRINT=DISTANCE,mol,1,2  
PRINT=ANGLE,mol,1,2,3  
PRINT=TORSION,mol,1,2,3,4  
PRINT=OOP,mol,1,2,3,4
```

The numeration scheme is as in the image below



UNEX prints three types of distances,  $r_c$ ,  $r_a$  and  $r_g$ . In UNEX output they are indicated as **r\_c**, **r\_a** and **r\_g**, respectively.  $r_c$  is the geometrically consistent distance as calculated from Cartesian coordinates. In ED structural refinements its definition is closely related to the type of vibrational corrections. If the corrections are  $(r_e - r_a)$  then the  $r_c$  distances are in fact  $r_e$ . In refinements from rotational constants the definition of  $r_c$  depends on the type of corrections for these constants. If  $B_0$  are used without any correction then the refined  $r_c$  are in fact  $r_0$ . There can be also other possibilities, depending on details of structural analysis.  $r_a$  and  $r_g$  are two kinds of vibrationally averaged distances. The  $r_a$  can be calculated from  $r_c$  internally by subtraction respective vibrational corrections. The  $r_g$  distances are calculated from  $r_a$  and respective vibrational amplitudes  $l$  using approximation

$$r_g = r_a + \frac{l^2}{r_c}$$

For all types of geometrical parameters errors are calculated (see explanation above) and printed. By default they correspond to estimated standard deviations, but they also can be modified by a factor defined by **PrintEsdFactor** keyword.

There is also a possibility to generate automatically a complete set of internal geometrical



parameters and print their values with respective errors. This can be done by calling

```
PRINT=ALLGEOM,mol
```

In this command UNEX tries first to identify bonds and then to generate all other parameters based on the connectivity information. Two atoms are assumed to be connected with a bond if distance between them is less than the sum of their covalent radii [62] plus some fraction of this sum (see **GeomBondTol** keyword). Out-of-plane angles are generated and printed if their values are below 10 degrees. Note, the procedure ignores dummy atoms. It is possible to force inclusion of particular atom pairs in the list of bonds and hence to influence the generation of angles. For this the keyword **GenBondsInclude** in the information field of the particular molecule can be used. For example

```
<mol>  
  GenBondsInclude=1,3 4,6  
</mol>
```

forces inclusion of bonds between atoms in pairs 1—3 and 4—6 of the molecule **mol** irrespective of the distances. Note, the numeration of atoms starts from 1 and includes also dummy atoms, although they are skipped in the procedure. The autogeneration of internal parameters may be switched off by defining explicit set of parameters for printing. For this, the **PRTGEOM** command must be used as in the example below

```
PRTGEOM=mol,INPUNEX,<pgprm>,</pgprm>  
<pgprm>  
distance 1 2  
angle 1 2 3  
torsion 1 2 3 4  
o-o-p 1 2 3 4  
</pgprm>
```

If geometrical structure was refined by minimizing a combined least-squares functional then it makes sense to print geometrical parameters with respective contributions of different LSQ functional parts into these parameters. For this two commands can be used:

```
PRINT=GEOMFUNCW1,mol  
PRINT=GEOMFUNCW2,mol
```

This will print contributions of different parts of least squares functional from latest **MINIMIZE** procedure to geometrical parameters of **mol**. The values are calculated according to the methods W1 [6] and W2 [7]. Together with contributions are printed values of parameters, their errors from the latest refinement procedure and experimental errors. The latter are calculated according to the procedure described in [6] (UNEX uses a generalized form of Eq. 6 from the cited paper). The keyword **MinSigmaExcludeFunc** can be used to control this procedure. Note, the set of internal geometrical parameters is automatically generated by default. It is, however, possible to define explicitly another custom set using the **PRTGEOM** command as described above. Note, however, that

the calculated values of contributions can depend to some extent on the composition of the complete set of internal parameters. This is intrinsic property of the procedure.

```
PRINT=RESTRGEOM,mol
```

This command prints restraining geometrical parameters for the molecule **mol** in comparison to respective model values. Note, the standard deviations are those from input and related to restraining values, not to the refined model values! In the end of the table some statistics are printed: maximal absolute deviation between model and restraining values **MaxD**, root-mean-square deviation **RMSD** and weighted (using input standard deviations of restraining values) root-mean-square deviation **WRMSD**, number of parameters used in calculation of statistics **Nprm** and index of parameter with the largest deviation **ImaxD**. The statistics are printed for all parameters and for groups of parameters of different types.

Z-matrices of molecules can be printed by calling

```
PRINT=ZMATRIX,mol
```

Note, **PRINT=UNEXZM** and **PRINT=PARAMS** are obsolete starting from 19 March 2022. To print only parameters of Z-matrices use

```
PRINT=ZMPARAMS,mol
```

There is a possibility to generate and print Z-matrices which include only Cartesian coordinates as parameters. Such a Z-matrix can be used as a template for further work. The command is

```
PRINT=CZMTMPL,mol
```

Note, with the keyword **CzmTplStartGroup** it is possible to set the starting value for the generated group numbers. Printing of collected statistics (minimal and maximal values) for Z-matrix parameters is done by the command

```
PRINT=ZMATMCTMPL,mol
```

The output data can be used as template for introducing data for Monte-Carlo (MC) procedure. Note, the output of the command is also supplemented with data on differences between minimal and maximal parameter values (**d=**) and group numbers (**RG=**). In case of large differences a warning message is also printed. The automatic grouping of parameters for MC procedure is done on the basis of their numerical similarity. This should be manually checked and corrected, if need.

The most general command for printing Cartesian coordinates of atoms in a molecule is

```
PRINT=XYZ,mol
```

The output format of this command is suitable for visualization with UMV program. Two other options **MOL** and **XMOL** print Cartesian coordinates in XYZ format [63].

```
PRINT=MOL,mol  
PRINT=XMOL,mol
```

The difference between **MOL** and **XMOL** is that the former does not print dummy atoms. All variants can print coordinates in Z-matrix (or input) orientation or in the system of principal axes of rotation. This depends on the setting of the **PrintMainInertXYZ** keyword. In the latter case, rotational constants are also printed. They are calculated for the current geometry in the RRPATM approximation: rigid rotor — point atomic masses.

## ED scattering factors

ED scattering factors in form of *g*-functions (scattering factor of atomic pair divided by atomic intensity) for all types of atomic pairs in the molecule can be printed using the command

```
PRINT=GF,mol
```

This outputs data, produced by the respective **GF** command (see [ED scattering factors](#)). Note, in the case of models with mixtures of molecules the printed *g*-functions are calculated by dividing scattering factors by atomic scattering function of the respective molecule only. The bare scattering factors (not divided by atomic intensity) of atom pairs can be printed with the command

```
PRINT=ATPSFAC,mol
```

It is also possible to print atomic scattering functions for a specific molecule with the command

```
PRINT=FULLIAT,mol
```

## ED intensity functions

Different types of electron diffraction intensity and closely related functions can be printed by calling **PRINT** with one or more arguments from the list below:

- **IR** — Distances *r* (in mm) from center of diffraction pattern to detection points. These data are calculated from respective values of *s* if electron wavelength and nozzle-to-detector distance are defined.
- **INT** — Experimental total intensity.
- **TINT** — Theoretical (model) total intensity.
- **RINT** — Experimental reduced total intensity, i.e. total intensity divided by *t*-factor, sector function and atomic component of total intensity.

- **LINT** — Levelled experimental total intensity, see below.
- **DINT** — Delta (difference) between experimental and theoretical total intensity.
- **SMS** — Experimental reduced molecular intensity  $sM(s)$ .
- **TSMS** — Theoretical (model) reduced molecular intensity  $sM(s)$ .
- **DSMS** — Delta (difference) between experimental and theoretical (model)  $sM(s)$ .
- **BGL** — Background intensity. Type of background depends on the last used method for its calculation/estimation.
- **RBGL** — Similar to **RINT** background divided by  $t$ -factor, sector function and atomic component of total intensity.
- **LBGL** — Levelled background, see below.
- **SEC** — Sector function for each data set.
- **IAT** — Atomic part of total electron diffraction intensity.
- **INTS** — Standard deviations of experimental total intensity.
- **SMSS** — Standard deviations of experimental  $sM(s)$ .

Note, theoretical (model) functions are (re)calculated before printing.

In the most complete mode all types of data can be printed by calling

```
PRINT=IR,INT,TINT,RINT,LINT,DINT,SMS,TSMS,DSMS,BGL,RBGL,LBGL,SEC,IAT,INTS,SMSS
```

However, in most cases only several types of data are required for inspection/analysis and thus only particular arguments can be given, for example

```
PRINT=SMS,TSMS,DSMS
```

The order of the arguments does not play any role, for example the command below prints the same set of data as in the example above

```
PRINT=DSMS,SMS,TSMS
```

By default all defined sets of ED functions are printed. However, it is possible to print only particular set(s) of data, indicating respective identifiers in the **PRINT** command. The following command prints experimental  $sM(s)$  only from the 1-1 data set.

```
PRINT=SMS,1-1
```

The levelled versions of total intensity and background, **LINT** and **LBGL**, are curves obtained in the following manner. Total intensity function is approximated by a cubic spline (this is default, number of allowed inflection points is defined by **Lv1Infl** keyword) or a polynomial (if keyword

$LvlPow > 0$  is defined). The original total intensity and background are divided by this smooth function and printed. The idea is to output such curves which are easy to assess visually. It is important to use as less inflection points as possible (as low power for polynomial as possible) so that oscillations on the original intensity and background are not influenced. Note, with this requirement splines and polynomials are not always the best approximations, so manual levelling may be required.

Another possibility for levelled total intensity and background are reduced variants **RINT** and **RBGL**. For the description of calculation procedure of these functions see section [ED background lines](#).

Together with the data for each set of curves some parameters and current settings are printed. Most of them are self-explanatory and correspond to keywords described in [ED intensities](#). Some others are explained here:

- **sMesd** — estimated in **MINIMIZE** standard deviation for  $sM(s)$ .
- **sMdw** — Durbin–Watson statistic [46, 47] calculated in the latest **MINIMIZE** (or any equivalent) procedure from delta  $sM(s)$  values.
- **sMsigmaStatus** — status of individual standard deviations (which can be requested for printing as **SMSS**) for  $sM(s)$  data points. If it is **defined** then the values were explicitly introduced in input or they were calculated in some procedure (for example averaging, combining, background procedures, etc.). Otherwise the status is **undefined**.
- **IsigmaStatus** — similar to **sMsigmaStatus** but related to total experimental intensity functions and their standard deviations printed as **INTS**. They have meaningful values if the status is **defined**.

Delta  $sM(s)$  (difference between experimental and model) can be printed in a special form suitable for producing Poincaré plots as

```
PRINT=DSMSPINCARE
```

Data from selected set(s) can also be printed just as in other modes above. This example will print only data from the set **1-1**:

```
PRINT=DSMSPINCARE,1-1
```

There is a special command to print results of comparison of model and experimental ED molecular intensities:

```
PRINT=RFACOR
```

This will print different types of *R*-factors (unweighted **Rf** und weighted **wRd**) in percent units for each set of ED data and total values for all data sets together. The definition of *R*-factors for  $sM(s)$  was given above in [MINIMIZE](#) chapter. In addition here are printed also *R*-factors, calculated for  $M(s)$  and  $s^4I_{mol}(s)$  functions in analogous manner. Note, **wRd** values are meaningful only if standard deviations were defined (or calculated) for the respective  $sM(s)$ . Also in this case **wRd** are equal for all types of molecular intensity,  $sM(s)$ ,  $M(s)$  and  $s^4I_{mol}(s)$ , by definition. Total **wRd** are meaningful only

if standard deviations were defined or calculated for each data set. It is possible to print individual and total *R*-factors calculated only for a particular set of ED curves by giving in the command identifiers of respective ED data sets, for example

```
PRINT=RFACTOR,1-1,2-1
```

For *sM*(s) in addition to *R*-factors are also printed Durbin–Watson statistics (see above), mean and maximal absolute values, mean and maximal absolute differences between model and experimental values.

## ED terms of molecules

Several modes exist for printing parameters of pairs of atoms in molecules. The most general command is

```
PRINT=TERMS,mol
```

It prints distances of  $r_a$  type, vibrational amplitudes and corrections, asymmetry constants for all pairs of atoms. Together with amplitudes corresponding errors are printed, which are the LS standard deviations (possibly multiplied by the factor `PrintEsdFactor`) from the latest refinement. Note, it is possible that scale factors for amplitudes were refined. In this case their standard deviations are automatically recalculated into standard deviations for respective amplitudes. Additionally, experimental errors are calculated in the same manner as for geometrical parameters when calling `PRINT=GEOMFUNCW2`. In the last two columns group numbers for  $r_a$  distances and for amplitudes (or for their scale factors) are printed. There is also a command, which prints terms sorted by values of  $r_a$  distances:

```
PRINT=RSORTU,mol
```

A special possibility exists for printing terms with their contributions to radial distribution functions:

```
PRINT=GRAPHTERMS,mol
```

This command prints data which can be directly plotted. For example, the `RdfPlot` program can read and plot such data. Note, the output of this command depends on the keywords `RdfMultR`, `RdfTermDif` and `RdfTermDivAmpl`. If `RdfMultR=1` then the RDFs are approximations of  $P(r)$  and the printed distances are of  $r_g$  type, otherwise RDFs are  $P(r)/r$  and the printed distances are of  $r_a$  type, respectively. Basic values for contributions of terms are calculated as products of respective atomic charges. They can be automatically modified depending on settings. For `RdfMultR=0` the contributions are additionally divided by respective  $r_a$  distances. If `RdfTermDif` is active then the obtained values are multiplied by the respective multiplicity factors. In models of mixtures the contributions are also multiplied by respective mole fractions. In case of `RdfTermDivAmpl=1` the contributions are divided by respective amplitude values. Collected statistics (minimal and

maximal values) for amplitudes and corrections are printed by commands

```
PRINT=AMPLMCTMPL,mol  
PRINT=CORRMCTMPL,mol
```

These data can be used as templates for introducing data required in Monte-Carlo (MC) procedure. Note, on output the ranges (minimal - maximal values) are increased by particular percentages, which can be explicitly defined using `MCAmplTmplExr` and `MCCorrTmplExr` keywords. Additionally printed are differences between minimal and maximal values (`d=`) and group numbers (`RG=` in case of amplitudes). The automatic grouping for MC is performed using the principal of numerical similarity. The terms are combined in one group if their distances, amplitudes and corrections are equal with 0.0001 Å. However, the correctness of such grouping should be checked manually! Warning messages are printed if the difference between the minimal and maximal values within the single term is too large (`WarnD!`) or if differences between minimal or maximal values in the current term and in the first term of the group are too large (`WarnG!`).

## Potential functions

In dynamic GED models potential energy functions are used. The command to print data on potential function is

```
PRINT=POTENTIAL,mol
```

Note, the units of parameters are so that the potential energy is in  $\text{kJ mol}^{-1}$  for the dynamic coordinate in radians.

## ED standards

Parameters of molecules, which can be used in UNEX as GED standards, are printed by

```
PRINT=STDPARAMS
```

## Sector functions

Total and reduced sector functions are printed by

```
PRINT=SECTOR
```

Regularization sector function can be printed by

```
PRINT=REGSEC
```

## Response functions

Currently active (calculated, refined or introduced from the input file) response function for ED detector can be printed using the command

```
PRINT=RESPFUNC
```

## Data plotting

Several types of data can also be automatically plotted in output files by using pseudo-graphics. The corresponding command is **PLOT**. Width and height of pseudographics are determined by the keywords **Wplot** and **Hplot**, respectively. The available currently options are described below.

```
PLOT=POTENTIAL,mol
```

This command plots input (introduced by **POTENTIAL=mol,PTL1**) and current model potential functions for the molecule **mol**. Note, this will only work if potential function in numerical form has been already defined.

The other plotting possibility is implemented for ED intensity functions.

```
PLOT=SMS,mol
```

This command plots all available experimental molecular intensity functions  $sM(s)$ .



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